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8 **Industrial use of pepper (*Capsicum annum* L.) derived products: technological**
9 **benefits and biological advantages**

10

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20 **SUMMARY**

21 In pursuit of new bioactive compounds and natural ingredients for agro-food, cosmetic
22 and pharma industrial uses, as replacements for synthetic compounds and also for the
23 valorisation of crop plant's by-products, the recovery of pepper (*Capsicum annum*, L.)
24 bioactive compounds represent an interesting strategy to develop new products for the
25 industry. Besides, being used as a condiment, providing characteristic of pungency,
26 colour and flavour, the new pepper-derived ingredients may be used in preservation and
27 extension of lifespan of industrial products, as well as additives or technological
28 ingredients with antioxidant and antimicrobial activities. Moreover, the applicability of
29 the new products in pharmaceutical formulas for treating certain inflammatory and pain-
30 related conditions is also a possibility, since pepper fruits mainly contain capsaicinoids,
31 carotenoids, phenolic compounds, vitamin C and A, and minerals, such as iron and
32 calcium, with health-promoting potential. Further studies on appropriate extraction
33 protocols, stability, safety and bioactivity are key to provide with novel and promising
34 pepper ingredients for foods, cosmetics, and pharmaceutical applications.

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38 **KEYWORDS:** paprika, oleoresin, capsaicin, bioactive compounds, natural products,
39 valorisation, agrowaste

40

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62 **1. Introduction: Exploration and valorisation of bioactive products**

63

64 Over the last years, the interest in the discovery of natural compounds, the awareness of
65 the benefits of healthy foods, and the advances on analytical instrumentation, have
66 allowed to establish chemical and functional properties of the bioactive compounds
67 present in natural matrices. Thus, continuing quest of new phytotherapeutics and
68 improved technological applications, explain the attention given to pepper fruits and their
69 by-products as source of bioactive compounds. Indeed, developing scientific projects, to
70 exploit plant products and by-products, is one of the main topics of research and
71 innovation funded by the European Union under the Horizon 2020 framework program.
72 Being the objective of these projects providing extracts, enriched fractions and isolated
73 compounds of high purity, assessed for their safety and pharmacological effects, by cell-
74 based and *in vivo* assays, in order to be integrated in formulations and products in the area
75 of the food and pharma industries.

76 Pepper (*Capsicum annuum* L.) is an annual herbaceous plant belonging to the *Solanaceae*
77 family, which is cultivated in warm climate regions worldwide (Thampi 2003). Generally,
78 peppers are consumed raw (bell pepper) or in powdered form as a spice (chili pepper) or
79 as a colorant (paprika), being their production grown in recent years in a wide number of
80 varieties, as it is one of the most economical and agriculturally important vegetable crops
81 all over the world (246,000 tons) (FAOSTAT 2016).

82 Pepper fruits range from sweet, large and thick, like green bell peppers, to thin and hot
83 varieties, like cayenne. The fruits can be of different colours, from green, yellow, orange
84 and, corresponding to distinct stages of maturation and capacities of synthesizing
85 carotenoids or chlorophylls. Regarding flavour, these vegetables range from the sweet

86 (non-pungent) varieties, such as paprika, to the hot species, such as chilies or cayenne
87 (Buckenhüskes 2003).

88 In addition to the sensory features and used as condiments, due to its characteristic
89 pungency, aroma and colour, pepper is an important source of bioactive compounds that
90 offers health benefits, including vitamins C and E, provitamin A, carotenoids and
91 phenolic compounds. Metabolic and chemical processes, such as ripening, never stop in
92 samples unless these are subjected to deep-freezing in liquid nitrogen. Therefore, the
93 storage period after sampling must be minimized, and conditions should be controlled in
94 order to produce a high quality plant material for its characterization and further use
95 (Padilha, Pereira et al. 2015).

96 Even if the majority of the peppers in international trade are fresh produce, spice or
97 colorants, an important 4 - 5% of the fruits are discarded as non-marketable products or
98 by-products. However, these by-products can be sources of nutrients, secondary
99 metabolites and materials with applications in agro-food, pharma or cosmetics industries,
100 in a growing global market of value-added products, nutraceuticals, functional foods, and
101 personalised nutrition driven by industries and consumers.

102

103 **2. Pepper as a source of bioactive compounds and nutrients**

104

105 The content of bioactive compounds differs depending on the fruit part (placenta,
106 pericarp, and seeds), the cultivar or variety, the ripening stage, the climatic and storage
107 conditions as well as the processing practices.

108 Capsaicinoids and carotenoids are generally the major phytochemicals found in pepper
109 varieties, which add high commercial value to these fruits in terms of flavour
110 characteristics, colour and antioxidant properties, among other bioactivities. Peppers are

111 also rich in (poly)phenolic compounds, mainly flavonoids and phenolic acid derivatives,
112 and nutrients such as vitamins A and C, and minerals, including iron, calcium and
113 manganese, contributing greatly to the human diet (Liu 2013). Due to this, special
114 attention is needed in the characterization and exploitation of these plant products with
115 multiple uses understudied at the present time.

116

117 ***2.1. Phenolic compounds***

118 Phenolic acid derivatives and flavonoids represent the major groups of phenolic
119 compounds in pepper varieties (Jayaprakasha, Bae et al. 2012). They contribute to the
120 taste, colour and flavour of the fruits and also show health-promoting effects based on the
121 protection of the organism from the damage produced by oxidative agents, being a good
122 indication of the antioxidant capacity of peppers (Scalbert, Manach et al. 2005, Padilha,
123 Pereira et al. 2015). Nonetheless, the total radical-scavenging activity of pepper is also
124 influenced by the synergism between the total antioxidants in the sample, such as vitamins
125 and carotenoids (Conforti, Statti et al. 2007).

126 Numerous epidemiological studies indicate a possible association between the uptake of
127 phenolic acids and flavonoids and the reduction of the risk of coronary disorders, diabetes,
128 cancer, osteoporosis, and neurodegenerative diseases (Pandey and Rizvi 2009). Among
129 the flavonoids, the flavonol glycosides (e.g. quercetin-*O*-glycosides) are mainly found in
130 fruits, including quercetin 3-*O*-rhamnoside, quercetin 3-*O*-rhamnoside-7-*O*-glucoside,
131 quercetin 3-*O*-glucoside-7-*O*-rhamnoside, and quercetin glycosylated with rhamnoside-
132 glucoside attached either at the C-3 or C-7 position. Also some glycosides and aglycones
133 of luteolin, myricetin, kaempferol and apigenin derivatives are present in these fruits, for
134 instance, two luteolin *O*-glycosides [luteolin (apiosyl-acetyl)-glucoside and luteolin 7-*O*-
135 (2-apiosyl)-glucoside], five luteolin C-glycosides [luteolin 6-C-glucoside, luteolin 8-C-

136 glucoside, luteolin 6-C-arabinoside-8-C-glucoside, luteolin 6-C-glucoside-8-C-
137 arabinoside and luteolin 6,8 -di-C-glucoside] and two apigenin C-glycosides [apigenin 6-
138 C-arabinoside-8-C-glucoside and apigenin 6,8-di-C-glucoside], have been found in the
139 pericarps of bell sweet pepper varieties (Jayaprakasha, Bae et al. 2012, Asnin and Park
140 2015). These compounds have been identified by HPLC-MS/MS and NMR analysis and
141 spectral data, ranging their content in pepper varieties from 5 to 20 mg 100 g⁻¹ fresh
142 weight (F.W.) (Asnin and Park 2015). Generally, flavonols have been cited as having
143 high antibacterial, antifungal, antioxidant, and anticancer effects, related to the presence
144 and number of hydroxyl groups at certain positions and the double bond at the C2-C3
145 position (Rice-Evans, Miller et al. 1996), as in quercetin 3-O- α -l-rhamnopyranoside in
146 peppers (Materska and Perucka 2005).

147 On the other hand, *p*-coumaric, caffeic and 3,4-dimethoxy-cinnamic acid glycosides, are
148 characteristic phenolic acids in pepper fruits. These hydroxycinnamic acids are found as
149 major components in the pericarps and placenta, ranging their total contents from 50 to
150 500 mg 100 g⁻¹ F.W., depending on variety, maturity stage, and growing conditions
151 (Sakakibara, Honda et al. 2003). The phenolic acids from *Capsicum annum* genotypes
152 have been also shown as antimicrobial and antioxidants (Nazzaro, Caliendo et al. 2009).
153 Changes in the chemical composition during maturation of fruits is not well studied, and
154 some authors found a decrease of total phenolics content during ripening (Marin, Ferreres
155 et al. 2004, Conforti, Statti et al. 2007), being immature sweet green peppers richer in
156 flavonols than green, immature red, or red ripe sweet peppers (by ~4.5-fold reduction);
157 however, differences are not dramatic and for example, the glycosides of ferulic and
158 sinapic acid increased when fruits pass from the green to the red stage, probably because
159 of the sink characteristics of the fruit during ripening (Howard, Talcott et al. 2000, Marin,
160 Ferreres et al. 2004).

161 Anthocyanins are also present in some species of red and purple peppers and are
162 characterized by the basic core, the flavylium cation. Results of total anthocyanins ranged
163 from ~0.5 mg 100 g⁻¹ F.W. in ripe yellow fruits to ~28 mg 100 g⁻¹ F.W. in ripe red fruits,
164 according to different works, being delphinidin-3-trans-coumaroylrutinoside-5-
165 glucoside, the major anthocyanin present in these fruits (Padilha, Pereira et al. 2015).

166

167 A relevant subject of current research for the demonstration of health-promoting activity
168 of the phenolic compounds is the establishment of their bioavailability. The phenolic
169 compounds are metabolised in the enterocytes and the hepatic cells by methylation,
170 sulphatation and glucuronidation, being then absorbed into the circulation system and
171 distributed through the different organs of the body (Tomás-Barberán, Gil-Izquierdo et
172 al. 2009). Their bioavailability depends on several factors, such as the permeation and
173 transport mechanisms in the intestinal epithelium, the gastrointestinal stability of phenolic
174 fractions and the role of the gut microbiota in the biotransformation of these compounds
175 in their inter-individual differences, among others (Minatel, Borges et al. 2017). The use
176 of nanoformulations enriched in phenolic compounds either in topical or oral
177 administration formulas may increase their absorption and synergistic effects or combine
178 their use with certain drugs (Munin and Edwards-Lévy 2011), and that would also
179 represent new lines of research, development and innovation in the formulation of
180 pepper-derived products.

181

182 **2.2. Carotenoids**

183 Carotenoids are lipid soluble compounds derived from the isoprenoid pathway and stored
184 in the chromoplasts in pepper fruits. These terpenoids share a 40-carbon isoprene
185 backbone with a variety of ring structures at one or both ends. The carbon skeleton is

186 derived from five-carbon isoprenoid groups and contains alternating conjugated double
187 bonds (Guzman, Bosland et al. 2011).

188 Raw peppers are good sources of carotenoids that may vary in composition and content
189 due to genetic differences and degree of maturation, also influenced by production
190 practices as well as the processing conditions. In mature pepper fruits, the total carotenoid
191 contents showed great variability ranging from 0.69 to 30 mg g⁻¹ dry weight or 15 to 148
192 mg 100g⁻¹ fresh weight (Arimboor, Natarajan et al. 2015, Padilha, Pereira et al. 2015),
193 showing the pericarp and placenta similar values of total carotenoids (~ 0.4 %), while
194 these compounds are not found in seeds (Simonovska, Rafajlovska et al. 2014). There are
195 at least 34 metabolic related carotenoids in *Capsicum* peppers. For instance, the red
196 pigments capsanthin and capsorubin (unique to the *Capsicum* genus) are produced at the
197 end of the biosynthetic pathway, being therefore, only accumulated in red peppers.
198 Capsanthin, being mainly responsible of the red colour, usually represents a 40-60% of
199 the total carotenoids in different varieties. Other carotenoids are also accumulated during
200 fruit ripening, such as capsorubin and capsanthin 5,6-epoxide, β -carotene, β -
201 cryptoxanthin and violaxanthin (Ha, Kim et al. 2007). As a result of carotenoid
202 metabolism and accumulation in the chromoplasts of the pericarp, the green colour of the
203 fruit, which is principally due to the presence of chlorophyll, changes to yellow-orange,
204 having these varieties as major carotenoids, violaxanthin (37% to 68% of total
205 carotenoids), and lutein and β -carotene (5% to 14%) (Delgado-Vargas, Jiménez et al.
206 2000, de Azevedo-Meleiro and Rodriguez-Amaya 2009).

207 Regarding the carotenoid chemical structures and potential bioactivities, these
208 compounds have excellent antioxidant properties due to the presence of a conjugated
209 double bond-system, which gives them the ability to protect cells against free radicals by
210 scavenging reactive oxygen species (ROS), associated with reduced risk of developing

211 degenerative diseases, such as cancer, cardiovascular diseases, cataract, and macular
212 degeneration (Fiedor and Burda 2014). Capsanthin contains 11 conjugated double bonds
213 in its structure, a conjugated keto group and a cyclopentane ring, being a powerful
214 antioxidant (good free-radical quenching capacity). On the other hand, β -carotene and β -
215 cryptoxanthin possess lower antioxidant abilities, even though these compounds have the
216 same number of double bonds than capsanthin. Thus, the keto groups and cyclopentane
217 rings, besides the number of double bonds, are enhancers of the antioxidant activity on
218 these compounds (Kim and Oh 2009).

219 Pepper carotenoids are also predominantly precursors of vitamin A (α -and β -carotene and
220 β -cryptoxanthin), the ability to yield vitamin A (retinol), being only two dietary
221 carotenoids, lutein and zeaxanthin, able to reach the human retina, important for the
222 prevention of age-related macular degeneration and other ocular diseases such as
223 cataracts, since the human body is not able to synthesize them (Bernstein, Li et al. 2016).
224 With reference to carotenoids bioavailability, the transport of these bioactive pigments
225 from the gut occurs on uptake with lipoproteins (chylomicrons) into the lymph, followed
226 by circulating in the blood, and being distributed into various tissues with large
227 differences between organs. Different factors affect their absorption and bioavailability,
228 such as food matrix, solubilisation in mixed micelles and host-related factors (overweight,
229 disease state, microbiota etc.) (Bohn, Desmarchelier et al. 2017).

230

231 ***2.3. Capsaicinoids***

232 Chemically, these compounds are acid amines of vanillylamine and branch fatty acids
233 containing 8 to 13 carbons (Kobata, 1999). The main capsaicinoids present in peppers are
234 capsaicin (vanylamide of 8-methylnontrans-6-enoic acid) and dihydrocapsaicin
235 (vanylamide of 8-methylnonanoic acid). Together, they are often present in amounts

236 larger than 80% of total capsaicinoids, while the other derivatives occur in much smaller
237 quantities (Thomas, Schreiber et al. 1998, Perucka and Materska 2001). Besides these
238 two major capsaicinoids, other isolated capsaicinoids from hot peppers are
239 nordihydrocapsaicin, norcapsaicin, homocapsaicin, homodihydrocapsaicin,
240 nornorcapsaicin, nornornorcapsaicin, nonivamide, and some others (Barbero, Palma et al.
241 2006). Pungency of each capsaicinoid depends on its chemical structure that requires
242 presence of an amide bond attached to a vanylyl ring and an acyl chain (Szolesanyi
243 2004). The most pungent capsaicinoids are capsaicin and dihydrocapsaicin having value
244 of $\sim 16.1 \times 10^6$ Scoville Heat Unit (SHU), a simple organoleptic test to determine pepper
245 pungency (Dang, Hong et al. 2017). These compounds are synthesised in the cinnamic
246 acid pathway in glands of the pepper's placenta and the white rib which makes these parts
247 of fruits the hottest parts of the pepper (Topuz and Ozdemir 2007). In general,
248 capsaicinoids contents vary with genotype and maturity stage. For example, Deepa et al.,
249 found drastic differences in capsaicin levels between genotypes, being the changes of
250 these compounds not so pronounced by maturity states, even though a little decrease in
251 capsaicin levels was found in some varieties (Deepa et al., 2007). These differences could
252 be ascribed to different levels of peroxidase enzymes in different genotypes and maturity
253 stages (Estrada et al., 2000). In hot pepper varieties, the concentration of capsaicinoids
254 varies from 0.003 to 0.01% while in mild chillies it is from 0.3 to 0.5%. In strong chillies
255 the concentration goes to 1% (Perucka and Materska 2001, Topuz, Dincer et al. 2011)
256 and up to 2% in some Mexican varieties (Orellana-Escobedo, Garcia-Amezquita et al.
257 2013).

258 Capsaicin and other capsaicinoids have a rather strong biological activity and, therefore,
259 a possible pharmacological and clinical application for the treatment of neurological and

260 musculoskeletal pain, and inflammatory and oxidative disease states (Hayman and Kam
261 2008), as it would be comment below.

262

263 **2.4. Capsinoids**

264 Capsinoids are non-pungent compounds only found in few varieties of peppers with
265 similar structure to the capsaicinoids, such as capsiate (4-hydroxy-3-methoxybenzyl (E)-
266 8-methyl-6-nonenoate) and its derivatives dihydro-capsiate and nordihydrocapsiate,
267 which could be found in non-pungent red peppers, such as sweet chili pepper *Capsicum*
268 *annuum* L. var. (CH-19). The fundamental structure of capsinoids is a fatty acid ester with
269 vanillyl alcohol (Kobata, Sugawara et al. 2013). These compounds have recently emerged
270 and their mechanisms of action are poorly understood so far, however, they have shown
271 interesting antimicrobial activity (Bacon, Boyer et al. 2017), enhanced energy
272 metabolism via activation of the sympathetic nervous system in mice (Ohnuki, Haramizu
273 et al. 2001) and reported brown fat thermogenesis and reducing body fat activities in
274 humans (Saito and Yoneshiro 2013). Nevertheless, these compounds have been presented
275 as a promising alternative for those who abstain from capsaicin-containing foods due to
276 the pungency (Ludy, Moore et al. 2012).

277

278 **2.5. Vitamins**

279 Peppers are good sources of vitamins, having high levels of vitamin C, E and provitamin
280 A. For instance, one medium sized green bell pepper fruit contains 180% of
281 recommended daily allowance (RDA) for vitamin C and 8% for vitamin A (Geleta and
282 Labuschagne 2006). Therefore, relatively modest amount of pepper fruit ensures adult
283 RDA for vitamin C. These vitamins are also known as strong antioxidant compounds that
284 have positive impact on human health. Among them, vitamin C has a particular high

285 antioxidant activity, reducing the levels of free radicals and quelling peroxidation
286 reactions in the human body, and, therefore, reducing the risk of cardiovascular diseases
287 and some types of cancer (Navarro, Flores et al. 2006). Levels of vitamin C present in
288 pepper fruits depend on several factors as genotype (variety), maturity stage, and
289 harvesting time, postharvest handling and processing and storage conditions. In general,
290 the experiments measuring vitamin C levels during ripening show an increase in vitamin
291 C with pepper maturity (Lee and Kader 2000, Marin, Ferreres et al. 2004). Postharvest
292 handling and storage are mainly affected by temperature and processing, having a bigger
293 loss of vitamin C with higher temperature and processing (like drying and grinding)
294 (Howard and Wildman 2007).

295

296 **2.6. Minerals**

297 The most common essential minerals found in peppers are potassium (K), Magnesium
298 (Mg), Phosphorus (P), Calcium (Ca), Iron (Fe), Copper (Cu), Zinc (Zn), Manganese
299 (Mn), and Boron (B). Amounts of minerals vary and depend on variety, maturity stage
300 and environment where it is grown. For example, some authors found that red peppers
301 had higher levels of K, Mg, P, Fe, Cu, Zn, Mn, and B than green peppers (Rubio et al.,
302 2002) while some other researchers found significantly lower levels of Fe, Cu, Zn, K, Ca
303 and Mg in red peppers compared to green ones (Perez-Lopez et al., 2007). Additionally,
304 some other groups studied mineral content among different pepper varieties and found a
305 significant variability among tested cultivars (Guil-Guerrero et al., 2006; Jadcak et al.,
306 2010). Martinez et al. (2007) have found in green and red peppers K to have the highest
307 concentration while green peppers from the supermarket had higher amounts of Ca and
308 Na compared to freshly picked peppers. Other minerals scarcely changed between
309 samplings such as Zn, Mn, and Cu. The agricultural practices (organic vs. conventional

310 farming) may influence the mineral contents of plant-derived foods, including peppers,
311 which in general, had higher mineral contents when produced organically (Pérez-López,
312 López-Nicolas et al. 2007).

313

314 **2. Specific extraction methods, extracts, enriched fractions and isolated compounds**

315

316 Compounds present in peppers are subjected to different extraction methods to be
317 isolated, characterized and finally applied to industry. These methods could be divided
318 into two groups: classical methods such as Soxhlet extraction, maceration and magnetic
319 stirring, and modern methods like supercritical fluid extraction, ultrasound assisted
320 extraction, enzymatic extraction, microwave assisted extraction, and pressurized liquid
321 extraction (Barbero, Liazid et al. 2008). Most of the classical methods require high
322 amount of solvents and long times of extraction, as in the case of the Soxhlet extraction
323 method (Luque de Castro and García-Ayuso 1998). On the contrary, more modern
324 methods have reduced the extraction times and quantities of solvents, achieving a lower
325 impact on the environment. Among these methods, supercritical fluid extractions and the
326 use of ultrasounds or the microwave in the extractions are the most used, which basic
327 parameters are established in preliminary work in order to optimize the extractions. The
328 parameters selected to be optimized are commonly the appropriate solvent and its volume,
329 the temperature and the time, and the quantity of the sample (Barbero, Palma et al. 2006).
330 The selection of these criteria depends on the polarity of the specific compounds subject
331 of the extraction, their stability, the amount of the analytes and the type of the container
332 matrix. The most extracted compounds in pepper matrices are capsaicinoids and
333 carotenoids, being carotenoids mainly extracted as paprika oleoresin, which is use as
334 natural food colorant (Uquiche, Valle et al. 2004).

335 *Capsicum* oleoresin is an important product of pepper processing with application in the
336 food and pharmaceutical industry. Oleoresin is made of lipid components (fatty acids and
337 triglycerides), pigments (capsaicinoids and carotenoids) and some other compounds at
338 low concentrations (Fernández-Ronco, Ortega-Noblejas et al. 2010). Different methods
339 have been employed to extract and use these compounds for health (capsaicinoids) or
340 food colouring (carotenoids) applications. Conventionally, oleoresin has been produced
341 by extraction using organic solvents according to the European Medicine Agency, such
342 as ethanol or propanol (Agency 2015) or using hexane (authorized by the FDA from the
343 US), which is a rather complex and energy requiring process. To overcome these
344 problems, extractions by green technologies, such as the use of supercritical CO₂
345 methods, are being employed currently to extract oleoresin and to separate it into enriched
346 fractions of bioactive compounds, such as capsaicinoids and carotenoids, obtained from
347 fresh, ripe or dried fruits (de Aguiar, Sales et al. 2013, Fernández-Ronco, de Lucas et al.
348 2013).

349 Regarding modern extraction methods, the use of ultrasound assisted extraction is
350 relatively simple and inexpensive in equipment. Different solvents, temperatures, times
351 of extraction, solvent volumes and quantities of sample have been selected to optimized
352 the ultrasound energy, being the optimum extraction the use of methanol 100%, extraction
353 temperature at 50 °C and extraction time 10 minutes for 1 g of sample (Barbero, Liazid
354 et al. 2008).

355 Microwave assisted extraction has been also employed to extract capsaicinoids from
356 peppers (Barbero, Palma et al. 2006). This methodology applies the energy obtained by
357 microwave radiation to extract the compounds of interest. In this study, authors studied
358 five solvents (methanol, ethanol, ethyl acetate, acetone and water), different temperatures
359 (50-200 °C), extraction times (5-20 min), solvent volumes (15-50 ml) and sample

360 quantities (0.1-1 g) as basic parameters. The best results were obtained under optimal
361 conditions by using 25 mL of ethanol 100 % as a solvent, at 125 °C, for 5 min with 0.5 g
362 of pepper.

363 In a similar manner, Perva-Uzunalic et al., (2003) tested different pressures and
364 temperatures for the optimization of the supercritical CO₂ extractions, obtaining the
365 highest yield of capsaicinoids and colouring compounds from chilli pepper at the pressure
366 of 400 bar and temperature of 40 °C, with the 96 % of capsaicinoids and 80% of
367 carotenoids extracted from the raw material (Perva-Uzunalić, Škerget et al. 2004).
368 According to these results, Daood et al., (2002) concluded a 5-fold increase in the
369 extraction yield of carotenoids increasing pressure from 100 to 400 bar (Daood, Illés et
370 al. 2002). The most important advantage of the supercritical CO₂ extraction is the
371 collection of an extract free of residues of organic solvents when compared to the other
372 extraction methods. Also a separation of enriched fractions of *Capsicum* oleoresin
373 compounds could be produced using supercritical CO₂ counter current extraction
374 methods, obtaining one fraction enriched in capsaicinoids and a refined fraction enriched
375 in carotenoids, being the economic feasibility of the separation process evaluated in terms
376 of costs and benefits (Fernández-Ronco, Gracia et al. 2011).

377

378 **4. Agro-food industrial applications**

379

380 Among the different pepper derived products used in the industry, one of the most
381 important is the powder, called paprika, being the 70% of its production used as spice in
382 meat products, soups, sauces and snacks. The total world supply of paprika powder is
383 approximately 60,000 tons per annum, in contrast to the 1,400 tons of paprika oleoresin
384 produced (Buckenhüskes 2003). Currently, additionally to the traditional pungency,

385 colour and taste attributes, other important characteristics are considered in the food
386 processing industries, such as the antimicrobial or antioxidant activities, as well as other
387 multiple uses of *Capsicum* derived ingredients in the agro-food industry as exemplified
388 in Table 1.

389

390 **4.1. Antimicrobial activity**

391 Although *Capsicum annuum* is known in Mesoamerican ethno pharmacology as a
392 component of herbal remedies for different illnesses of microbial origin (Cichewicz and
393 Thorpe 1996), and several scientific evidences have shown antimicrobial activities
394 against gram positive and gram negative bacteria, the information about the responsible
395 compounds of these effects is relatively scarce. Dorantes *et al.*, (2000) reported a high
396 inhibition activity of *C. annuum* extracts (habanero, serrano and morrón varieties) against
397 *Listeria monocytogenes*, followed by *Bacillus cereus*, *Staphylococcus aureus* and
398 *Salmonella enterica Typhimurium*, all of them cause of foodborne illnesses. In that work,
399 *m*-coumaric and cinnamic acids were responsible of the inhibitory activities, while
400 capsaicin and dihydrocapsaicin did not show these effects (Dorantes et al., 2000).
401 Recently, Bacon *et al.*, (2017) reported the most antimicrobial activity, especially against
402 *L. monocytogenes*, from a *Capsicum annuum* “Jalapeño” extract fraction rich in cinnamic
403 acids (~ 5 ppm). These authors also reported a specific antimicrobial activity of
404 capsinosides, the analogues compounds of capsinoids, against gram positive bacteria,
405 by disrupting biofilm formation and preventing biofilm-related infections due to their
406 capacity of chelating calcium. In accordance to these results, Careaga *et al.*, (2003)
407 reported a minimum inhibitory concentration of a *Capsicum annuum* bell pepper extract
408 of 1.5mL/100 g in minced beef meat preventing the growth of *S. typhimurium* (Careaga,
409 Fernandez et al. 2003). On the other hand, *C. annuum* extracts exhibited also inhibitory

410 activity against few different fungi, including *Penicillium expansum* (producer of
411 mycotoxin patulin) and *Debaryomyces hansenii* (opportunistic pathogen) (Nazzaro,
412 Caliendo et al. 2009). Also cayenne and green pepper ethanol extracts applied to
413 Egyptian Kareish cheese during manufacture showed antimicrobial activity against
414 natural microflora, coliforms, molds, and *Staphylococcus aureus*, being strongly
415 acceptable to the consumers in the concentrations of 1% for cayenne pepper and 3-6 %
416 for green pepper (Wahba, Ahmed et al. 2010). Therefore, pepper-derived products may
417 contribute to the development of new and safe ingredients, which could be used as
418 antimicrobial agents for food preservation, to control foodborne pathogens in foods
419 and/or spoilage of contaminated products, avoiding the use of other synthetic
420 preservatives, such as nitrite, sodium benzoate or sodium metabisulfite, which have been
421 occasionally related to allergic reactions and potential formation of nitrosamines (Roller
422 2003).

423

424 **4.2. Antioxidant capacity**

425 Several studies have shown that the antioxidant capacity of pepper samples increases with
426 ripening and it is mainly attributable to the increased content of bioactive compounds
427 including carotenoids and polyphenols as well as nutrients such as vitamins (Howard,
428 Talcott et al. 2000, Marin, Ferreres et al. 2004, Deepa, Kaur et al. 2007). Regarding
429 vitamin C, some studies found a decrease during maturation (Deepa, Kaur et al. 2007),
430 while others found the opposite (Marín et al., 2004). Regarding phenolics Marín et al.
431 (2004), also showed the highest content in immature (green) peppers, and Deepa et al.
432 (2007) did not find a decrease of these compounds with ripening. Red ripe peppers shown
433 higher contents of capsaicinoids than green peppers, which may also contribute to their
434 antioxidant capacity (Materska and Perucka 2005). Therefore, these differences during

435 maturation from pepper samples should be carefully studied and interpreted in order to
436 understand the complex interactions occurring among different bioactive compounds and
437 other reducing compounds in the extracts in terms of their reported bioactivity (Howard,
438 Talcott et al. 2000). Most of these phytochemicals (e.g., carotenoids and flavonoids) with
439 reported antioxidant capacity also influence the colour of the fruits (Ghasemnezhad,
440 Sherafati et al. 2011, del Rocío Gómez-García and Ochoa-Alejo 2013), and *vice versa*,
441 higher *in vitro* antioxidant activity from red and orange pepper varieties compared with
442 the green, yellow or white fruits, is also possible (Guil-Guerrero, Martínez-Guirado et al.
443 2006, Matsufuji, Ishikawa et al. 2007, Sun, Xu et al. 2007). In this sense, using red sweet
444 bell pepper as ingredient in foods could significantly reduce the cholesterol
445 decomposition and the docosahexanoic (DHA) acid degradation during cooking, which
446 produce toxic oxidation products which may generate off-flavours and deterioration of
447 food quality, as well as could increase the risk for coronary heart diseases and cancer
448 (Sun, Xu et al. 2007). Commercially available red bell pepper powders can be obtained
449 in different formats: diced or granulated (e.g. Jinhua Huayang Co., Ltd.; URL:
450 <http://www.sinospice.com/proinfo.asp?id=256>).

451 Even though most studies dealing with antioxidant activity of *C. annuum* have been
452 focused on lipophilic components, such as carotenoids, the hydrophilic extracts,
453 containing mainly phenolic acids and flavonoids, have been also highly effective as
454 antioxidants, specially preventing deoxyribose and DNA degradation *in vitro*, therefore
455 having potential interest for human health (Bae, Jayaprakasha et al. 2012, Materska
456 2014).

457 During industrial processing of pepper, the seeds are usually discarded as waste, and their
458 composition is also very interesting and rich in bioactives with antioxidant capacity, such
459 as phenolic acids, flavonols and ascorbic acid (Sandoval-Castro, Valdez-Morales et al.

460 2017), with a physiological role in the protection of the lipids stored in the seeds against
461 oxidation during the germination processes (Sim and Sil 2008, Silva, Azevedo et al.
462 2013). Dry red bell pepper seeds could be also found in the markets (e.g. Jinhua Huayang
463 Co., Ltd.; URL: <http://www.sinospice.com/proinfo.asp?id=259>).

464 As a concluding remark, pepper extracts and seeds (by-products) possess significant
465 antioxidant potential that enables their application as natural antioxidants in dietary
466 supplements or technical natural additives or ingredients, substitutes of artificial
467 additives, as well as promising ingredients for “clean label” products.

468

469 **4.3. Enhancer of sensory properties**

470 As mentioned earlier, paprika is a worldwide well known spice (Halikowski Smith 2015),
471 used to improve colour, flavour and to add pungency to many foods and dishes. Paprika
472 extract (E 160c) is classified as a food additive (natural dye) in EU (EFSA, 2015). Paprika
473 oleoresin could completely substitute ground paprika in food products due to its
474 concentrated colour, taste and flavour (Tepic, Dimic et al. 2008), however, paprika extract
475 as additive includes an additional purification in the manufacturing process.

476 The main quality attribute of paprika is the intensity of its red colour, because this
477 influences both consumer acceptance and commercial value (Belović, Mastilović et al.
478 2014). More than 20 different pigments from paprika fruits have been identified,
479 including green chlorophyll, yellow-orange pigments lutein, zeaxanthin, violaxanthin,
480 antheraxanthin and β -carotene, as well as red pigments capsanthin and capsorubin,
481 considered as exclusive to *Capsicum* genus and representing the main pigments that
482 determine the colour of red pepper (Matsufuji, Ishikawa et al. 2007, Tepic, Dimic et al.
483 2008). There are several methods for paprika colour evaluation, based on the
484 measurement of surface colour, extraction of pigments, and profiling of carotenoids

485 (Minguez-Mosquera and Hornero-Mendez 1994, Deli, Matus et al. 1996, Kevresan,
486 Mastilovic et al. 2013). In the international trade, paprika is classified into different
487 quality classes by the number of ASTA units per paprika dry weight, which is determined
488 by the official analytical method of ASTA (American Spice Trade Association) (ASTA
489 Analytical Method 20.1, 1986) (Kim, Youl Ha et al. 2008). The colour additives, such as
490 β -carotene or paprika oleoresins, must be considered as additives and not as GRAS
491 substances, thus the regulations for colour additives are stronger than for other additives.
492 In order to optimize the colour stability in the processing and storage of products, special
493 attention has to be paid to the bioactive compounds content (esterified or free pigments),
494 drying process, temperature of storage and oxidative degradation (Biacs, Daood et al.
495 1989, Pruthi 2003).

496 The use of pepper derivatives as colouring enhancers is much extended and many
497 products are available elsewhere: paprika powders from Perú (Inca Health Co.; URL:
498 <http://www.incahealth.com/paprika.html>), Netherlands (Nedspice Holding BV; URL:
499 <http://www.nedspice.com/>), or Spain (Juan Navarro Garcia, S.A.; URL:
500 <http://www.juannavarro.com/en/sweet-paprika-powder>); green dehydrated pepper from
501 Asia (<http://www.orc.com.hk>); jalapeño granular dehydrated pepper from US (Mincing
502 Spices; URL: <http://www.mincing.com/portfolio-items/pepper-jalapeno/>); and many
503 more.

504 Pungency is another important quality parameter for the use of pepper derivatives as
505 ingredients. Even though pungency is considered a subjective variable, and this parameter
506 has been evaluated by simple organoleptic tests such as the Scoville Heat Units (SHU),
507 recently, the American Spice Trade Association has established a universal scale based
508 on the concentration of capsaicinoids in parts per million (ppm) in a given sample (ASTA,
509 2018; URL: <http://www.astaspice.org/food-safety/astas-analytical-methods-manual/>).

510 The taste of sweet peppers is determined by the sugar and organic acid contents (Selahle,
511 Sivakumar et al. 2015). When evaluating processed foods, a soup with added cayenne
512 pepper was perceived significantly more spicy but was equally liked as a soup without it,
513 resulting in higher satiation at the end of the meal (Andersen, Byrne et al. 2017). During
514 the intake of the soup with added cayenne pepper, the desire for salty and spicy foods was
515 significantly decreased and the desire for sweet and fatty foods was significantly
516 increased. The characteristics of the pepper flavour is a complex trait, influenced by
517 environmental factors during growth and postharvest processing (Eggink, Maliepaard et
518 al. 2012). More than 125 volatile compounds have been identified in fresh and processed
519 *Capsicum* fruits (Luning, de Rijk et al. 1994). Studies on pepper flavour have been mainly
520 focused on characterization of volatile and/or non-volatile components in *Capsicum*
521 species, and correlations between chemical composition and sensory attributes
522 determined by panels are usually omitted (Pestorić, Belović et al. 2015).

523 Ground pepper (paprika) is usually added to meat products in order to improve their
524 colour and flavour. Fernández-López et al. (2002), showed that in batters for dry-cured
525 sausages, lightness depends exclusively on the paprika, while paprika reflectance spectra
526 interfered with meat reflectance spectra, mainly in the range from 500 to 580 nm.
527 Therefore, they concluded that any colour modification observed in dry cured meat
528 products produced with paprika are due principally to paprika colour changes more than
529 meat colour changes (Fernández-López, Pérez-Alvarez et al. 2002).

530 Paprika powder could, also, be used in order to reduce the nitrite content in meat batters,
531 improving their colour and lowering oxidative rancidity (Bazan-Lugo, Garcia-Martinez
532 et al. 2012). Martínez et al. (2006), studied the use of pepper powder to inhibit oxidative
533 reactions and extend the shelf life of fresh pork sausages packaged in a modified
534 atmosphere. Their results demonstrated that sweet red and hot cayenne pepper powders

535 enhanced red colour but failed to prevent discoloration. The highest concentration of
536 paprika powder (2%) used in their research was very effective in inhibiting lipid oxidation
537 and microbial growth, which resulted in a delay of off-odour formation (Martínez, Cilla
538 et al. 2006).

539 Nanoparticles of paprika oleoresin and carrier systems (water/milk) were also
540 investigated as a tool to improve physical and sensory properties of cooked marinated
541 chicken (Yusop, O’Sullivan et al. 2012). Results showed that the incorporation of
542 nanoparticles of paprika oleoresin to the meat using water-based carrier systems,
543 produced the furthest colour penetration.

544

545 ***4.4. Health-promoting ingredients***

546 Many of the health-promoting compounds from pepper fruits are antioxidants, such as
547 vitamin C, capsinoids, polyphenols and carotenoids, that exert their biological effects
548 through free-radical scavenging, protein binding and interaction with human signal
549 transduction pathways (Wahyuni, Ballester et al. 2011). Several studies have reported
550 antioxidant activity *in vivo*, such as pepper polyphenols against lipid peroxidation in the
551 rat brain and the liver, by their action as OH[·] and NO[·] radicals scavengers and the
552 inhibition of overstimulation of NMDA (N-methyl-D-aspartate) receptors, the main
553 mechanism of neurodegeneration and cognitive deterioration (Oboh and Rocha 2007,
554 Oboh and Rocha 2008). Also a single oral dose of capsaicin diminished the oxidative
555 stress in rat livers, suggesting an effective therapeutic formulation in preventing oxidative
556 damage *in vivo* (Giri, Pramanik et al. 2017).

557 Regarding antiproliferative activities of *Capsicum annum* ingredients, extracts rich in
558 capsaicin as well as pepper seeds extracts, have shown high *in vitro* antiproliferative
559 activities against lung, breast, gastric and prostate human cancer cell lines, among others

560 (Kundu and Surh 2009, Jeon, Choi et al. 2012); however, there are few studies showing
561 *in vivo* evidences. In this sense, capsaicin given orally to mice, markedly suppressed the
562 growth of AsPC-1 pancreatic tumour xenografts (human tumour transplanted cells),
563 without side effects (Zhang, Humphreys et al. 2008). On the other hand, a recent
564 preclinical study of prostate cancer using a LNCaP xenograft model in mice, showed that
565 capsaicin may act as radio-sensitizing agent sensitize tumor cells to the lethal effects of
566 radiotherapy, by altering NFκB signalling pathway, and then allowing the use of lower
567 doses of radiation to achieve equivalent cancer control results (Venier, Colquhoun et al.
568 2015).

569 However, the bioavailability of these antioxidant and antiproliferative compounds is
570 definitely more important for exerting the *in vivo* effect than their concentration in the
571 consumed food. Hervert-Hernández et al. (2010) investigated the intestinal bio
572 accessibility *in vitro* of the main carotenoids and polyphenols important for human health
573 from red hot peppers. The amount of antioxidants released from the food matrix by the
574 action of digestive enzymes was about 75% for total polyphenols, up to 49% for both β-
575 carotene and zeaxanthin, and up to 41% for β-cryptoxanthin. These results suggest that
576 from 50 to 80% of these compounds could reach the colon to be potentially fermented or
577 could remain unavailable (Hervert-Hernandez, Sayago-Ayerdi et al. 2010). Regarding
578 capsaicin and dehydrocapsaicin, their absorbance rate was 50% in the stomach, 80% in
579 the jejunum and 70% in the ileum (Rollyson, Stover et al. 2014).

580 Capsaicin has also been widely investigated and used clinically to treat neurological pain
581 and musculoskeletal pain disorders by blocking inflammatory hyperalgesia and
582 neurogenic inflammation (Hayman and Kam 2008), as it would be comment below
583 regarding its pharmacological effect. Besides, Andersen et al. (2017) studied that the
584 consumption of food with added capsaicin, such as a soup with addition of pungent

585 pepper, may alter appetite sensations and sensory specific desires, resulted in significant
586 higher satiation at the end of the meal and one hour post intake and, therefore, influencing
587 eating behaviour (Andersen, Byrne et al. 2017). Several hot pepper sauces, extracts and
588 purees rich in capsaicin could be found in the markets (e.g. Ashley Food Company
589 products; URL: <https://www.ashleyfoodcompany.com/>).

590 Also *Capsicum annum* ingredients have high content of vitamins, mostly vitamin C and
591 A, being both recommended by the World Health Organization on a daily basis to avoid
592 oxidative stress, mainly for pregnant women and children, and to prevent blindness and
593 severe infections in children, respectively (<http://www.who.int/nutrition/topics/vad/en/>).

594 Inhibitory effects of pepper extracts against enzymes such as α -amylase and α -
595 glucosidase, are of interest for the therapeutic potential of decreasing postprandial
596 hyperglycemia by delaying the production or absorption of glucose. Different authors
597 have shown α -glucosidase and α -amylase inhibition activities from aqueous pepper
598 extracts, which have been correlated to the DPPH radical scavenging antioxidant activity
599 and the phenolic content. Also these studies showed an *in vitro* anti-hypertensive
600 bioactivity by high acetylcholinesterase (ACE) inhibitory activity (Kwon, Apostolidis et
601 al. 2007, Ranilla, Kwon et al. 2010).

602 In regards of the anti-inflammatory activity of *Capsicum annum* ingredients, chilli pepper
603 showed a reduction of pro-inflammatory interleukin (IL)-6 and tumour necrosis factor
604 (TNF)-alpha production both *in vitro* e *in vivo*, may be attributed to capsaicin, which has
605 shown to modulate NF κ B and IL-8 pathways (Mueller, Hobiger et al. 2010, Allemand,
606 Leonardi et al. 2016), but also because of the presence of other bioactive compounds,
607 such as carotenoids, which application reduced the levels of ACE activity and
608 concentration of seromucoids in serum of rats with adjuvant-induced inflammation
609 (Boiko, Kravchenko et al. 2017).

610 Therefore, including *Capsicum* derived products as ingredients in the diet could help to
611 prevent inflammation and oxidative stress in the human body, which play an important
612 role in the development of chronic and neurodegenerative diseases.

613

614 **5. Cosmetic and pharma industrial applications**

615 Due to the current eco-friendly behaviour of consumers and industries, there is a great
616 interest on searching for bioactive compounds, raw plant materials or plant extracts as
617 natural ingredients (or excipients), for the cosmetic and pharmaceutical industry. In this
618 sense, some important properties that can influence the final product performance, should
619 be studied and accepted by the corresponding competent authority. These characteristics
620 are based on physical properties (such as colour, flavour, texture or permeation) and
621 bioactivities (such as antimicrobial and antioxidant) (Agency 2007). The use of natural
622 ingredients instead of synthetic preservatives could enhance the health properties of the
623 cosmetic and pharmaceutical products, avoiding the contact allergies caused as side
624 effects. These uses are regulated according the global quality standards for medicines,
625 being the most relevant groups of experts those belonging to the European Pharmacopoeia
626 (Ph. Eur.), the Japanese Pharmacopoeia (JP) and the United States Pharmacopoeia (USP)
627 (Eur. 2010). The Food and Drug Administration (FDA) published in 2002 a list of the
628 scope and extent of use of *Capsicum* ingredients in cosmetics, finding a wide variety of
629 products, such as tonics, dressings and shampoos containing *Capsicum* oleoresins (FDA
630 2002).

631 Nowadays, in the cosmetic industry, the major claims are the antiaging effect and the
632 reduction of wrinkles by fighting against free radicals and solar radiation. Recently,
633 Anunciato *et al.*, (2012), reviewed information about the novel term “cosmeceuticals”, as
634 the result of the fusion between cosmetic and pharmaceutical products, which, as well as

635 the term “nutraceutical”, could act as adjuvants in conventional drug treatment but for
636 pathologies or skin conditions. On the other hand, the new term “nutricosmetics” can be
637 defined as a result of the intersection of cosmeceuticals and nutraceutical, characterized
638 as oral supplementation of nutrients formulated and marketed specifically for beauty
639 purposes (Anunciato and da Rocha Filho 2012). Some examples of the use of *Capsicum*
640 derived ingredients in the pharma and cosmetic industries are reported in Table 1.

641

642 ***5.1. Enhancer of physical properties***

643 As it said before, paprika powder and oleoresins are rich in carotenoids and
644 capsaicinoids,, being these bioactive compounds an excellent source of colours as
645 ingredients in the cosmetic and pharmaceutical industry. According to the EFSA and
646 FDA (2017), these natural ingredients not require a specific approval by these
647 organizations; however, they must be safe for consumers under labelled or customary
648 conditions of use, having the manufacturers and companies the legal responsibility for
649 the safety of their products and ingredients. In this sense, paprika is commonly used as
650 spice, and there is no reason to expect undesirable side effects from its use as a cosmetic
651 colour, as it is accepted as a food additive (EFSA 2015). Some examples of paprika
652 oleoresin used as cosmetic colourants in bath oils are available (Lusch Handmade
653 Cosmetics, S.L. URL: <https://de.lush.com/search/site/paprika>), as well as in shampoo,
654 soaps, shower gels, and and many beauty products including eye make-up and lipsticks
655 (e.g. Color Marker Inc.; URL: http://www.colormaker.com/natural-ingredients_paprika).
656 The bioactive compounds derived from pepper byproducts can be also used as flavouring
657 or fragrance agents in cosmetic products, which may be extracted using hexane, ethanol,
658 or vegetable oil, and could be incorporated in high concentrations (as high as 5%) without
659 any toxic effect (Johnson 2007). Pepper oleoresin provides manufacturers an important

660 advantage with respect to the use of essential oils, this property is called lipophilicity,
661 allowing this product to be dissolved in fats, oils and lipids, whereas essential oils do not.
662 Other pigments such as chlorophylls and polyphenols, mainly anthocyanins, are also
663 present in some pepper varieties, such as black or violet peppers, where the anthocyanin
664 delphinidin, as both an aglycone and a glycosylated compound, is accumulated. These
665 water-soluble pigments could be used as colourants and also as therapeutic compounds
666 (UV protectors and antioxidants) in pharmacy and cosmetic products (Zillich,
667 Schweiggert-Weisz et al. 2015). On this wise, an acetone–water extraction of red pepper
668 by-products was study as natural dye to be applied on woollen fabrics to produce coloured
669 clothing and textiles with acceptable antimicrobial properties (Ksibi, Slama et al. 2015).
670 The extended use of *Capsicum* ingredients in the market request the standardizing of the
671 properties of the extracts, powders and oleoresins, such as the capsaicin content and
672 flavour and colour intensity for cosmetic applications and health-promoting products.
673

674 **5.2. Product stability and preservation**

675 *Capsicum* compounds can be used, due to their antioxidant properties, to reduce the
676 oxidation of active substances and excipients in the medicinal products. Their activity
677 depends on its nature, the stage at which it is incorporated into the pharmaceutical
678 product, the nature of the container and the formulation. Also there can be three modes
679 of action of the antioxidants, some of them block chain reactions by reacting with free
680 radicals, other are reducing agents, such as ascorbic acid, which have a lower redox
681 potential than the excipients, protecting them, and, finally, there are antioxidants which
682 act as synergists, enhancing the effects of others present in the product. This free radical
683 scavenging activity improve the stability of the final product by delaying the oxidation of
684 active substances, and also contribute to its beneficial effect on health, for instance,
685 through healing skin diseases and cosmetics treatments (Pieroni, Quave et al. 2004).
686 Dehydrated green pepper containing high amounts of vitamin C could be used as
687 preservative antioxidant in the cosmetic industry (De 2004). Also *Capsicum* extracts
688 containing carotenoids, mainly with the presence of functional groups in terminal rings,
689 such as capsanthin, lutein and zeaxanthin, could be extracted by an oil-soluble solvent
690 and, the obtained extract, can be used as active ingredient for skin care cosmetics, being
691 better active and protected when they are incorporated to lipoproteins or membranes
692 (Arimboor, Natarajan et al. 2015).

693 Antimicrobial preservatives are used to prevent or inhibit the growth of bacteria, fungal
694 and moulds, which could present a risk of infection or degradation of the medicinal or
695 personal care products, as these usually have more than 3 years of shelf-life (Dayan and
696 Kromidas 2011). Cinnamic acid and *p*-coumaric acid showed strong antibacterial
697 properties against *Listeria monocytogenes*, *Staphylococcus aureus*, *Salmonella*
698 *typhimurium* and *Bacillus cereus*, among other bioactives present in *Capsicum* extracts,

699 being able to inactivate or inhibit the growth of spoilage and pathogenic microorganisms
700 in the industrial products. Even though there is a great potential for using *Capsicum*
701 derivatives as preservatives, commercial examples are scarcely available.

702

703 ***5.3. Cosmetic and pharma applications for beauty and health***

704 Pepper derived ingredients are currently used as part of nutricosmetics or cosmeceuticals,
705 mainly as antioxidant and analgesic treatments, in oral supplementations or topical
706 applications. Regarding prevention and treatment of skin diseases, new therapeutic
707 options are always in demand. The topical application of the therapeutic agent is the most
708 common, being used in low dosages several times daily, depending on the skin status.
709 The efficacy of a topical therapeutic agent can be influenced by different factors, such as
710 active ingredients, excipients, possible interactions of the ingredients, galenic properties,
711 preparation, region affected, and condition of skin or mucosa (Tiwari, Tiwari et al. 2012).
712 Capsaicin has been approved by the EU and FDA as a drug for the topical treatment of
713 neuropathic pain, being their analgesic action dose dependent. Although the precise
714 mechanism of action is not fully understood, evidences suggest that capsaicin, through
715 continuous application (4 to 6 times daily for 4-8 weeks), acts as selective agonist of the
716 receptor 'transient-receptor-potential-vanilloid-1' (TRPV1) of the sensory nerve fibres,
717 preventing the triggering of an action potential by depletion of neuropeptides and
718 transmission of pain and itching, desensitizing these nerve fibres, whereas tactile
719 sensations remain unaffected (Ständer, Luger et al. 2001, Lysy, Sistiery-Ittah et al. 2003).
720 According to this pharmacological effect, capsaicin is used for the treatment of painful
721 conditions and disorders such as chronic rheumatic pain, post-herpetic neuralgia, painful
722 diabetic neuropathy and osteoarthritis. Also, this compound can be used in patients with
723 bladder hyperactivity to improve the bladder capacity and reduce incontinence. It also

724 protects the stomach against gastritis induced by non-steroidal anti-inflammatory drugs;
725 can reduce post-operative nausea, vomiting and sore throat; and can help in patients with
726 pruritus associated with renal failure and in patients with myocardial ischemia (Hayman
727 and Kam 2008).

728 Low concentrations of capsaicin are included in over-the-counter analgesic creams. High
729 concentrations of capsaicin have been explored as treatment for neuropathic pain (e.g.,
730 Qutenza/NGX-4010), postoperative pain (e.g. Adlea; Anesiva Inc.) and cluster headaches
731 (e.g., Civamide; Winston Laboratories).

732 As examples of specific researches, lipid-replenishing capsaicin cream (0.012 - 0.006 %)
733 has been studied against chronic pruritus and cutaneous hypersensitivity (Lysy, Sistiery-
734 Ittah et al. 2003), being the daily capsaicin applications adaptable to patient needs and
735 possible side effects, like irritation or burning sensation. In order to avoid these side
736 effects, *in vivo* studies have shown that capsaicin could be encased in nano-lipoidal
737 carriers, improving skin permeation and retention and analgesic effects, minimizing its
738 effects on skin-irritation compared with the conventional cream (Raza, Shareef et al.
739 2014). However, a balanced combination of lipids, water and humectants is essential in a
740 suitable therapy, thus, water-rich topical therapy with a hydrophilic cream containing
741 capsaicinoids (0.025 - 0.1 %) should be preferred during the acute phase of inflammation
742 (Staubach and Metz 2013). In terms of costly and time-effective capsaicin extraction
743 process, Thapa *et al.*, (2013) reported a straight-forward formulation development with
744 vesicular formulations for capsaicin from a crude *Capsicum* powder, destined for
745 localized pain relieve (Thapa, Pepic et al. 2013), which can be used in pain-balms, chest
746 rubs or liniments.

747 In this sense, the Committee on Herbal Medicinal Products (HMPC) from the European
748 Medicine Agency concluded that, on the basis of its well-established use (10 years of

749 scientific evidence of their effectiveness and safety in the EU), capsicum can be used for
750 the relief of muscle pain, such as low back pain (Agency 2015), which are usually
751 available in a medicated plaster or in semi-solid forms to be applied to the skin (such as
752 creams) according to the European Pharmacopoeia (DRS 2016). This organism has
753 approved the use of different standardized products from cayenne pepper (*Capsicum*
754 *annuum* cv. Cayenne), which have been published in the monographs: Capsicum (fruit)
755 #1859; Capsicum oleoresin, #2336; Capsicum soft extract, #2529; and Capsicum
756 tincture, #2337. All of them, containing from 30,000 to 50,000 Scoville Heat Unit (SHU).
757 As an example of its application, Qutenza® is a topical patch containing 8 % of capsaicin,
758 approved by the EU and the US-FDA (2009) and indicated for the management of
759 neuropathic pain associated with postherpetic neuralgia.

760 Regarding bioavailability, capsaicin is absorbed percutaneously, and animal data suggest
761 a systemic bioavailability of topically applied capsaicin ranging from 27 to 34%. The
762 absorbed capsaicin is metabolised mainly in the liver and eliminated in the form of
763 metabolites in the urine and faeces (Agency 2015). Nevertheless, further studies are
764 necessary to more precisely establish the range of effective capsaicin concentration for
765 long term treatments.

766 On the other hand, vitamin C is one of the most potent antioxidant compounds found in
767 pepper and highly used in skin cosmetics, which acts as potent antioxidant for preventing
768 skin oxidative damage, thus protecting the skin from reactive oxygen species (ROS), and
769 being an important ingredient for treating skin pathologies, such as inflammation and
770 cancer. Their content in the human body depends on their oral intake and topical delivery,
771 as well as their low stability, which could be reduced by changes of temperature and pH,
772 not obtaining the desirable efficacy (Telang 2013). The use is safe on a daily basis for
773 long durations and their effects could be enhanced by topical application of ascorbic acid

774 (the chemically active form of vitamin C), in liposomal formulations, enhancing
775 absorption of this compound to the epidermis, and, therefore, diminishing significantly
776 UVA-mediated damage to the skin by a reduction of nuclear factor kappa beta activity
777 and pro-inflammatory cytokines (TNF α , IL-1, IL-6, etc.) (Serrano, Almudéver et al.
778 2015). Furthermore, bioavailability of vitamin C in the skin is inadequate when it is
779 administered orally, therefore, the use of topical ascorbic acid favoured in the practice of
780 dermatology, as well as in combination of other compounds such as tyrosine and zinc,
781 increasing the bioavailability of vitamin C (Telang 2013).

782 Also paprika extracts rich in carotenoids, such as zeaxanthin and lutein, has been used
783 with complementary ingredients in commercially available products, as facial serum and
784 daily nutritional supplement for the skin oxidative health (by Zea Skin Solutions ZSS™;
785 URL: <https://zss-skincare.com>). In this sense, a previous work demonstrated that a
786 combined treatment with zeaxanthin and lutein showed an enhancement of elasticity of
787 skin, with a cutaneous hydration more pronounced, compared to the isolated compounds
788 treatments (Palombo, Fabrizi et al. 2007). As examples of clinical studies of dietary
789 supplementation with carotenoids, treatments with carotenoids with iron and zinc
790 supplementation following a vitamin A deficient diet, improved retinol and levels of
791 carotenoids in plasma, respectively (Kana-Sop, Gouado et al. 2015); on the other hand, a
792 treatment of ≥ 24 mg carotenoids/day for at least 12 weeks showed an effective protection
793 against UV-induced erythema (Heinrich, Gärtner et al. 2003).

794 Regarding capsidiol, a compound isolated from a methanol extract of *C. annuum*, this
795 compound showed a clear *in vitro* activity against *H. pylori*, showing its potential as a
796 treatment for antibiotic-resistant strains and for patients who do not wish to take synthetic
797 antibiotics (De Marino, Borbone et al. 2006).

798 Also flavonol glycosides, present in pepper extracts, such as quercetin-*O*-glycosides,
799 could be used in the cosmetic industry, as these compounds have shown higher effective
800 antioxidant and anti-inflammatory activities compared to other phenolic compounds, and
801 have been related to the prevention of different health diseases, such as protecting cells
802 from UV irradiation or supporting skin regeneration in wound healing (Hatahet, Morille
803 et al. 2016). Quercetin may be formulated using oil/water micro emulsions as excipient
804 in order to increase its solubility and stability and, therefore, optimizing the transdermal
805 delivery of this bioactive compound (Malaj, Martena et al. 2010). Some examples could
806 be found in facial sunscreens and serum (Korres Store; URL: [http://korres-](http://korres-store.de/quercetin/serum)
807 [store.de/quercetin/serum](http://korres-store.de/quercetin/serum)).

808 On the other hand, polar phenolic compounds, such as chlorogenic acid derivatives, could
809 be used as antioxidant ingredient in cosmetics by using thermodynamically stable O/W
810 micro emulsions as vehicles, to enhance their permeation in the skin and protecting skin
811 against UV-induced oxidative damage (Kitagawa, Yoshii et al. 2011). For instance,
812 ferulic acid and quercetin, among other bioactives, are applied in a cosmetic serum,
813 counteracting free radicals and minimizing wrinkles (Dr. Dennis Cross Ltd., 2018; URL:
814 <https://drdenniscross-skincare.de/collections/all>).

815 The red phenolic pigments anthocyanins could be used not only as a colouring agent, but
816 also as antioxidants, UV-protection, inhibition of melanin production and anti-aging
817 compounds in cosmetics preparations. These compounds could be encapsulated in the
818 appropriate coating to enhance their bioactivity and use in topical applications for skin
819 care (Westfall 2015).

820 Regarding the use of a paprika extract as ingredient in beauty and health formulation,
821 other cosmetics could be found as healthy against aging and oxidation, such as in organic

822 skin care products and facial masks (Eminence Organic Skin Care, URL:
823 <https://eminenceorganics.com/ca/product/paprika-herbal-treatment>).

824

825 **6. Future perspectives**

826 The growing market of functional ingredients, natural foods and pharmaceuticals,
827 emerging in response to the current health claims and social awareness, has prompted to
828 use several bioactive compounds and natural ingredients in the new products, as
829 enhancers of the organoleptic parameters and shelf-life, which once integrated in
830 balanced diets, would also contribute to human wellbeing. In this sense, *Capsicum*
831 derived products, such as oleoresin, paprika powder, purified extracts and fractions
832 enriched in bioactive compounds, continue being investigated in terms of colour, flavour,
833 pungency and nutritional value, as potential ingredients for foods, pharma and cosmetics
834 industries, and also as strategy to improve health and to prevent and treat diseases due to
835 their bioactivities (antioxidant, anti-inflammatory and antimicrobial, among others). In
836 this sense, the standardisation of these *Capsicum* derived ingredients in terms of colour,
837 pungency, flavour and biological activities is completely needed to expand the
838 capabilities of these compounds in the markets.

839 For the dermatologic and cosmetic industry, formulations with natural bioactive
840 compounds are the challenge to guarantee stability, safety and efficiency in organic
841 cosmetics and new products, such transdermal patches, oral nutricosmetics and cosmeto-
842 textiles. However, there is no harmonization of these guides, and generally, pepper
843 oleoresin or extracts can be used after perform the biological function and toxicological
844 assessment by the manufacturers. These results together with the already approval of the
845 use of pepper derivatives in the food industry, may suggest a future role for these extracts
846 as bioactive ingredients in cosmetic and pharmaceutical formulas.

847 On the other hand, the high demand of using natural plant compounds as alternative to
848 synthetic preservatives and pigments in the industry needs the development of new
849 promising “green” methodologies which could fill the requirements of the market.
850 Therefore, further studies are required to underlying the mechanisms of action of
851 *Capsicum* ingredients at industrial scale and in the final product, providing an interesting
852 opportunity for the utilization of pepper byproducts as a source of bioactive compounds.
853 Besides, systematic studies are required not only concerning its technological advantages
854 but also to guaranty foods safety for consumers.

855

856

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Table 1. Applications of <i>Capsicum annum</i> derived products in agro-food, cosmetic and pharma industries					
Type	Source	Bioactive compounds	Interesting properties and uses	Potential uses	Reference
Paprika powder	Pungent paprika	Carotenoids and capsaicinoids	Colouring and flavouring of food products and dishes	Agro-food industry	(Delgado-Vargas, Jimenez et al. 2000, Tepic, Dimic et al. 2008)
Paprika powder	Red sweet pepper	Carotenoids	Nitrite replacer, colour enhancer and lipid oxidation inhibitor in pork meat	Agro-food industry	(Martínez, Cilla et al. 2006, Bazan-Lugo, Garcia-Martinez et al. 2012)
Paprika powder	Red sweet pepper	Carotenoids and capsaicinoids	Colouring stability in meat products, soups, sauces and snacks	Agro-food industry	(Fernández-López, Pérez-Alvarez et al. 2002, Pruthi 2003)
Paprika oleoresin	Sweet paprika, pungent paprika	Carotenoids and capsaicinoids	Enhancer of sensory properties of food products	Agro-food industry	(Uquiche, Valle et al. 2004, Tepic, Dimic et al. 2008)
Pepper flour	Yellow pepper	Carotenoids	Source of antioxidants and enhancer of sensory properties in wheat bread	Agro-food industry	(Danza, Mastromatteo et al. 2014)
Nanoparticle paprika oleoresin	Sweet paprika	Carotenoids	Enhancer of physical and sensory properties of cooked marinated chicken	Agro-food industry	(Yusop, O'Sullivan et al. 2012)
Isopropanol pepper extraction	Entire chilli pepper	Capsaicinoids	Antimicrobial agent against <i>S. typhimurium</i> and <i>P. aeruginosa</i> in raw beef meat in combination with sodium chloride	Agro-food industry	(Careaga, Fernandez et al. 2003)

Enriched fractions of paprika oleoresin	<i>Capsicum</i> fruits	Carotenoids and capsaicinoids enriched fractions	Colouring and biological activities: provitamin A, antioxidant capacity, analgesic effect.	Pharmaceutical, cosmetic and agro-food industry.	(Fernández-Ronco, Gracia et al. 2011)
Encapsulation of pepper oleoresin	Chilli peppers	Capsaicinoids and carotenoids	Enhancer of sensory properties (particles, emulsions) and biological activities: antimicrobial, antioxidant and anti-inflammatory	Pharmaceutical, cosmetic and agro-food industry.	(De Aguiar, Silva et al. 2016)
Isopropanol pepper extraction	Fresh chilli peppers	Cinnamic acid, o-coumaric acid, m-coumaric acid, ferulic acid and caffeic acid	Antibacterial activity against <i>L. Monocytogenes</i> , <i>B. Cereus</i> , <i>S. Aureus</i> , <i>S. Typhimurium</i> .	Pharmaceutical, cosmetic and agro-food industry.	(Dorantes, Colmenero et al. 2000)
Methanol pepper extractions	Sweet pepper	Polyphenols and carotenoids	Antibacterial activity against <i>B. cereus</i> and <i>E. Coli</i> and antifungal activities against <i>P. expansum</i> and <i>D. hansenii</i>	Pharmaceutical, cosmetic and agro-food industry.	(Nazzaro, Caliendo et al. 2009)
Methanol pepper extractions	Sweet pepper	Capsidiol	Bacteriostatic properties <i>in vitro</i> against <i>Helicobacter pylori</i>	Pharmaceutical, cosmetic and agro-food industry.	(De Marino, Borbone et al. 2006)
Formulations ingredients for topical delivery	<i>Capsicum</i> fruits	Vitamin C and carotenoids	Antioxidant and anti-inflammatory activities preventing skin oxidative and UVA-mediated damage	Pharmaceutical and cosmetic industry	(Telang 2013)
Pepper powder and oleoresin	Chilli peppers	Capsaicin	Therapeutic agent in chronic pain syndromes and in chronic inflammatory skin diseases	Pharmaceutical industry	(Ständer, Luger et al. 2001, Lysy, Sistiery-Ittah et al. 2003, Căruntu, Negrei et al. 2015)

Pepper powder	Cayenne pepper	Capsaicinoids	Pharmacological activities: alter appetite sensations by higher satiation	Pharmaceutical industry	(Andersen, Byrne et al. 2017)
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