

## Invited Review

## Alteration of cell cycle-related genes in hepatocarcinogenesis

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**Summary.** The mammalian cell cycle is controlled by regulators of the G1 to S transition such as tumor suppressor proteins, p53 and retinoblastoma (RB); cyclin D1 and cyclin-dependent kinase 4; and inhibitor of cyclin dependent kinase, p16<sup>INK4A</sup>. Recently, aberrations of these cell cycle-related genes have been reported to contribute to the formation and development of cancer. In human hepatocellular carcinoma (HCC), high frequencies of aberration have been detected in the p53 and RB genes. Loss of heterozygosity (LOH) of chromosome 13q was detected in 35% of HCC and LOH on chromosome 17p was detected in 49%. Mutation of the p53 gene was also detected in 32%. The aberrations of these genes were observed more frequently in poorly differentiated and in advanced HCCs. On the other hand, genetic alterations of the cyclin D1 and p16<sup>INK4A</sup> genes were not so frequent, but appeared to be associated with the aggressive behavior of the tumor, which suggests that disruption of the cell cycle-related genes results in the progression of HCC. Further study with a substantial number of cases is required to determine the actual frequency of the aberrations of the G1 controlling genes in hepatocarcinogenesis.

**Key words:** p53, RB, Cyclin D1, p16, Hepatocellular carcinoma

## Introduction

With the progress in molecular genetic studies, various genetic abnormalities have been identified in human cancers. Activation of oncogenes and loss of function of tumor suppressor genes in each step of carcinogenesis may play an important role in tumor development. In hepatocellular carcinoma (HCC), aberrations of oncogenes, tumor suppressor genes, and loss of heterozygosity (LOH) on some chromosomal arms have been reported, and these multiple genetic

alterations may contribute to the transformation of normal hepatocytes to cancer cells (Nishida et al., 1994a).

On the other hand, numerous cyclins and cyclin-dependent kinase inhibitors (CDKIs) have been identified, and studies on the cell cycle control mechanism have revealed that progression of eukaryotic cells through the cell cycle is controlled by the sequential formation, activation and inactivation of a series of cyclin-dependent kinase (CDK) complexes (Evans et al., 1983; Matsushime et al., 1992). Furthermore, overproduction of the cyclin and/or CDKs, which presumably act like oncogenes, has been reported in many types of cancers (Jiang et al., 1992; de Boer et al., 1993). In addition, cell cycle inhibitors might be like tumor suppressor genes the loss of function of which may lead to cancer (Serrano et al., 1995; Yang et al., 1995). From these perspectives, we discuss here about the alteration of the cell-cycle related genes in HCC and speculate on the role of the aberration of these genes in hepatocarcinogenesis.

## Aberration of cell cycle-related genes in human HCC

## (1) The p53 gene

The p53 gene, which was at first considered an oncogene, acts as a tumor suppressor gene, and mutations of the p53 gene are the most frequent genetic changes in a wide range of cancers in humans and experimental animals (Nigro et al., 1989). In human HCC, the loss of p53 function can occur through point mutations, rearrangements and intragenic deletions, and these alterations of the p53 gene are closely associated with loss of heterozygosity (LOH) on chromosome 17p and vice versa (Murakami et al., 1991; Oda et al., 1992; Nishida et al., 1993). The wild type p53 has a specific DNA-binding function and activates the transcription of genes with p53 binding sequences (Farmer et al., 1992; Kern et al., 1992). The genes transcriptionally controlled by p53 are divided into three groups: (i) cell cycle-related genes such as the p21<sup>WAF1</sup> gene that is a universal inhibitor of cyclin kinase (El-Deiry et al.,

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1993; Harper et al., 1993); (ii) DNA replication and/or repair-related genes such as the *gadd45* gene (Kastan et al., 1992); and (iii) apoptosis-related genes such as the *bax* and *Fas* genes (Miyashita and Reed, 1995; Owen-Schaub et al., 1995). Loss of the normal function of the *p53* gene is associated with disruption of cell cycle control, DNA repair and apoptosis (Kinzler and Vogelstein, 1994). For this reason, it is important to discuss the aberration of the *p53* gene in HCC with special attention to the aberration of cell cycle-related genes.

Bressac et al. (1991) found a mutational hot spot at codon 249 of the *p53* gene in HCC in China and South Africa, which was considered to be associated with dietary aflatoxin B1 (AFB1) intake (Bressac et al., 1991; Hsu et al., 1991). In contrast, no such mutational hot spot has been found in other areas, suggesting the involvement of different etiological factors. Previously, we investigated the mutational profile of the *p53* gene in 53 Japanese HCC cases over the entire coding region, compared those mutations with several clinical parameters (Nishida et al., 1993), and also mapped the commonly deleted region of chromosome 17 by using 10 restriction fragment length polymorphism (RFLP) markers in the same tumor samples. We also analyzed the relationship between mutations of the *p53* gene and LOH on chromosome 17p. The overall frequency of the *p53* gene mutation in the HCC in our study was 32% and the frequency was higher in advanced tumors, which is consistent with previously reported values in Japanese patients (Murakami et al., 1991). These mutations were found in a wide region stretching from exon 4 to exon 10 without any single mutational hot spot; G:C to T:A transversions were predominant among the base substitutions observed. Recently, a variety of mutagenic heterocyclic amines, which are commonly found in cooked food, have been reported to produce DNA adducts in liver cells and to produce G:C to T:A transversions (Wakabayashi et al., 1992). Endogenous mutagens caused by oxyradical damage can also produce G:C to T:A transversions (Harris, 1991). These mutagens may induce transversion mutations of the *p53* gene in a subset of HCC cases. Our study also suggested an association between the allelic loss on chromosome 17p and tumor progression, indicating that structural aberration of the *p53* gene is a late event during hepatocarcinogenesis in Japan where infection of hepatitis virus, especially hepatitis C virus (HCV), is the major etiology of HCC (Nishida et al., 1993). On the contrary, Aguilar et al. (1994) reported that non-malignant human liver cells from Qidong, where AFB1 exposure is high, also exhibited the mutation of the *p53* gene at codon 249 (Aguilar et al., 1994). Therefore, mutation of the *p53* gene may be an early event in AFB1-related hepatocarcinogenesis. In human HCC tissue with infection of hepatitis B virus (HBV), HBV X protein (HBx) appears to form a complex with *p53* protein and inactivate the *p53* (Feitelson et al., 1993). In a transgenic mouse model where expression of the HBx

induces tumor development in the liver, HBx binds to normal *p53* protein and may inactivate *p53* without mutation of the *p53* gene (Ueda et al., 1995). Therefore, in the HBV-related hepatocarcinogenesis, an epigenetic change altering the function of the *p53* may have occurred at an early stage (Henkler et al., 1995; Wang et al., 1995). Since the development of human HCC is a complex event associated with many agents, the role of inactivation of *p53* in hepatocarcinogenesis may differ with the etiology (Unsal et al., 1994).

*p21<sup>WAF1</sup>* has been shown to mediate *p53*-induced cell cycle arrest (El-Deiry et al., 1993), and to inhibit CDK activity (Harper et al., 1993) and proliferate cell nuclear antigen (PCNA)-dependent DNA replication (Waga et al., 1994). An increased *p53* level induced an increased amount of the *p21<sup>WAF1</sup>* protein in response to DNA damage. However, in human pancreatic carcinoma and melanoma, expression of *p21<sup>WAF1</sup>* correlated neither with *p53* mutational status nor with *p53* expression, although almost all mutations of the *p53* gene were in the specific DNA-binding domain (DiGiuseppe et al., 1995; Vidal et al., 1995). On the contrary, the expression of the *p21<sup>WAF1</sup>* gene correlates with *p53* status in human HCC (Hui et al., 1997).

Do the mutations of the *p53* gene affect the expression of other *p53* inducible genes such as the *gadd45*, *bax* and *Fas* genes, and the efficiency of DNA repair and apoptosis in HCC? HBx has been reported to inhibit *p53*-mediated apoptosis and to contribute to an early stage of hepatocarcinogenesis (Wang et al., 1995). The clone with inactive *p53* may escape from apoptosis and be selected by hypoxia during the expansion of HCC, and finally grow to a treatment-resistant tumor (Graeber et al., 1996). Hypoxia and cancer therapy, such as gamma radiation and anticancer drugs, may provide physiological selective pressure to tumors for the expansion of variants with the mutation of the *p53* gene that have lost the apoptotic potential (Lowe et al., 1994; Kinzler and Vogelstein, 1996). An important outcome of the inactivation of the *p53* protein in hepatocarcinogenesis may be the inhibition of *p53*-dependent apoptosis during tumor development and induction of a tumor that is resistant to anticancer therapies.

## (2) The *RB* gene

Loss of the restriction point (R-point) control in cell cycle is associated with malignant transformation and has been proposed to be one of the hallmarks of cancer (Strauss et al., 1995). Recently, the D-type cyclin has been suggested to act as an R-point protein (Baldin et al., 1993; Quelle et al., 1993), and the RB protein (pRB) to regulate the G1 to S transition (Dowdy et al., 1993). pRB prevents premature G1/S transition via physical sequestration of transcription factors the functions of which are required to activate S-phase genes. Phosphorylation of pRB at the R-point in G1 leads to release of the captured transcription factors from the complex with pRB (Chellappan et al., 1991). In addition

to its well established role as a cell cycle regulator at the G1 phase of the cell cycle, many recent studies have pointed to a role for pRB in differentiation and development (De Luca et al., 1996).

Previously, we analyzed the LOH at 8 loci on chromosome 13q and the structural alteration of the *RB* gene in 56 HCCs by Southern blot analysis. Allelic loss on chromosome 13q was detected in 35% of HCCs, whereas LOH was not detected on any loci in cirrhotic nodules. On chromosome 13q, the common deleted region was mapped to the region including the *RB* locus. Furthermore, one case with allelic loss on chromosome 13q had an interstitial deletion of the *RB* gene (Nishida et al., 1992). Zhang et al. (1994) also reported that the loss of the region of chromosome 13q including the *RB* locus significantly correlated with loss of RB protein in HCC by immunohistochemical analysis, indicating the involvement of inactivation of the *RB* gene in hepatocarcinogenesis (Zhang et al., 1994).

### (3) The cyclin D1 and CDK4 genes

Many studies have revealed that the phosphorylation of pRB in the G1 phase is carried out by the CDK4 and CDK6 complex with cyclins D1, D2 and D3 (Weinberg, 1995). In human cancer, the *cyclin D1* gene is rearranged in the parathyroid tumor and B-cell lymphoma and amplified in head and neck squamous cell carcinoma, breast cancer and esophageal carcinoma (Motokura et al., 1991; Jiang et al., 1992, 1993; Schuurin et al., 1992; de Boer et al., 1993). Stable overexpression of cyclin D1 in rat embryofibroblasts decreased the duration of G1 and induction of tumor formation in nude mice (Lovec et al., 1994). Furthermore, the transgenic mice with overexpression of the *cyclin D1* gene tend to develop breast cancer (Wang et al., 1994). These findings suggest that cyclin D1 is a critical component of the proliferation signal in G1 and its overexpression accelerates tumor cell growth. We found that the *cyclin D1* gene was amplified 3-16 fold in five of the 45 HCCs (11%) examined. We also analyzed the mRNA of cyclin D1 in four HCCs with gene amplification, and 6-10 fold overexpression was detected in all of them (Nishida et al., 1994b). The *cyclin D1* gene was amplified and overexpressed in 13% of HCC from Taiwan (Zhang et al., 1993). Because the *cyclin D1* gene was amplified in patients at an advanced stage of HCC with rapid tumor growth, it appeared to be associated with the aggressive behavior of the tumor (Nishida et al., 1994b). The *CDK4* gene, the product of which is a partner of cyclin D1 is amplified in glioma and osteosarcoma (Khatib et al., 1993; Schmidt et al., 1994). However, the *CDK4* gene was not amplified in our HCC cases (Kita et al., 1996).

### 4) The *p16<sup>INK4A</sup>* gene

In addition to activation of the cyclin D/CDK and inactivation of the *RB* gene, the R-point control is often

abrogated by loss of function of *p16<sup>INK4A</sup>* (Nobori et al., 1994). *p16<sup>INK4A</sup>* interacts with cyclin D1-CDK4 or cyclin D2-CDK4 and may act as an important factor in a regulatory feedback circuit with CDK4, D-type cyclins, and pRB (Lukas et al., 1995). *p16<sup>INK4A</sup>* is considered as a candidate tumor suppressor gene (Nobori et al., 1994), since homologous deletion (Caldas et al., 1994; Kamb et al., 1994; Okamoto et al., 1994; Cairns et al., 1995), point mutation (Hayashi et al., 1994; Mori et al., 1994; Yoshida et al., 1995), or methylation of the 5'CpG island of the *p16<sup>INK4A</sup>* gene (Gonzalez-Zulueta et al., 1995; Herman et al., 1995; Merlo et al., 1995), all of which result in loss of function of this protein, is reported in the majority of cell lines derived from various types of cancer and primary tumor tissue.

We examined the genetic status of the *p16<sup>INK4A</sup>* gene and LOH on chromosome 9p in 62 human HCC. Although no sample showed the homologous deletion of the *p16<sup>INK4A</sup>* gene, we detected intragenic mutation in 3 HCCs (5%), and 1 of 6 cell lines (17%), which were low values compared with those reported for other types of tumors such as melanoma, esophageal cancers, pancreatic adenocarcinomas, gliomas and lung cancers (Caldas et al., 1994; Kamb et al., 1994; Mori et al., 1994; Nobori et al., 1994; Schmidt et al., 1994). Sequencing revealed that 3 of these 4 samples had missense mutations and the remaining samples had a 2-base deletion causing a frameshift (Kita et al., 1996). LOH analysis on chromosome 9p revealed that 2 out of 3 samples with mutation of the *p16<sup>INK4A</sup>* gene had LOH on chromosome 9p. Yang (1995) described the effect of point mutations of the *p16<sup>INK4A</sup>* gene on the function of the protein and suggested that those point mutations in the conserved ankyrin affect the ability of *p16<sup>INK4A</sup>* to bind CDK and to inhibit CDK activity (Yang et al., 1995). Since Ala (codon 94), which was mutated in our 2 primary HCC samples, is in the consensus sequence of ankyrin repeat and one case had a 2-base deletion causing a frameshift, these mutations may disrupt the function of the protein and play some role in hepatocarcinogenesis. As mentioned above, genetic aberration of the *p16<sup>INK4A</sup>* gene is rare in HCC-derived cell line and human HCC (Okamoto et al., 1994; Kita et al., 1996), but several studies suggested that methylation of the 5'CpG island of the *p16<sup>INK4A</sup>* gene is associated with the inactivation of *p16<sup>INK4A</sup>* and that this aberrant methylation occurred frequently in colon cancer and breast cancer that rarely showed homozygous deletion of the *p16<sup>INK4A</sup>* gene (Little and Wainwright, 1995; Merlo et al., 1995). Furthermore, Hui et al. (1996) reported that the *p16<sup>INK4A</sup>* protein was absent from 11 of 32 primary HCCs (34%) and that neither homozygous deletion and mutation nor loss of *p16<sup>INK4A</sup>* mRNA expression were detected. *p16<sup>INK4A</sup>* may actually be inactivated more frequently by posttranscriptional regulation in hepatocarcinogenesis (Hui et al., 1996).

**Table 1.** Relationship between aberration of the *RB* gene or LOH on chromosome 13q and those of other cell cycle-related genes.

	No. of cases with amplification of the <i>cyclin D1</i> or mutation of the <i>p16<sup>INK4A</sup></i> gene or LOH on 9p	No. of the cases with mutation of the <i>p53</i> gene or LOH on 17p
No. of the case with aberration of the <i>RB</i> gene or LOH on 13q	1	7
No. of the cases without aberration of the <i>RB</i> gene or LOH on 13q	9	9
Total cases	10	16

Allelic loss on chromosomes 13q may associate with the disruption of the RB function (Nishida et al., 1992; Zhang et al., 1994). Therefore, we examined the LOH on chromosome 13q in samples where the *cyclin D1* gene was amplified. LOH on chromosome 13q was not detected in samples with the amplified *cyclin D1* gene, but detected in only one sample with mutation of the *p16<sup>INK4A</sup>* gene or LOH on chromosome 9p. Aberration of the *cyclin D1* or *p16<sup>INK4A</sup>* gene seems to be rare in samples with disruption of RB ( $p=0.031$ , Fisher's exact method). On the contrary, LOH on chromosome 13q was not correlated with the aberration of the *p53* gene ( $p=0.35$ , Fisher's exact method).

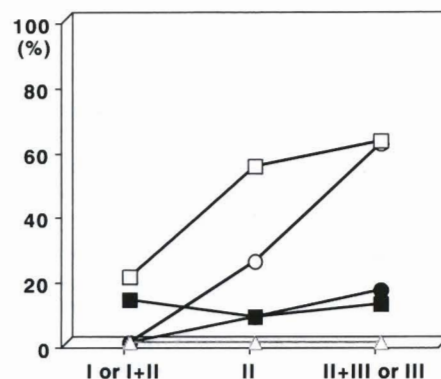
### The relationship among the aberrations of cell cycle-related genes

Loss of pRB, overexpression of cyclin D1 and loss of *p16<sup>INK4A</sup>* may have a similar stimulating effect on G1 progression (Lukas et al., 1995). Aberration is usually observed in only one of these genes in a single tumor (Okamoto et al., 1994), and the inverse correlation of pRB and *p16<sup>INK4A</sup>* expression was also reported in non-small cell lung cancer (Kratzke et al., 1996). During HCC development, a component of the G1 control mechanism may also be deregulated. As mentioned above, allelic loss on chromosome 13q seems to be associated with the disruption of the RB function (Nishida et al., 1992; Zhang et al., 1994). We then examined the LOH on chromosome 13q in samples with the amplification of the *cyclin D1* gene with LOH on chromosome 9p where the *p16<sup>INK4A</sup>* gene is located, or with aberration of the *p53* gene (Table 1). The LOH on chromosome 13q was not detected in samples with amplification of the *cyclin D1* gene (Nishida et al., 1994b). An inverse relationship was also observed between LOH on chromosome 13q and LOH on chromosome 9p, namely, tumor samples with LOH on chromosome 13q rarely showed LOH on chromosome 9p or mutation of the *p16<sup>INK4A</sup>* gene (Kita et al., 1996). These findings indicate that alteration of any one of the G1 control components, pRB, *p16<sup>INK4A</sup>*, or cyclin D1 is sufficient to disrupt the G1 checkpoint and contribute to hepatocarcinogenesis. On the other hand, LOH on chromosome 13q does not seem to correlate with the aberration of the *p53* gene, indicating that p53 participates in an independent pathway such as control of the G1 checkpoint in response to DNA damage, or induction to apoptosis (Dulic et al., 1994).

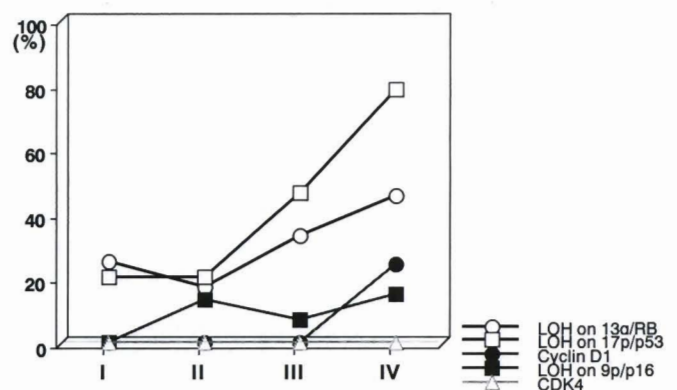
### Progression of HCC and aberration of each cell cycle-related gene

Several reports indicated that the prognostic significance of the aberration of cell cycle-related gene in malignant tumor, such as esophageal cancer and non-small cell lung cancer (Kitagawa et al., 1991; Kratzke et

### histological grade and genetic aberrations

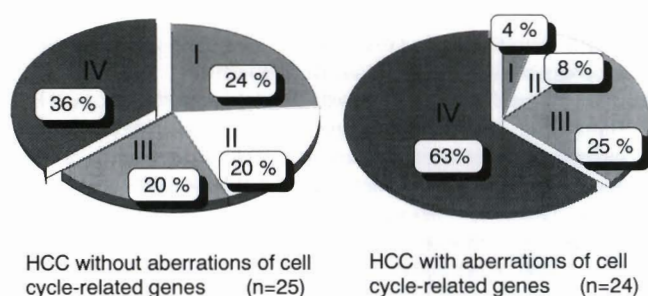


### stages and genetic aberrations



**Fig. 1.** Relationship between the aberration of each cell cycle-related gene and clinical parameters. The frequency of aberration was high in poorly differentiated tumors and in advanced clinical stages. The histological grade of each tumor was assigned according to the Edmondson and Steiner grading system (Edmondson et al., 1954), and the stage of tumor was determined according to the General Rules for the Clinical and Pathological Study of Primary Liver Cancer (Liver Cancer Study Group of Japan, 1992).

## Cell cycle-related genes in HCC

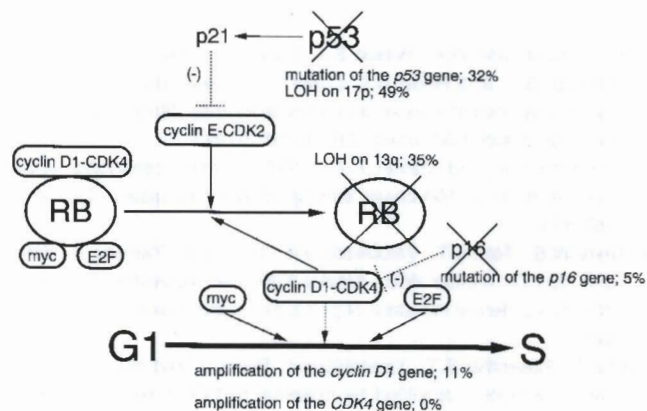


**Fig. 2.** HCC tumors were divided into 2 groups according to the presence or absence of the aberration in one of the cell cycle-related genes (the *p53*, *RB*, *cyclin D1* and *p16<sup>INK4A</sup>* genes). In the groups with aberrations of the cell cycle-related genes, 63% of the tumors were in stage IV. In contrast, 36% of the tumors were in stage IV in the groups without any aberrations of cell cycle-related genes.

al., 1996). Therefore, we also analyzed the relationship between clinicopathological features and aberration of each cell cycle-related gene in HCC. Aberrations of the *RB*, *cyclin D1*, and *p53* genes were frequently observed in patients with more poorly differentiated tumor and advanced stages, suggesting that disruption of G1 control and check point genes are associated with dedifferentiation and progression of HCC (Fig. 1). Among the five patients that showed the amplification of the *cyclin D1* gene, two HCCs were followed up during the entire clinical course without surgical removal of the tumor because of rapid growth and poor liver function. In both HCCs, the tumor was less than 5 cm at initial diagnosis and spread to the entire liver within 1-2 months. The other three HCCs were classified as most advanced stage at the time of surgery (Nishida et al., 1994b). These findings also indicate that disruption of G1 control may be closely associated with a tumor progression and unfavorable clinical course of the disease (Fig. 2).

### Conclusion

We have described the aberration of cell cycle-related genes in HCC. The relationship between each gene and frequency of aberration are schematically illustrated in Fig. 3. Mutation of the *p53* gene or LOH on chromosome 17p, and mutation of the *RB* gene or LOH on chromosome 13q was frequently observed in HCC. On the other hand, genetic aberrations of the *cyclin D1* and *p16<sup>INK4A</sup>* genes or LOH on chromosome 9p were not frequent, and amplification of the *CDK4* gene was not detected. However, aberrations of these cell cycle-related genes were frequently observed in advanced HCC (Fig. 2), and may be associated with poor prognosis. Therefore, further studies on the disruption of the G1 to S controlling mechanism should help to elucidate the mechanism of tumor progression and to develop an effective therapy of HCC, such as inhibition of the cell cycle progression from G1 to S in cancer cells.



**Fig. 3.** Schematic expression of the relationship of the cell cycle-related molecules. Frequencies of the genetic aberrations in each genes in human HCC are also shown. Loss of *p16<sup>INK4A</sup>* protein in HCC was reported by Hui et al., (1996).

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