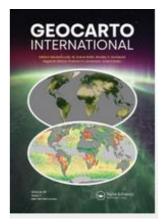
# **Accepted Manuscript**

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# Estimation of Actual Evapotranspiration using TDTM model and MODIS derived variables

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# Estimation of Actual Evapotranspiration using TDTM model and MODIS derived variables

Abstract: The objective of this paper is to contribute to improve ETa estimation in semiarid environments by proposing two variations to the TDMT model. These variations are based on the use of MODIS products from TERRA or AQUA satellites and on the use of NDVI instead of EVI, to estimate the fraction of vegetation cover. The proposed changes were validated with the original methodology for the 2012-2014 period with data obtained from two flux towers (ES-LJu and ES-Amo). The best results were obtained when using the alternative methodology (RMSE of 0.64-0.67 mm in ES-Lju and of 0.97-1.02 mm in ES-Amo). Additionally, a correction of the temporal systematic errors of the model based on Random Forest is proposed. With this correction, RMSE of 0.31-0.35 mm in ES-Lju and 0.30-0.34 mm in ES-Amo were obtained. The spatial distribution obtained with this corrected model is the most consistent with the characteristics of the study area.

Keywords: actual evapotranspiration; MODIS; TDTM; flux towers; Random Forest

# 1. Introduction

Actual evapotranspiration (ETa) is the amount of water evaporated and transpired under existing conditions. It is one of the most important processes in the water cycle and is differently influenced by various meteorological variables, such as precipitation, temperature or humidity of the soil and air, in different climatic regions (Yaseen *et al.* 2020), in addition to other factors such as vegetation cover and soil conditions. Accurate estimation of ETa is of particular interest in semi-arid regions, where water scarcity represents the main obstacle to agricultural production, economic well-being or sustainable development (Jamshidi *et al.* 2019a, Jamshidi *et al.* 2019b). An accurate and spatially distributed estimation of ETa is essential to improve water resources management (Yang *et al.* 2012), drought monitoring and assessment (Senay *et al.* 2013,

Lorenz *et al.* 2017), irrigation scheduling (Pereira *et al.* 2015), soil and water salinity problems associated with irrigated agriculture management in semi-arid areas (Minhas *et al.* 2020), calibration and validation of hydrological models (Herman *et al.* 2018) or the quantification of aquifer recharge (Beigi and Tsai 2014).

The estimation of ETa is rather complicated due to the large number of factors involved (Glenn *et al.* 2007). ETa can be measured at local scale using micrometeorological methods (Bowen ratio and eddy covariance system) or soil water balance (lysimeters). However, this approach is limited to areas smaller than 1 km<sup>2</sup> (Allen *et al.* 2011). The availability of the variables needed to estimate ETa as remote sensing products has boosted the development of new methods more feasible and cost-effective both at regional (Xiong *et al.* 2015) and global scales (Mu *et al.* 2011).

Kalma *et al.* (2008), Li *et al.* (2009) and Zhang *et al.* (2016) review such methods. Most of them are based on the surface energy balance (SEB methods), in which ETa is estimated as a residual of the surface energy balance. The SEB methods are divided into one-source and two-source methods. The latter allows to discriminate between soil evaporation and vegetation transpiration. Examples of one-source SEB methods are: Surface Energy Balance Algorithm for Land (SEBAL) (Teixeira *et al.* 2009a, Teixeira *et al.* 2009b, Rahimpour and Rahimzadegan 2021), Mapping Evapotranspiration at High Resolution with Internalized Calibration (METRIC) (Allen *et al.* 2007a, Allen *et al.* 2007b, Jamshidi *et al.* 2019a), Simplified Surface Energy Balance Index (S-SEBI) (Roerink *et al.* 2000), Surface Energy Balance System (SEBS) (Su 2002, Jamshidi *et al.* 2019b) and operational Simplified Surface Energy Balance (SSEBop) (Senay *et al.* 2013, Niyogi *et al.* 2020, Rahimpour and Rahimzadegan 2021). Two-Source Methods (TSM) include: TSM scheme (TSMN) (Norman *et al.* 1995), Atmosphere-Land Exchange Inverse (ALEXI) (Anderson *et al.* 2007), flux disaggregation approach of ALEXI (disALEXI) (Norman *et al.* 2003) and Three-Temperature (3T) (Xiong and Qiu 2014, Xiong *et al.* 2015).

Empirical methods based on the Penman-Monteith equation, such as MOD16 (Mu *et al.* 2011, Gavahi *et al.* 2020, Wang *et al.* 2021) or Priestley-Taylor (Niyogi *et al.* 2020, Pourmansouri and Rahimzadegan 2020) have also been developed; MOD16 being one of the most widely used.

Another approach is the use of graphical methods based on the interpretation of the scatter plot of surface temperature and vegetation indices (hereinafter Ts-VI space) (Zhu *et al.* 2017). Generally, the Ts-VI space is triangular, so these graphical methods are also known as triangle methods.

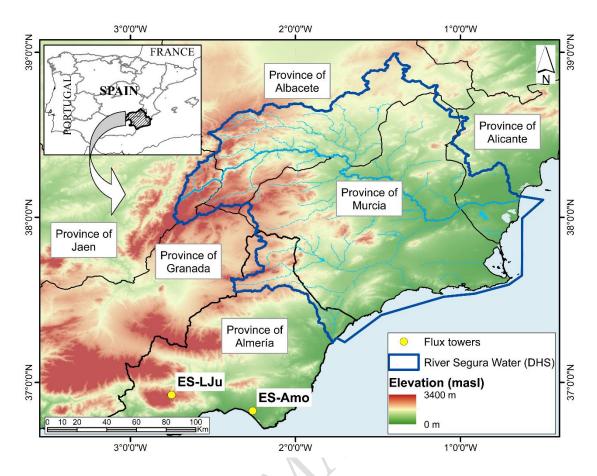
The Time-Domain Triangle Method (TDTM) is based on the interpretation of the scatter plot between the daily thermal amplitude and the Enhanced Vegetation Index (EVI) (Minacapilli *et al.* 2016). All the input data required, with the exception of air temperature, are derived from satellite imagery (MODIS sensor), which makes it suitable for estimating ETa in arid and semi-arid areas. It is based on the triangle method, first developed by Price (1990) and later modified by Jiang and Islam (2001) to allow its use with remotely sensed imagery. The feature space characteristic of the triangle method is defined by the daily thermal amplitude (difference between the surface temperature estimated by the MODIS sensor in the daytime and nighttime images of the TERRA satellite) and the EVI. The TDTM model was applied in Sicily (Italy) and its results were validated using ETa values estimated from the variables measured in 2 flux towers (Minacapilli *et al.* 2016).

The objective of this paper is to contribute to improve ETa estimation in semiarid environments proposing three main innovations. First and foremost, the proposal of two variations of the TDTM model based on an alternative methodology for the calculation of the vegetation cover fraction ( $F_c$ ) using NDVI instead of EVI and on the use of MODIS products from the AQUA and TERRA satellites. The second innovation is the use of Random Forest for the correction of systematic errors. The third is the proposal of the study itself as a framework to estimate ETa in a spatially distributed way from freely available information. We compare the accuracy of the modifications and of the original TDTM method by using observed ETa values at a daily scale in the period 2012-2014 and in 2 flux towers, which are part of the FLUXNET network, located in the province of Almeria, in the vicinity of the study area.

# 2. Methodology

#### 2.1. Study area

Our study was carried-out in the area managed by the River Segura Water Authority (DHS) (Figure 1). It is a semiarid area with scarce and irregular precipitation, high temperature, and high potential evapotranspiration. The mean annual precipitation is 375 mm/year (CHS 2015), being therefore one of the driest regions in continental Europe. However, its spatial distribution is closely related to relief, the highest precipitation values are registered in the extreme northwestern of the DHS with an annual average slightly higher than 1000 mm. Precipitation descends drastically in a northwest-southeast direction reaching 200-250 mm/year in the southwestern coast of the study area. On the other hand, the annual potential evapotranspiration in DHS ranges between 1100 and 1400 mm / year, registering in most of the DHS (83%) values between 1200 and 1300 mm / year with an average of 1258 mm (Gomariz-Castillo 2016). ). Despite the scarcity of water, agriculture is an important economic sector. Population density and intensive irrigated agriculture represent a significant water demand.



**Figure 1.** Study area and location of the flow towers used to validate the TDTM model and the proposed variations.

Altitude strongly influences the spatial distribution of temperature. The annual average temperature increases gradually from the Northwest mountain ranges (10 °C) to the coast (18 °C). The proximity to the sea softens summer and winter temperatures in the coast, while continental climatic features appear towards the interior area because of the distance to the sea and the presence of successive mountain alignments (Conesa-García and Alonso-Sarría 2006). Cloudiness increases in the equinoctial seasons, since anticyclonic situations are common in winter and summer.

The soils of the study area are characterized by a large diversity because of the combination of climatic, lithological, topographic, anthropic and biotic factors. Leptosols, Regosols, Fluvisols and Cambisols are the most common soil type in DHS.

Scrubs and grasslands occupy, according to data from the Corine Land Cover 2018 project, the 27 % of the DHS and are their most common vegetation type. This data is important, since this is the area that most closely resembles the vegetation present in the 2 flow towers used. After scrubs and grasslands, forests and permanent crops with 18.5% each one, are the most common land cover in DHS.

# 2.2. Remote sensing data

The following MODIS derived products (version 6) are needed to apply the TDTM model: MOD11A1 (Wan *et al.* 2015a), MYD11A1 (Wan *et al.* 2015c), MOD11A2 (Wan *et al.* 2015b), MYD11A2 (Wan *et al.* 2015d), MOD13Q1 (Didan 2015), MYD13Q1 (Myneni *et al.* 2015) and MCD43A3 (Schaaf and Wang 2015). Table 1 lists their main characteristics. The layers of these products were obtained using the MODIStsp R (Myneni *et al.* 2015), which allows to automate their download and processing. The data acquired by the MODIS sensor are grouped into 1200 x 1200 km tiles. The study area of this work corresponds to tile v5h17.

<b>Table 1.</b> Main characteristics of the products derived from the MODIS sensor: $T_{sup,day}$ is
daily temperature; $\varepsilon_0$ is emissivity; $\alpha$ is albedo; NDVI is the normalized difference
vegetation index; and EVI is the enhanced vegetation index.

Sensor	Platform	Layer	Name	Spatial resolution	Temporal resolution
MODIS	Tama	T	MOD1141	(km)	(days)
MODIS	Terra	T <sub>sup,day</sub>	MOD11A1	1	1
MODIS	Aqua	T <sub>sup,day</sub>	MYD11A1	1	1
MODIS	Terra	80	MOD11A2	1	1
MODIS	Aqua	ε <sub>0</sub>	MYD11A2	1	1
	Terra and Aqua	α	MCD43A3	0.5	1 (Average 16 days)
MODIS	Terra	NDVI,EVI	MOD13Q1	0.25	16
MODIS	Aqua	NDVI,EVI	MYD13Q1	0.25	16

#### 2.3. Flux towers data

Data from two flux towers located in scrub areas in the province of Almeria, bordering the study area (Figure 1), were used to validate the estimated values of ETa : Amoladeras (ES-Amo) and Llano de los Juanes (ES-LJu). Both are part of the FLUXNET (Pastorello *et al.* 2020). The characteristics of ES-LJu are described in Serrano-Ortiz *et al.* (2007) and Serrano-Ortiz *et al.* (2009) whereas those of ES-Amo are described in López-Ballesteros *et al.* (2017). Both are surrounded by scrub areas, the soil type in ES-LJu is a Lithic Haploxeroll (aridic soil moisture) and Leptosol in ES-Amo. So, both flux towers can be representative of the environment in most of the River Segura basin. Observed ETa data from both flux towers for the period 2012 - 2014 were used. The series is complete for ES-LJu, but only 57% of the days are available for ES-Amo. In addition to the ETa data, the managers of both flux towers also provided air temperature data, which will be used as input for the calculation of ETa using the 3T and TDTM models in both flux towers.

In both flux towers, latent heat flux (LHF) measurements are made every 30 minutes, so each instantaneous observation averages the 30-minute interval prior to the observation. These values were aggregated for the period from dawn to dusk to obtain ETa values on a daily scale assuming, as in Cleugh *et al.* (2007) and García *et al.* (2014), that ETa during the night period is negligible.

Figure 2 shows the daily values of ETa in the ES-LJu and ES-Amo flux towers in the 2012-2014 period. ES-Amo. Table 2 shows the monthly average daily ETa for ES-LJu and ES-Amo. The highest values are reached between April and July (especially in June). In the rest of the months the average daily ETa is less than 0.5 mm.

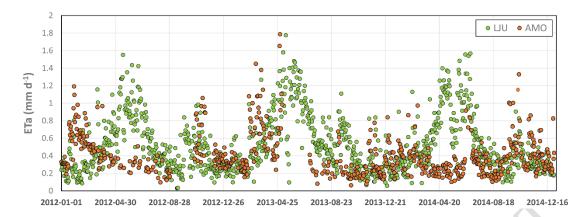


Figure 2. Daily ETa values measured in the ES-LJu y ES-Amo flux towers (2012-2014).

**Table 2.** Monthly average of daily ETa (mm  $d^{-1}$ ) in ES-LJu and ES-Amo flux tower (2012-2014). The percentage of missing data for each month is shown in parentheses for ES-Amo.

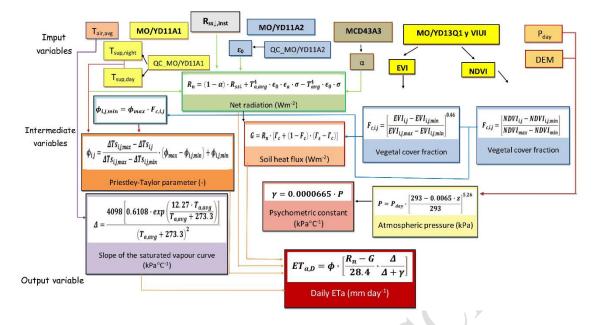
	Jan.	Feb.	Mar.	Apr.	May.	Jun.	Jul.	Aug.	Sep.	Oct.	Nov.	Dec.
ES-LJu	0.29	0.33	0.48	0.75	0.95	1.05	0.6	0.4	0.38	0.43	0.34	0.27
ES-Amo	0.42	0.52	0.56	0.4	0.38	0.35	0.31	0.22	0.4	0.41	0.47	0.34
%	(27)	(26)	(32)	(41)	(64)	(70)	(53)	(49)	(63)	(49)	(30)	(14)

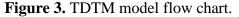
# 2.4. TDTM model

This section describes the methodology used to estimate ETa with the TDTM model using

surface temperature data obtained at both the TERRA and AQUA satellite passage times.

A flowchart of this methodology is shown in Figure 3.





In the TDTM model, the calculation of the real daily evapotranspiration (mm  $d^{-1}$ ) is carried out using the Priestley-Taylor equation (Priestley and Taylor 1972):

$$ET_{a,D} = \phi \cdot \left[ \frac{R_n - G}{28.4} \cdot \frac{\Delta}{\Delta + \gamma} \right]$$
(1)

Where  $\phi$  is the Priestley-Taylor parameter (Equation (2));  $R_n$  is the daily mean net radiation (Equation (6)); *G* is the daily mean heat flux (Equation (10));  $\Delta$  is the slope of the saturated vapour pressure versus air temperature curve (kPa °C<sup>-1</sup>) (Equation (11)) and  $\gamma$  is the psychrometric constant (kPa °C<sup>-1</sup>) (Equation (12)). The factor 28.4 is used to convert the available energy ( $R_n - G$ ) from (W/m<sup>2</sup>) to mm d<sup>-1</sup>. In Equation (1),  $\frac{\Delta}{\Delta + \gamma}$ represents the evaporative fraction (ratio of actual evapotranspiration to available energy).

# 2.4.1. Priestley-Taylor parameter calculation

Equations (2) to (4) show the process to estimate the  $\phi$  parameter for each pixel according to Minacapilli *et al.* (2016):

$$\phi_{i,j} = \frac{\Delta T s_{i,j,max} - \Delta T s_{i,j}}{\Delta T s_{i,j,max} - \Delta T s_{i,j,min}} \cdot \left(\phi_{max} - \phi_{i,j,min}\right) + \phi_{i,j,min} \tag{2}$$

$$\phi_{i,j,min} = \phi_{max} \cdot F_{c,i,j} \tag{3}$$

The suffixes *i,j* refer to the generic pixel;  $\Delta T s_{i,j,max}$  and  $\Delta T s_{i,j,min}$  are the daily maximum and minimum thermal amplitude in the analysed period. In this case the daily thermal amplitude is defined as the difference between the surface temperature provided by the MODIS sensor in the daytime and nighttime passage times of the TERRA and AQUA satellites; and  $\Delta T s_{i,j}$  is the daily thermal amplitude. Minacapilli *et al.* (2016) justify this variation on the grounds that the daily surface thermal amplitude is a good estimator of the resistance of the surface to external temperature variations. Therefore, a wet soil will have a higher resistance to temperature variation than a dry soil, and therefore a lower thermal amplitude.

The parameter  $\phi_{max}$  in the original Priestley-Taylor equation is assumed to be equal to 1.26 (Priestley and Taylor 1972). However, Minacapilli *et al.* (2016) propose to use a value of 1.35 in semi-arid areas. This paper will compare the results obtained with both values.

In this study, the fraction of vegetation cover for each pixel ( $F_c$ ). is obtained using 2 methods: 1) The reference method proposed by Minacapilli *et al.* (2016) that is based on the EVI index (Equation (4)) and 2) the method proposed by Carlson *et al.* (1995), based on the NDVI index (Equation (5)). The second methodology has been widely used to estimate ETa in semiarid environments (Tang *et al.* 2010, Tian *et al.* 2013, Zhou *et al.* 2014) and has been applied in this work, due to poor results obtained with the reference methodology in a preliminary study. Onwards the first and second methodologies will be called FC-EVI and FC-NDVI respectively.

$$F_{c,i,j} = \left[\frac{EVI_{i,j} - EVI_{i,j,min}}{EVI_{i,j,max} - EVI_{i,j,min}}\right]^{0.46}$$
(4)

$$F_{c,i,j} = \left[\frac{NDVI_{i,j} - NDVI_{i,j,min}}{NDVI_{max} - NDVI_{min}}\right]$$
(5)

 $EVI_{i,j}$  is the EVI value to each pixel;  $EVI_{i,j,max}$ ,  $EVI_{i,j,min}$  are the maximum and minimum EVI values estimated for each pixel over the entire study period,  $NDVI_{max}$  is equal to 0.86 (Prihodko and Goward 1997),  $NDVI_{min}$  is equal to 0.2 (Tang *et al.* 2010) and  $NDVI_{i,j}$  is the NDVI corresponding to each pixel.

# 2.4.2. Surface net radiation and heat flux from the ground

To calculate the net radiation  $(R_n)$  we used the methodology proposed by Minacapilli *et al.* (2016) with some variations:

$$R_n = R_{ss\downarrow} - R_{ss\uparrow} + R_{sl\downarrow} - R_{sl\uparrow} = (1 - \alpha) \cdot R_{ss\downarrow} + T_{a,avg}^4 \cdot \epsilon_0 \cdot \epsilon_a \cdot \sigma - T_{avg}^4 \cdot \epsilon_0 \cdot \sigma \quad (6)$$

 $R_{ss\downarrow}$ ,  $R_{ss\uparrow}$ ,  $R_{sl\downarrow}$  and  $R_{sl\uparrow}$  represent in W/m<sup>2</sup> the incoming shortwave, outgoing shortwave, incoming longwave and outgoing longwave radiation, respectively.  $T_{a,avg}$  is the daily mean air temperature and  $T_{avg}$  is the daily mean surface temperature (obtained from the average of the surface temperature estimated in the nighttime and daytime passes of both satellites).  $\alpha$ ,  $\epsilon_a$ and  $\epsilon_0$  are the albedo, air emisivity and soil emisivity, respectively.

Daily  $T_{a,avg}$  layers were obtained from Ruiz-Álvarez *et al.* (2020). The method used to obtain  $T_{a,avg}$  values also differs from the one used in the original TDTM model. Minacapilli *et al.* (2016) obtained  $T_{a,avg}$  is from the incoming longwave solar radiation and atmospheric emissivity whereas Ruiz-Álvarez *et al.* (2020) obtained it using machine learning based predictive models. The Daily Downward Surface Shortwave Flux (DIDSSF LSA-09) SEVIRI product were not used as  $R_{ss\downarrow}$  layers as in Minacapilli *et al.* (2016). They were obtained following the methodology described in Allen *et al.* (2006):

$$R_{ss\downarrow} = \tau \cdot R_a \tag{7}$$

$$R_a = \frac{G_{SC} \cdot d_T \cdot [\omega \sin\varphi \sin\delta + \cos\varphi \sin\omega]}{\pi} \tag{8}$$

Where  $R_a$  is the extraterrestrial radiation,  $\tau$  is the atmospheric transmissivity,  $G_{SC}$  is the solar constant (1367 W/m<sup>2</sup>),  $d_T$  is the inverse of the relative Earth-Sun distance,  $\omega$  is the angle of radiation at sunset (radians),  $\varphi$  is the latitude in radians and  $\delta$  is the solar declination. Both  $\delta$  and  $d_T$  depend on the Julian day (Allen et al., 2006). Finally,  $\omega$  is obtained according to Allen *et al.* (2006) as:

$$\omega_s = \arccos[-\tan\varphi\tan\delta] \tag{9}$$

The heat flux from the ground is obtained as:

$$G = R_n \cdot [\Gamma_c + (1 - F_c) \cdot (\Gamma_s - \Gamma_c)]$$
(10)

The parameter  $\Gamma_c$  is equal to 0.05 (Monteith and Unsworth 2013) and  $\Gamma_s$  is equal to 0.315 (Kustas and Daughtry 1990).

# 2.4.3. Calculation of $\Delta$ and $\gamma$

Equations (11) and (12) show the calculation of the slope of the saturated vapour pressure versus air temperature curve ( $\Delta$ ) and of the psychrometric constant ( $\gamma$ ) (Allen *et al.* 2006):

$$\Delta = \frac{4098 \left[ 0.6108 \cdot exp\left(\frac{12.27 \cdot T_{a,avg}}{T_{a,avg} + 273.3}\right) \right]}{\left(T_{a,avg} + 273.3\right)^2}$$
(11)

$$\gamma = 0.0000665 \cdot P \tag{12}$$

 $T_{a,avg}$  is the daily mean air temperature in °C and *P* is the atmospheric pressure (Equation (13)) whose spatially distributed values are obtained from the elevation above sea level (*z*) in metres, according to Allen *et al.* (2006).

$$P = 101.3 \cdot \left[\frac{293 - 0.0065 \cdot z}{293}\right]^{5.26} \tag{13}$$

In summary, tTwo variations to the methodology proposed by Minacapilli *et al.* (2016) to estimate Eta are proposed: Changing both the surface temperature used (estimated using TERRA or AQUA) and the method to calculate the fraction of vegetation cover used (FC-EVI or FC-NDVI). Below are shown the main characteristics and the name (between parenthesis) of each of the proposed variations and of the reference methodology:

- Reference methodology: use of FC-EVI and TERRA (TDTM-EVI-O model).
- 1st Variation: use of FC-EVI and AQUA (TDTM-EVI-Y model).
- 2nd Variation: use of FC-NDVI and TERRA (TDTM-NDVI-O model).
- 3rd Variation: use of FC-NDVI and AQUA (TDTM-NDVI-Y model).

# 2.4.4. Correction of model errors

In order to correct systematic errors related to the daily thermal amplitude, especially in Summer, we used a Random Forest (RF) model (Breiman 2001) to reproduce this effect and correct the ETa values. RF is a machine learning classification and regression model that does not make any assumption about the data to be modelled and can fit non-linear regressions. RF has two parameters that the user needs to set; however, the method is quite insensitive to their values, being the default values optimal in most cases (Liaw and Wiener 2002), so those default values were used.

The error was the dependent variable, and two predictors were used: 1) Difference between the daily thermal amplitude (dif-AT-Y for AQUA and dif-AT-O for TERRA) and 2) a cosine transformation of julian day (*cdayt*):

$$cdayt = cos\left(\left[t_D - t_C\right] \cdot \frac{2\pi}{365}\right) \tag{14}$$

Where  $t_D$  is the julian day and  $t_C$  is the julian day of the coldest day in the year; this predictor is a harmonic function ranging from -1 in the hottest day to 1 in the coolest day. The objective is to check if the error depends on the period of the year.

This correction was only applied to the results obtained for the models TDTM-NDVI-Y and TDTM-NDVI-O, as the results obtained by these models are more accurate than the results of the models TDTM-EVI-Y and TDTM-EVI-O.

# 2.5. Goodness of fit

The following statistics were used to analyse the fit of the modelled values of ETa:

• Root Mean Square Error (RMSE), which is defined as:

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (E_i - O_i)^2}$$
(15)

• Percentage Bias (PBIAS), which is defined as:

$$PBIAS = \frac{\sum_{i=1}^{n} (E_i - O_i)}{\sum_{i=1}^{n} (O|i) \cdot 100}$$
(16)

• Mean Absolute Error (MAE), which is defined as:

$$MAE = \frac{1}{n} \sum_{i=1}^{n} [E_i - O_i]$$
(17)

 $E_i$  and  $O_i$  are respectively the modelled and observed values of ETa.

# 3. Results

ETa values were obtained using the TDTM model, for all days with available surface temperature (both at the daytime and nighttime pass of the TERRA and AQUA satellites), vegetation indices (NDVI and EVI), albedo and with an average cloudiness fraction during the daytime lower than 20%. These conditions are met in 302 days in the ES-LJu flux tower, but only in 225 days in ES-Amo. The goodness-of-fit analysis of the modelled values of ETa were performed only for those days with modelled values of ETa for both satellites.

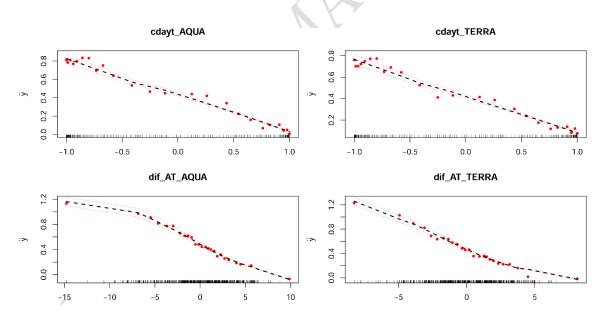
Table 3 shows the error statistics of the different variations of the proposed TDTM model. The fit for both satellites is very similar. Likewise, no significant differences are observed between the two values used for  $\phi_{max}$ , with slightly better results being obtained when a value of 1.26 is used. However, when considering the methodology used to obtain vegetation cover fraction, important differences are observed. A much better fit is obtained with Carlson *et al.* (1995) approach than with Minacapilli *et al.* (2016) original methodology. RMSE ranges from 0.65 to 0.97 in the first case and from 1.29 to 2.75 in the second case.

ES-LJu							ES-Amo					
	¢	) max = 1.	.26	φmax = 1.35			φmax = 1.26			φmax = 1.35		
Model	MAE	RMSE	PBIAS	MAE	RMSE	PBIAS	MAE	RMSE	PBIAS	MAE	RMSE	PBIAS
TDTM-NDVI-Y	0.52	0.68	81	0.58	0.75	93	0.68	0.90	147	0.73	0.97	165
TDTM-NDVI-O	0.50	0.65	79	0.56	0.72	91	0.66	0.89	140	0.71	0.96	157
TDTM-EVI-Y	2.15	2.51	381	2.38	2.75	420	0.98	1.29	229	1.07	1.40	252
TDTM-EVI-O	2.18	2.53	386	2.35	2.75	416	1.00	1.32	233	1.09	1.43	257

**Table 3.** Summary of the goodness of fit statistics of the ETa values estimated from the different variations proposed.

The largest errors in the estimation of ETa for both satellites occur in Summer and with daily thermal amplitude values below the mean monthly thermal amplitude. On these days there is an overestimation of the ETa. This tendency also appears, but less importantly, in the rest of the year. The reason of this overestimation is that the TDTM model assumes that areas with lower thermal amplitudes than the mean value for that day of the year would have wetter soils. However, this assumption is not fulfilled in the usually dry summers on the study area.

Figure 4 shows the effects of both variables when modelling the error of the TDTM-NDVI-Y and TDTM-NDVI-O models with RF. Errors increase gradually as *cdayt* approaches - 1, so the highest errors occur in Summer. The more negative are dif-AT-Y or dif-AT-O the higher the error, so they are minimize when these differences are close to zero or positive.



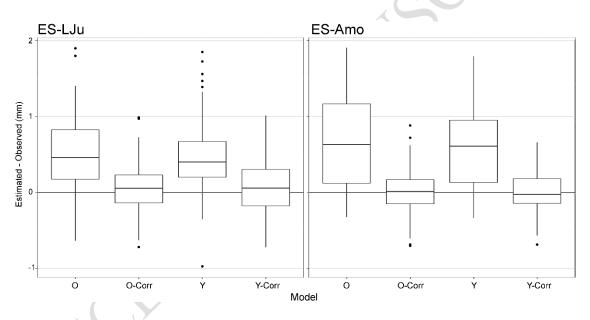
**Figure 4.** Effects of the predictors used in the RF model to correct the TDTM-NDVI-Y and TDTM-NDVI-O model.

The calibration of the RF model used to correct the error of TDTM-NDVI-Y and TDTM-NDVI-O models was carried out for every day with data in the years 2012 and 2013 (207 from ES-LJu and 131 from ES-Amo). As cloudiness is more common in the

study area in autumn, spring and winter, 42% of the data used in the calibration/validation process belong to the Summer period. The validation was carried out using the available data for 2014 (95 days from ES-LJu and 81 from ES-Amo).

To obtain corrected Eta values, the errors predicted by RF are subtracted from the ETa values estimated with the TDTM-NDVI-Y and TDTM-NDVI-O models.

Corrections improved significantly the performance of both models in the two flux-towers (Table 4). Figure 5 shows a box plot with the observed and modelled values for both satellites, both for the TDTM-NDVI-Y and TDTM-NDVI-O models (O and Y in the Figure 5) and for the correction we proposed (O-corr and Y-corr in the Figure 5).

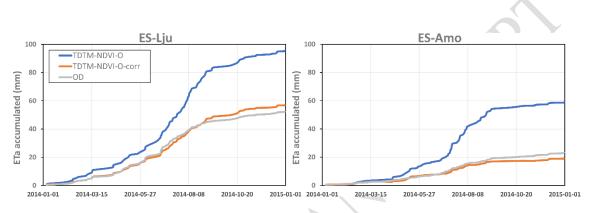


**Figure 5.** Comparison of the statistics obtained for the TDTM-NDVI-Y and TDTM-NDVI-O models and their respective corrections. Values of the validation series, corresponding to the year 2014.

**Table 4.** Summary of the goodness of fit statistics of the ETa values estimated from the different variations proposed.

	No cor	rected				
Model/Flux tower	MAE	RMSE	PBIAS	MAE	RMSE	PBIAS
TDTM-NDVI-Y/ES-LJu	0.54	0.67	87	0.28	0.35	12
TDTM-NDVI-O/ES-LJu	0.5	0.64	83	0.24	0.31	10
TDTM-NDVI-Y/ES-Amo	0.85	1.02	253	0.24	0.3	47
TDTM-NDVI-O/ES-Amo	0.79	0.97	233	0.27	0.34	46

Figure 6 compares the cumulative ETa values for the year 2014 obtained using TDTM-NDVI-O models and the proposed corrections, and the cumulative ETa values estimated in the flux towers. Figure 6 also shows daily ETa values both observed and estimated. The results obtained for the TDTM-NDVI-Y model are very similar. It is salient the strong reduction in the systematic bias present in the non-corrected estimations.



**Figure 6.** Accumulated ETa (mm) during the year 2014 for the days that meet the criteria established in this work. Observed data (OD), data estimated with TDTM-NDVI-O and with TDTM-NDVI-O-corr are shown.

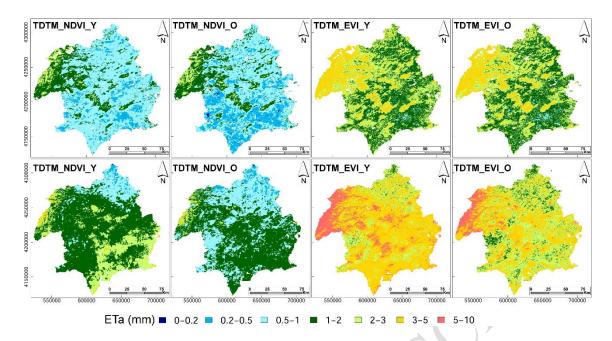
Table 4, and Figures 5 and 6 show the noteworthy improvement in the fit of the estimated values after applying the proposed correction. Although the difference between the estimated values from both satellites for the whole data set is very small, Table 5 shows that on a daily scale there are differences between the estimated values for both satellites. This table includes different percentiles of the differences between the modelled TDTM-NDVI-Y and TDTM-NDVI-O values and their respective corrections for both flux towers. These differences are reduced after correction. No differences appear among the two satellites, so they could be used indistinctly. The existence of differences between the use of different criteria for the selection of the ETa values from one satellite or the other on those days for which both are available.

Flux tower	min	<b>P10</b>	P25	P50	P75	<b>P90</b>	max			
No corrected										
ES-LJu	0.02	0.03	0.07	0.14	0.26	0.38	1.04			
ES-Amo	0.01	0.03	0.09	0.15	0.26	0.4	0.73			
Corrected										
ES-LJu	0.01	0.03	0.1	0.32	0.64	0.96	1.47			
ES-Amo	0.01	0.03	0.12	0.22	0.55	0.91	1.33			

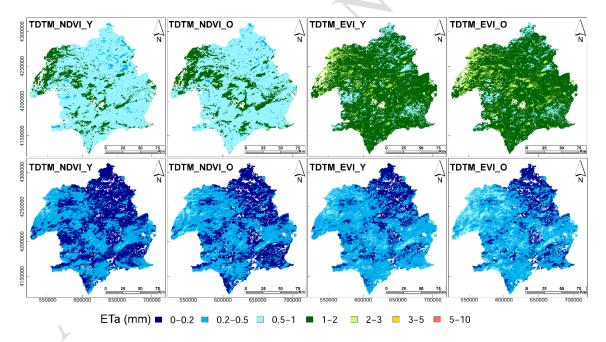
**Table 5.** Percentiles of the differences between estimated values for both satellites using TDTM-NDVI-Y and TDTM-NDVI-O models and their corrections.

On the other hand, a positive PBIAS was obtained for all the proposed variations and for both flux towers, which indicates an overestimation of ETa, although in TDTM-NDVI-Y-corr and TDTM-NDVI-O-corr models in the ES-LJu flux tower this overestimation is very small (PBIAS = 10 - 12). The highest PBIAS values were obtained for the TDTM-EVI-(Y-O) models with for  $\phi_{max} = 1.35$  in the ES-LJu flux tower (PBIAS = 416-420).

The spatial distribution of ETa values in Spring and Autumn according to the TDTM-NDVI-(O-Y) method (Figures 7 and 8) is coherent with the characteristics of the study area. The highest values of ETa are recorded in the mountain areas where rainfall is more intense and the NDVI index is higher (higher evaporation and transpiration). In winter, very low values of ETa are obtained using TDTM-NDVI-(O-Y), with few exceptions they do not exceed 0.5 mm, which is consistent with the coldest time of the year. Finally, summer values are the highest and are similar in many areas to those obtained for the same period in the ES-LJu flux tower. In general, the values of ETa obtained from the AQUA satellite data are slightly higher than those obtained from the TERRA satellite.



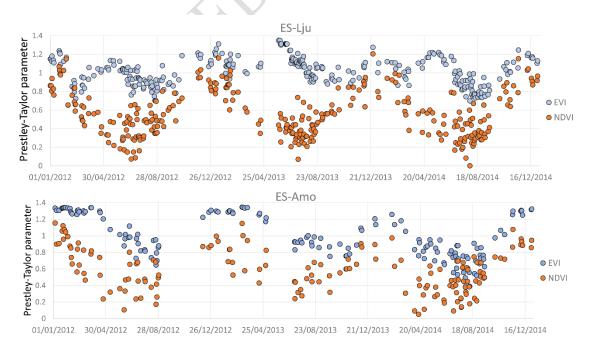
**Figure 7.**  $ET_{a,D}$  maps produced by the TDTM models in Spring (upper row) and Summer (lower row).



**Figure 8.**  $ET_{a,D}$  maps produced by the TDTM models in Autumn (upper row) and Winter (lower row).

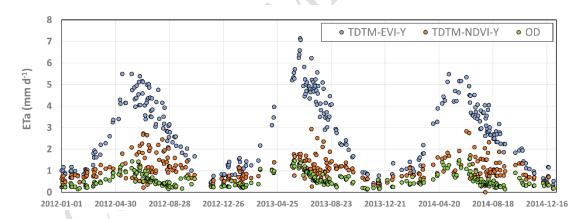
ETa estimations obtained using the TDTM-NDVI-Y or TDTM-NDVI-O models are much better than those obtained with the TDTM-EVI-Y or TDTM-EVI-O models in both flux towers. The reason is that the maximum values of NDVI (0.35 in ES-LJu and 0.42 in ES-Amo), producing  $F_C$  values between 0 and 0.05 in ES-LJu and between 0 and 0,11 in ES-Amo. Because of these low values of  $F_C$ ,  $\phi$  values depend mainly on the daily thermal amplitude and reach the smallest values in Summer, when the daily thermal amplitude is higher. This effect reduces the overestimation of ETa. However, this effect does not appear when using the original methodology of the TDTM method to obtain  $F_C$ (Equation (4)).

Figure 9 was obtained with ES-LJu and ES-Amo data for the TERRA satellite with  $\phi_{max}$ =1.26. The orange dots show the  $\phi$  parameter estimated using NDVI, while the blue dots show  $\phi$  estimated with the original, EVI based, methodology. In ES-Amo the values of  $\phi$  are slightly lower than in ES-LJu, while they are similar when obtained with TERRA or AQUA satellites. Figure 9 also shows that the  $\phi$  parameter based on NDVI has a clear temporal pattern, with the highest values in months with low insolation and the lowest values in the months with the highest insolation and, therefore, the greatest daily thermal amplitude. The high values of the  $\phi$  parameter in the coldest months do not cause an overestimation of the ETa because the available energy (*Rn* - *G*) is very low.



**Figure 9.**  $\phi$  values in relation with the methodlogy used to calculate  $F_C$ .

In the original (TDTM-EVI) methodology, Equation (4) produces FC values that range between 0 (for minimum EVI) and 1 (for maximum EVI). Moreover, with EVI values just 0.03 higher than the minimum EVI the  $F_C$  value is above 0.5. This means, as can be seen in Figure 9, that on most days the parameter  $\phi$  is above 0.5, reaching values close to  $\phi_{max}$  in late spring and early summer, when, as occurs in ES-LJu and many points of the DHS, the EVI values are close to the maximum EVI value. When this occurs, the very high  $\phi$  values cause a significant overestimation of ETa (Figure 10). Such Figure represents daily ETa values obtained with ES-LJu data, TDTM-EVI-Y and TDTM-NDVI-Y methods and with  $\phi_{max}$ =1.26. The blue dots represent the values obtained using the TDTM-EVI method and the orange dots present the values obtained using the TDTM-NDVI method, and the green dots (TF) represent the ETa values measured at the ES-LJu flux tower.



**Figure 10.** Daily ETa values and TDTM-NDVI-Y and TDTM-EVI-Y estimations in the ES-LJu flux tower.

# 4. Discussion

Minacapilli *et al.* (2016) obtained, averaging two flux towers, a BIAS of -0.4 mm and an RMSE of 0.70 mm, the latter value being considerably lower than the RMSE of 2.75 mm obtained in the present study for ES-LJu and also lower, although with a smaller difference, than the RMSE of 1.43 mm obtained for ES-Amo. However, our results with

TDTM-NDVI-O and TDTM-NDVI-Y have similar RMSE to theirs (0.73 mm for ES-LJu and 0.96 mm for ES-Amo). The locations of flux towers are not comparable, olive and orange tree crops in Minacapilli *et al.* (2016) whereas ES-LJun is located in a shrubland area and ES-Amo in a grassland area. Furthermore, we obtained ETa values only for clear days, unlike Minacapilli *et al.* (2016) where ETa values were obtained for all days, in the form of 8-day average values.

The ES-LJu flux tower has been used in numerous studies to obtain ETa. A summary of the results obtained in these studies is shown in Table 6. The TDTM-NDVI-O-corr method we used has the lowest RMSE value of all the methods compared. The TDVI quantile 99.99-0.001% method, based on the Temperature-Vegetation Dryness Index (TDVI) and the Priestley-Taylor equation, is the most similar to the TDTM-NDVI model. The PML-measured SWC method is based on the Penman-Monteith-Leuning equation (Leuning et al. 2008) and the LAI index. Morillas et al. (2013) applied it based on field measurements of net radiation, which can lead to an overestimation of ETa values. Furthermore, this method requires the optimisation of two parameters, which may hamper its use at regional scales. Although the SEBAL method, is one of the methods with lower RMSE, it has the main disadvantage of requiring the calculation of aerodynamic drag. The MOD16 and LSA-SAF-MSG methods are two of the most widely used global models for obtaining ETa. Hu et al. (2015) obtained the worst results in Europe for both methods in semi-arid areas such as the DHS, being the only areas where they obtain a correlation coefficient of less than 0.8. The MIKE-SHE SVAT scheme (Brisson et al. 2003) is the only hydrological model that appears in the table. It requires a large number of meteorological variables and parameters related to vegetation and soil, which makes its application at regional scales complex (Andersen 2008).

Method	Temporal	RN	RMSE	Temporal	Reference
	scale	calculatio	( <b>mm</b> )	range	
		n			
TDTM-NDVI(O-Y)	1 day	Modelled	0.64-0.67	2012-2014	This study
TDTM-NDVI-corr(O-Y)	1 day	Modelled	0.31-0.35	2012-2014	This study
TVDI quantile 99.99-0.001%	8 days	Field data	0.64	2008	Garcia et al. (2014)
PML-measured SWC	1 day	Field data	0.33	2005-2007	Morillas et al. (2013)
SEBAL	1 day	Modelled	0.34	2005-2006	Andersen (2008)
MOD16	8 days	Modelled	0.4	2011	Hu et al. (2015)
LSA-SAF MSG	8 days	Modelled	0.68	2011	Hu et al. (2015)
MIKE SHE SVAT	30 min	Modelled	0.37	2005-2006	Andersen (2008)

Table 6. RMSE of ETa estimated in the ES-LJu flux tower in other studies.

For the ES-Amo station, we only found results from the global models MOD16 and LSA-SAF MSG. With RMSE values in 2011 of 0.33 and 0.46 mm, respectively. These values are similar to the RMSE of 0.30 and 0.34 mm obtained in this study for the TDTM-NDVI-Y-corr and TDTM-NDVI-O-corr models.

# 5. Conclusions

This paper proposes a framework to estimate ETa in a spatially distributed manner in semiarid Mediterranean environments using the TDTM model and two variations of it, and to identify which has the best fit to the validation data. The correction of systematic errors using Random Forest (RF) is also proposed. Validation data come from two flux towers located in scrubland semiarid areas. One of them: ES-LJu has been widely used for the validation of ETa estimation models using remotely sensed data.

According to the validation results, the original TDTM method is significantly worse than in the literature. However, the results obtained with the proposed variation corrected with RF (TDTM-NDVI-corr(-O-Y) models) are very promising for both flux towers (RMSE between 0.31 and 0.35). Especially considering the simplicity of the model implementation.

A detailed analysis of the validation results shows that the uncorrected models, TDTM-EVI(-O-Y) and TDTM-EVI(-O-Y), have a similar fit independently of the satellite used. Similarly, neither the use of the original Priestley-Taylor value nor the proposed by Minacapilli *et al.* (2016) for semi-arid areas lead to a change in the fit. However, an improvement in the fit is observed when using NDVI as an alternative to estimate  $F_C$  (TDTM-NDVI-(O-Y) variations).

However, ETa modelled by the TDTM-NDVI-(O-Y) variations is overestimated in days when the daily thermal amplitude is lower than the monthly mean thermal amplitude, especially in the hottest period of the year. A RF model was proposed to analyse these errors taking into account both factors.

RF correction significantly improved the fit (TDTM-NDVI-corr(-O-Y) variations), reducing the bias and decreasing the dispersion of the errors with respect to the observed values. Regarding the temporal distribution of the errors, it is clear how the largest differences occur in summer in all variations, being corrected to a large extent when using *cdayt* and dif\_AT as covariates in RF. Regarding the spatial distribution of the results obtained in the variations, we observe a pattern consistent with the characteristics of the study area, with higher values in mountainous areas, in accordance with the existing rainfall pattern in the DHS, which increases in a SE-NW direction.

However, although the results obtained demonstrate the improvement using the proposed variations, in future work, it would be necessary to evaluate the TDTM-NDVI-(-O-Y) models with a larger number of observed data, ideally with different vegetation and climate conditions, in order to confirm this conclusion and to implement this correction at a regional scale.

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# **Declaration of interest statement**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

# **Disclosure statement**

No potential conflict of interest was reported by the author(s).

# Data availability statement

The data that support the findings of this study are available from the corresponding author, [F. G.-C.], upon reasonable request.

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