# Agreement Between Refractive and Corneal Astigmatism in Pseudophakic Eyes

Jaime Tejedor, MD, PhD\*† and Antonio Guirao, PhD‡

**Purpose:** To examine the agreement and relationship between refractive and corneal astigmatism in a population of pseudophakic eyes.

**Methods:** Patients of age at least 40 years, visual acuity 20/40 or better, and no ocular disease were included (n = 111). Refractive astigmatism was obtained by subjective refraction. Corneal astigmatism was measured by automated keratometry and Scheimpflug scanning analysis. All refractive values were converted to power vector components  $J_0$  and  $J_{45}$  for comparison and regression analysis of refractive versus corneal astigmatism. Main outcome measures were refractive and corneal astigmatism components.

**Results:** Median single Jackson cylinder (J) was similar in refractive [0.37 diopter (D)], keratometric (0.46 D), and Pentacam astigmatism (0.49 D) (P = 0.157). Median J<sub>0</sub> astigmatic component was slightly negative, indicating against-the-rule (ATR) astigmatism, in refractive and Scheimpflug, but not in keratometric astigmatism (refractive J<sub>0</sub>: -0.10 D; keratometric J<sub>0</sub>: 0.05 D; Pentacam J<sub>0</sub>: -0.08 D) (P = 0.049). J<sub>45</sub> astigmatic component was nearly zero and similar with the 3 methods (P = 0.416). Refractive and keratometric J<sub>0</sub> were significantly correlated (r = 0.7, P < 0.01), as well as the corresponding J<sub>45</sub> values (r = 0.65, P < 0.01). Refractive and Pentacam astigmatic components were worse correlated (J<sub>0</sub>: r = 0.36, P = 0.01; J<sub>45</sub>: r = 0.45, P < 0.01). Keratometric and Pentacam astigmatic components were also significantly correlated (J<sub>0</sub>: r = 0.58, P < 0.01; J<sub>45</sub>: r = 0.51, P < 0.01).

**Conclusions:** Mean internal ATR astigmatism, which comes mainly from the posterior corneal surface, adds to anterior corneal astigmatism, resulting in ATR refractive astigmatism. Correlation between refractive and corneal astigmatism components is better when keratometric data are used.

Key Words: pseudophakia, refractive astigmatism, corneal astigma tism

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- From the \*Department of Ophthalmology, Hospital Ramón y Cajal, Madrid, Spain; †Faculty of Medicine, Universidad Autónoma de Madrid, Madrid, Spain; and ‡Department of Physics, Universidad de Murcia, Murcia, Spain.

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Reprints: Jaime Tejedor, Department of Ophthalmology, Hospital Ramón y Cajal, C Colmenar km 9100, Madrid 28034, Spain (e-mail: jaime.tejedor@ telefonica.net).

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Most human eyes show at least a slight degree of astigmatism. The 2 main contributory factors in refractive (ocular) astigmatism are the cornea and the crystalline lens. The curvature of the front surface of the cornea is the principal factor causing refractive astigmatism and can readily be measured by classical keratometry. The remaining factors are considered responsible for internal astigmatism, including the posterior corneal surface, the crystalline lens, and some unknown "retinal" components. In clinical practice, it is commonly accepted that the lens tends to compensate for moderate corneal astigmatism. However, the degree of balance between cornea and lens depends on each individual and changes with age.<sup>1,2</sup>

Keratometric corneal measurements are taken from points in the central anterior cornea and estimate the total corneal power by a reduced refractive index, that is, an averaged corneal refractive index that takes into account the anterior surface, different layers of the cornea, and negative power of the corneal back surface, not actually measured.

Javal<sup>3</sup> found that refractive astigmatism could be calculated from keratometric corneal astigmatism when the principal meridians of the eye were 0 degree and 90 degrees (Javal's rule), as follows:

Correcting cylinder =  $1.25 \times$  Keratometric astigmatism

$$+(-0.50 \times 90^{\circ})$$

although the relationship between refractive and keratometric astigmatism could be regarded as nonlinear.<sup>4</sup> Javal assumed a constant value of -0.5 diopter (D) associated with the internal astigmatism of the eye, but it is an average estimate of the population because there is a lenticular component that varies individually.

Although a lenticular component may be a significant source of refractive astigmatism in phakic normal subjects, in pseudophakic patients, corneal astigmatism is considered, virtually, the only important factor, as toric intraocular lens (IOL) calculation methods assume, particularly when incisional astigmatic corneal change is induced.<sup>5,6</sup> However, we have found discrepancies between the axis of refractive and corneal astigmatism, and between refractive and corneal astigmatic power, in pseudophakic patients. Influence of the posterior cornea and, to a lesser extent, of the IOL, and other factors is presumed. Understanding and describing this discrepancy is of interest because astigmatic error has a much greater effect on the likelihood of spectacle use than overall error after routine cataract surgery.<sup>7</sup> This information may be

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valuable to correct astigmatism during cataract surgery, using toric IOL implantation or corneal limbal relaxing incisions.

Descriptions of the correlation between pseudophakic corneal and refractive astigmatism are few and use keratometric values.<sup>8</sup> Directly obtained measurements based on corneal elevation or height data and posterior corneal measurements are not included. In the present study, we investigate the agreement between power and axis of refractive and corneal astigmatism, and the ability to predict refractive astigmatism, using keratometry and elevation-based anterior and posterior corneal power measurements in pseudophakic eyes.

# **METHODS**

### Subjects

Consecutive eligible patients who had undergone cataract surgery were considered for this study. Research followed the tenets of the Declaration of Helsinki and was approved by the Ethics Committee (grant 08,805/PI/08). Informed consent was obtained from the subjects after explanation of the nature and possible consequences of the study. Inclusion criteria comprised visually significant cataract causing difficulty in daily tasks, developed as an adult of at least 40 years. Subjects with postoperative visual acuity worse than 0.3 logarithm of the minimum angle of resolution (Snellen equivalent 20/40), amblyopia, or ocular disease other than cataract were excluded.

#### Cataract Surgery Procedure

Preoperative evaluation included uncorrected and corrected visual acuity at distance (ETDRS chart), refraction, keratometry (IOLMaster V. 4.07; Carl Zeiss, Göttingen, Germany), eye scanner analysis (Pentacam; Oculus, Wetzlar, Germany), intraocular pressure, biomicroscopy, biometry and IOL calculation using SRK/T formula (IOLMaster), and funduscopy. In axial length measurements below 22.0 mm or above 26.0 mm, Hoffer Q and Holladay formulae were used, respectively, to check SRK/T calculation values. An index of refraction of 1.3375 was set in IOLMaster for corneal measurements. Default index of refraction was used in Pentacam measurements ( $n_{cornea} = 1.376$ ,  $n_{aqueous} = 1.336$ ,  $n_{total cornea} = 1.3375$ ). Surgery was performed under topical anesthesia or retrobulbar block. We made a 3-step clear corneal incision (2.75-mm blade) in all cases, following previously published guidelines. Temporal incisions were used for astigmatism lower than 0.5 D, and nasal and superior incisions were used when the steepest axis was located at approximately 180 degrees and 90 degrees, respectively.9,10 After introduction of viscoelastic material into the anterior chamber, a 5-mm capsulorrhexis was followed by hydrodissection, stop and chop phacoemulsification technique, aspiration of cortical masses, and introduction of Acrysof SA60AT single-piece foldable IOL (Alcon Laboratories, Fort Worth, TX) through an IOL insertion cartridge (Monarch II "C" cartridge; Alcon Laboratories).

In postoperative examinations, we repeated testing of visual acuity, keratometry, intraocular pressure, and biomicroscopy at 2 weeks and at 1, 3, and 6 months. Eye scanner (Scheimpflug) analysis was repeated only at 6 months.

## Astigmatism Measurements and Analysis

Refractive astigmatism was obtained by subjective refraction. Corneal astigmatism was measured by 2 different methods: keratometry (corneal<sub>k</sub>) and Scheimpflug camera scanning analysis (corneal<sub>s</sub>). All measurements were systematically taken by the same person (J.T.). In keratometry, the mean of 3 measurements was used for the analysis. Scheimpflug technology measurements were acceptable when automatic image acquisition (automatic release) well-centered images, considered as valid by the device (Quality Specification OK), were obtained. Scheimpflug measurements were determined on the 3-mm ring of the cornea because, in these meridians, orthogonality is easily established [Pentacam (Oculus) instruction manual, page 44] and resemble keratometric measurements. To obtain corneal<sub>s</sub>, anterior cornea and posterior cornea (negative) data provided by the Scheimpflug method were added by the authors, not directly obtained from Pentacam readings, for an estimation of the total contribution of the cornea to astigmatism (using the formula  $corneal_s = Kant$ + Kpost - (t/1.376)Kant × Kpost, where Kant = anterior corneal power, Kpost = posterior corneal power, and t = cornealthickness). Thus, corneal<sub>s</sub> includes posterior measured corneal astigmatism, whereas cornealk includes an average constant posterior astigmatism. From both kinds of measurements, internal astigmatism (with possible contribution of IOL, retinal or unknown factors, and a possible residual for the posterior cornea in corneal<sub>k</sub>) was derived as the difference between refractive and corneal<sub>k</sub> or corneal<sub>s</sub> vector components.

All refractive values were converted from diopters to power vector components,<sup>11</sup> as previously described,<sup>9</sup> to examine the effect of 2.8-mm corneal incisions: spherical lens of power M, Jackson cross cylinder at axis 180 degrees of power J<sub>0</sub>, and Jackson cross cylinder at axis 45 degrees of power J<sub>45</sub>. Power J<sub>45</sub> represents oblique astigmatism. The projection of the power vector P (interpreted as a measure of the overall blurring effect) onto the astigmatic plane, called vector J (which represents the cylinder as a single Jackson cylinder lens), was also derived. Negative cylinder notation was always used throughout. In this representation, positive values of J<sub>0</sub> indicate with-the-rule (WTR) astigmatism and negative values indicate against-the-rule (ATR) astigmatism.<sup>11</sup>

The main outcome measure was refractive and corneal astigmatism components at 6 months postoperatively. Comparisons were made using the Friedman test because 3 variables were compared in the same subject, with distributions that were not always normal (refractive, keratometric, and Scheimpflug astigmatic components). We used Spearman rank correlation coefficients to study correlations between variables, and comparisons of correlation coefficients were made by the Hotelling test. Bland–Altman plots were created to estimate the agreement between measurements, and 95% limits of agreement were also yielded as the mean  $\pm$  1.96 SD of the difference. A significance level of P < 0.05 was chosen for all tests. Statistical analysis was run using SPSS (SPSS Inc, Chicago, IL).

## RESULTS

Of 128 potential eligible subjects, 111 patients were included in the study (one eye per patient). Six patients

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declined participation and 11 were excluded because of poor visual acuity (with the diagnosis of age-related macular degeneration changes in 6, optic neuropathy in 3, and presumable amblyopia in 2). Preoperative characteristics of the patients included are summarized in Table 1. Six-month postoperative median refractive cylinder power was -0.75 D (range: -2.50 to 0 D), keratometric median corneal cylinder power was -0.93 D (range: -2.26 to -0.10 D), and Scheimpflug scan median corneal cylinder power was -0.98 D (range: -3.33 to -0.10 D), as depicted in Figure 1 (P = 0.157). Median cylinder axis at 6 months postoperatively was not significantly different between refractive (95 degrees; range: 0.17 degree-180 degrees), keratometric (102.6 degrees, range: 0.57 degree-180 degrees), and Scheimpflug scan (103.7 degrees, range: 20.6 degrees-174.8 degrees) measures (P = 0.323). For a more appropriate description and statistical analysis of the magnitude and axis of astigmatism, vector decomposition is recommended.

Variable distribution departed from normality in all refractive components but was compatible with normality in corneal<sub>k</sub> and corneal<sub>s</sub> astigmatic components. After power vector decomposition, median J (which represents the cylinder as a single Jackson cylinder) was similar in refractive (0.37 D; range: 0-1.25 D), corneal<sub>k</sub> (0.46 D; range: 0.05-1.13 D), and corneals obtained using Scheimpflug scanning technology (0.49 D; range: 0.05–1.67 D) (P = 0.157). J<sub>45</sub> astigmatic component was nearly zero, and not significantly different, in refractive, corneal<sub>k</sub>, and corneal<sub>s</sub> astigmatism (median refractive J<sub>45</sub>: -0.000 D, range: -0.99 to 0.62 D; median corneal<sub>k</sub>  $J_{45}$ : -0.002 D, range: -0.77 to 0.64 D; median corneal<sub>s</sub>  $J_{45}$ : -0.11 D, range: -1.46 to 1.06 D) (P = 0.416). Median J<sub>0</sub> astigmatic component was slightly negative, indicating ATR astigmatism, in refractive and Scheimpflug but not in keratometric astigmatism (refractive J<sub>0</sub>: -0.10 D, range: -1.25 to 0.86 D; corneal<sub>k</sub>  $J_0$ : 0.05 D, range: -1.03 to 1.02 D; corneal<sub>s</sub>  $J_0$ : -0.08 D, range: -0.85 to 0.79 D) (P = 0.049, with significant refractive-keratometric pairwise comparison, P < 0.01). Refractive  $J_0$  was the only clearly left-skewed (negative) component. Interestingly, median anterior corneal<sub>s</sub>  $J_0$  was negative (-0.026 D, range: -1.20 to 0.82 D), whereas posterior corneal<sub>s</sub>  $J_0$  was positive (0.07 D, range: -0.50 to 0.34 D). However, because the transition of the posterior corneal surface to the aqueous humor causes subtraction of refractive power, the more curvature (ie, power) at or near the vertical meridian in the back corneal surface contributes to the induction of ATR. Thus, both anterior and posterior corneal surfaces contribute, on average, to the described refractive ATR astigmatism in pseudophakic eyes, although some unknown internal factors could participate.

# Correspondence Between Keratometric and Refractive Astigmatism

Linear regression and correlation between refractive and corneal<sub>k</sub> astigmatism components were obtained. Refractive and corneal<sub>k</sub> J<sub>0</sub> were significantly correlated (r = 0.7, P < 0.01; Fig. 2A), and also the corresponding J<sub>45</sub> values (r = 0.65, P < 0.01; Fig. 2B).

The linear regression equations obtained were as follows:

$$\text{RefJ}_0 = 0.61 \times \text{Cor}_k J_0 + (-0.13)$$

 $RefJ_{45} = 0.64 \times Cor_k J_{45} + (0.01)$ 

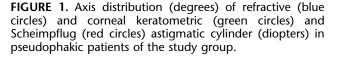
Using the above equations, refractive astigmatism may be inferred from keratometric astigmatism in pseudophakic patients, as previously described in phakic patients at different ages. Bland-Altman plots show good agreement between refractive and keratometric  $J_0$  (difference: -0.147 D  $\pm$  0.682) and  $J_{45}$  astigmatic components (difference: 0.032  $\pm$  0.432) (Figs. 3A, B, respectively).

Median internal astigmatism  $J_0$  was -0.19 D (range: -1.21 to 1.08 D), which indicates ATR astigmatism, and median internal  $J_{45}$  obtained was 0.01 D (range: -0.68 to

	Ν	Mean	95% Confidence Interval	Median	Range
Age, yr	111	73.1	71.2 to 75.0	76	50 to 81
Cylinder, D					
Corneal <sub>k</sub>	111	-0.98	-1.10 to $-0.86$	-0.84	-3.05 to 0
Corneals	111	-1.01	-1.23 to $-0.85$	-0.90	-3.11 to $-0.03$
J <sub>0</sub>					
Corneal <sub>k</sub>	111	0.04	-0.05 to 0.13	0.04	-1.41 to 1.13
Corneals	111	-0.08	-0.18 to 0.08	-0.06	-1.28 to $1.37$
Negative	62				
Positive	47				
Null	2				
J <sub>45</sub>					
Corneal <sub>k</sub>	111	-0.02	-0.08 to 0.03	-0.002	-1.20 to 0.74
Corneals	111	0.03	-0.06 to $0.09$	0.02	-0.83 to 1.27
Incision					
Temporal	55				
Nasal	51				
Superior	5				

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0.67 D). The resulting median refractive,  $corneal_k$ , and internal astigmatism components and spherocylinder expressions are listed in Table 2. An internal ATR astigmatism counterbalances a small WTR corneal<sub>k</sub> astigmatism, resulting in slightly ATR refractive astigmatism.

# Correspondence Between Scheimpflug Corneal and Refractive Astigmatism

Refractive and corneal<sub>s</sub> astigmatic components were significantly correlated (refractive and corneal<sub>s</sub>  $J_0$ : r = 0.36, P = 0.01; refractive and corneal<sub>s</sub>  $J_{45}$ : r = 0.45, P < 0.01) (Figs. 2C, D).

The linear regression equations obtained were as follows:

$$\text{RefJ}_0 = 0.40 \times \text{Cor}_s \ J_0 + (-0.15)$$

$$\text{RefJ}_{45} = 0.25 \times \text{Cor}_{s} J_{45} + (-0.028)$$

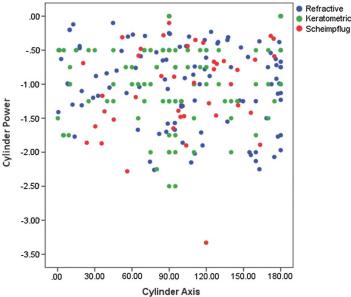
These equations, like those presented above for keratometric values, are useful to infer refractive astigmatism from corneal astigmatism in pseudophakic patients. Agreement between refractive and corneal<sub>s</sub>  $J_0$  (difference:  $-0.113 \pm$ 0.844) and  $J_{45}$  (difference: 0.005  $\pm$  0.892) astigmatic components was good (Figs. 3C, D, respectively).

Internal astigmatism components were attributable to factors different from the cornea [median values of -0.16 D (range: -0.95 to 1.07 D) for J<sub>0</sub>, thus ATR astigmatism; 0.03 D (range: -0.93 to 1.47 D) for J<sub>45</sub>].

# Correspondence Between Keratometric and Scheimpflug Corneal Astigmatism

Corneal<sub>k</sub> and corneal<sub>s</sub> astigmatic components were significantly correlated (corneal<sub>k</sub> and corneal<sub>s</sub>  $J_0$ : r = 0.58, P < 0.01; corneal<sub>k</sub> and corneal<sub>s</sub>  $J_{45}$ : r = 0.51, P < 0.01) (Figs. 2E, F).

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The linear regression equations obtained were as follows:

$$Cor_k J_0 = 0.67 \times Cor_s J_0 + (-0.03)$$

 $Cor_k J_{45} = 0.266 \times Cor_s J_{45} + (-0.057)$ 

Figures 3E, F display agreement between corneal<sub>k</sub> and corneal<sub>s</sub>  $J_0$  (difference:  $-0.006 \pm 0.741$ ) and  $J_{45}$  (difference:  $-0.022 \pm 0.929$ ) astigmatic components.

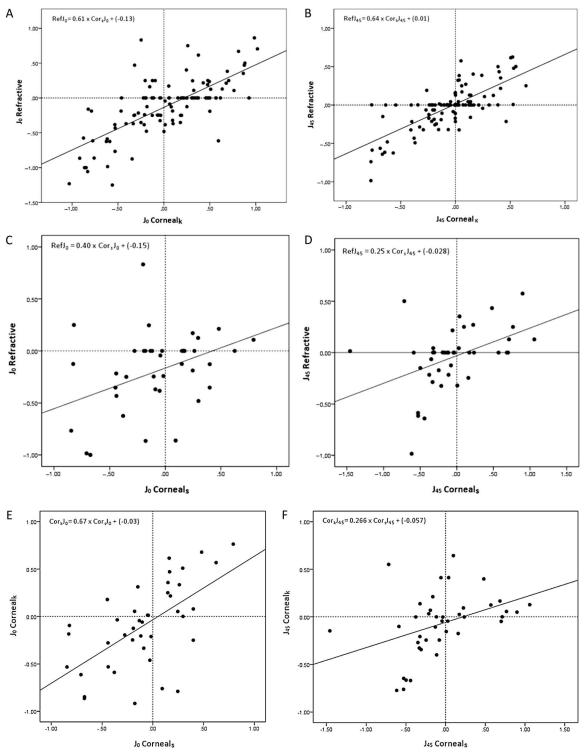
# **Comparison of Correlations**

Correlation between refractive and corneal<sub>k</sub>  $J_0$  components was significantly better than correlation between refractive and corneal<sub>s</sub>  $J_0$  components (P = 0.01). Correlation between refractive and corneal<sub>k</sub>  $J_{45}$  components was also significantly better than that between refractive and corneal<sub>s</sub>  $J_{45}$  (P < 0.01).

#### DISCUSSION

In pseudophakic patients, we have examined the relation between corneal astigmatism, as evaluated by keratometric and Scheimpflug scan technology, and refractive astigmatism, after 2.8-mm corneal incisions for phacoemulsification. Scheimpflug anterior segment scanner has the advantage of measuring the posterior cornea and obtains directly corneal elevation or height data. We have also studied the influence of internal astigmatism on refractive astigmatism of pseudophakic eyes. Using power vector decomposition, we can analyze astigmatism with the steepest corneal axis in the vertical or horizontal meridian, and oblique astigmatism. Because the corneal incision was placed based on preexisting astigmatism to decrease it or shift the steep axis to the vertical meridian, showing a wide axis distribution

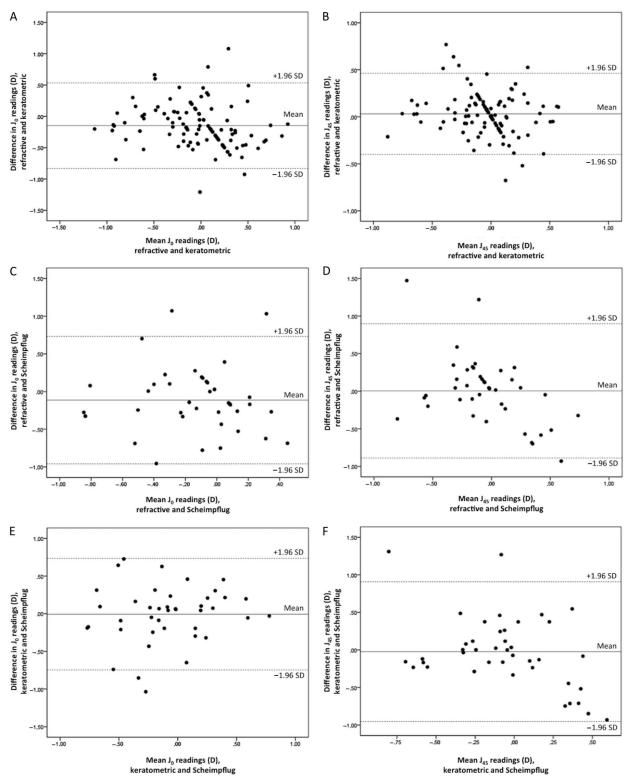
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**FIGURE 2.** Regression lines between refractive and corneal<sub>k</sub>  $J_0$  (A) and  $J_{45}$  (B), refractive and corneal<sub>s</sub>  $J_0$  (C) and  $J_{45}$  (D), and corneal<sub>k</sub> and corneal<sub>s</sub>  $J_0$  (E) and  $J_{45}$  (F) astigmatic components (diopters).

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**FIGURE 3.** Bland–Altman plots of the difference between refractive and corneal<sub>k</sub>  $J_0$  (solid line: mean, dotted lines: mean  $\pm$  1.96 SD) against their mean (A), between refractive and corneal<sub>k</sub>  $J_{45}$  against their mean (B), between refractive and corneal<sub>s</sub>  $J_0$  (C) and  $J_{45}$  (D), and between corneal<sub>k</sub> and corneal<sub>s</sub>  $J_0$  (E) and  $J_{45}$  (F) astigmatic components (diopters), respectively.

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TABLE 2. Median Corneal, Refractive, and Internal*
Astigmatism Components and Spherocylinder in
Pseudophakic Eves of the Study Group (Diopters)

	$\mathbf{J}_{0}$	$J_{45}$	Spherocylinder
Refractive	-0.10	-0.000	$-0.15, -0.20 \times 90$ degrees
Corneal <sub>k</sub>	0.05	-0.002	44.51, $-0.10 \times 178$ degree
Internalk	-0.19	0.01	$-44.46, -0.38 \times 88$ degrees
Corneal <sub>s</sub>	-0.09	-0.11	$38.14, -0.28 \times 115$ degree
Internals	-0.16	0.03	$-38.09, -0.33 \times 84$ degrees

\*Internal astigmatism was derived as the difference between refractive and corneal\_k or corneal\_s vector components, respectively.

(ATR and WTR). However, refractive astigmatism is slightly ATR, as shown by a small negative and left skewed  $J_0$  value. Corneal<sub>s</sub>  $J_0$  values are more similar than corneal<sub>k</sub>  $J_0$  component to the corresponding refractive value but with differences in the limit of significance. In corneal<sub>s</sub>, the posterior corneal surface is actually measured and added (as negative power) to anterior corneal measurements, whereas corneal<sub>k</sub> is inferred assuming a constant back corneal surface. Corneal and refractive astigmatisms are approximately ATR in 51 patients and WTR in the remaining 60 patients. Astigmatic components determined using Scheimpflug technology lead to the conclusion that both anterior and posterior corneal surfaces contribute, on average, to the described mean refractive ATR astigmatism in pseudophakic eyes.

It is in principle unexpected that the correlation between corneal<sub>k</sub> and refractive astigmatic components is better than that between corneals and refractive parameters. Pentacam corneal curvature measurements could have relatively poorer repeatability than automated keratometry.<sup>12,13</sup> A significant difference between K readings obtained with Pentacam and automated keratometry has been reported.<sup>13</sup> Pentacam "True net power" values, obtained by addition of anterior and posterior corneal data (not used in the present study), are not recommended for use in IOL calculation formulae (Pentacam Instruction Manual, page 53). Correlation between  $corneal_k$ and refractive astigmatic components could be less strong in a different sample of pseudophakic eyes, as previously reported.8 The correlation between refractive and corneal astigmatism in pseudophakic eyes is lower than that found in adult phakic eyes.<sup>14</sup> Another source of disagreement with refractive astigmatism for both keratometric and Scheimpflug measures is the assumptions made during calculations. If "total corneal refractive power," provided by the Pentacam, and based on ray-tracing calculations, had been used, agreement with refractive astigmatism could have been better.

Most existing studies of pseudophakic astigmatism concentrate on changes induced by cataract surgery,<sup>15–17</sup> or describe the correlation between refractive and keratometric astigmatism,<sup>8</sup> but do not take into account posterior corneal measurements, do not use corneal elevation data, nor analyze internal astigmatic influence on refractive outcome. We have developed regression equations that simulate a vector-based Javal's rule for pseudophakic eyes. In these eyes, the internal ATR astigmatism is of less magnitude on average ( $-0.38 \times 88$  degrees using corneal<sub>k</sub>,  $-0.33 \times 84$  degrees using corneal<sub>s</sub>) than that previously described in phakic nonoperated eyes ( $-0.5 \times 87 - 90$  degrees).<sup>14</sup>

IOL tilt and decentration have been reported to cause myopic shift and oblique astigmatism,<sup>18</sup> but only when they are of a certain magnitude may induce a significant amount of astigmatism.<sup>19–21</sup> Today, with the extended use of phacoemulsification, capsulorrhexis, and in-the-bag implantation of onepiece foldable IOLs, as in the currently described cohort, the average reported IOL tilt is 1.3 to 2.3 degrees,<sup>22,23</sup> and consequently minimal astigmatic errors are induced.

Clinically observed discrepancies between corneal and refractive astigmatic amount and axis are considered important when microcoaxial phacoemulsification is planned. The introduction of toric IOLs in the last few years could have a potential role to counterbalance internal astigmatism, therefore reducing total refractive astigmatic error, but could also induce optical distortion in combination with a toric cornea. Using the correlation formulae obtained in this study, refractive astigmatism may be calculated from estimated postoperative corneal astigmatism (the latter value based on surgically induced astigmatism). Toric IOL power could subsequently be derived by transformation from the refractive to the IOL plane. At best, 50% to 52% of the variation in refractive astigmatic components can be accounted for by variation in corneal astigmatic components (coefficient of determination,  $r^2$ ). Understanding the influence of different variables in pseudophakic refractive astigmatism is of interest because, after routine cataract surgery, astigmatic error has a much greater effect on the likelihood of spectacle use than overall error.

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