

## Review

# The relationship between esophageal cancer, chagasic megaesophagus and HPV: myths, tales or reality?

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**Summary.** A supposed role for persistent high-risk human papillomavirus (HPV) infection in esophageal squamous cell carcinoma (ESCC) etiology has been suggested by a number of studies. Concomitantly, megaesophagus induced by the *Trypanosoma cruzi* cell-cycle activity also shows a potential association with ESCC. This review discusses esophageal cancer and the potential association between chagasic megaesophagus and HPV as risk factors for ESCC development.

**Key words:** Epidemiology, Chagas disease, *Trypanosoma cruzi*, Megaesophagus, Esophageal cancer, Squamous cell carcinoma, Human papillomavirus, HPV

### Introduction

Esophageal cancer (EC) is a very aggressive tumor with high incidence and mortality worldwide. Approximately 500,000 new cases are diagnosed each year with around 406,000 deaths per year (Globocan, 2012). In Brazil, 2,860 cases are estimated for women and 7,950 for men in 2016 (INCA, 2016). The megaesophagus, caused by Chagas' disease, is one of several risk factors for EC development. Another potential risk factor associated to this disease is the persistent infection of *human papillomavirus* (HPV);

however, the association between HPV and megaesophagus is tentative and has been scarcely reviewed in the literature (Crema et al., 2010). This review aims at emphasizing the importance of esophageal cancer and better discuss the association of two of its risk factors: chagasic megaesophagus and HPV.

### Chagasic disease

The American *trypanosomiasis*, also known as Chagas' disease (CD), is a tropical parasitic disease caused by the protozoan *Trypanosoma cruzi* and is associated to the microenvironment, social and economic changes (Dias and Macedo, 2005). The highest occurrences are in South and Central America countries and Mexico (Machado et al., 2012), with approximately 10 million infected people, being considered a critical public health problem (Machado et al., 2012). However, non-endemic regions such as Canada, Europe, Australia, Japan, Spain, Portugal and United States may present some cases of the disease due to migration of infected individuals from Latin American (Aufderheide et al., 2004, Machado et al., 2012).

The disease transmission can occur by vector, transfusion (blood and organs), vertical transmission (from mother to child) or contaminated food ingestion (Bonney, 2014). The vector transmission to the human host occurs through the deposition of insect faeces containing the protozoan *Trypanosoma cruzi* while biting the host dermis (Giddings et al., 2006, Gutierrez et al., 2009, Rassi et al., 2010, Nagajyothi et al., 2012).

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When the faeces reach the human bloodstream, the vector lifecycle begins (Giddings et al., 2006; Gutierrez et al., 2009; Rassi et al., 2010; Nagajyothi et al., 2012) (Fig. 1).

Chagas' disease can be classified into two phases: acute and chronic (Kowalska et al., 2011); approximately 30% of infected individuals develop the chronic form (Kowalska et al., 2011). In general, the acute or initial phase lasts 4 to 8 weeks, whilst chronic or indeterminate disease may last for 10-30 years (Nunes et al., 2004). The clinical manifestations between both phases also differ one from another, being discreet or undetectable in acute phase (Nunes et al., 2004). Normally, the acute symptoms include inflammation at the site of inoculation ("chagoma" inoculation), hepatosplenomegaly or lymphadenopathy, palpebral edema (Pomegranate sign), enlarged lymph nodes and splenomegaly (Rassi et al., 2010; Bonney, 2014). And, in the chronic phase, it can be detected in the heart, gastrointestinal organ, cardiodigestive or a mixed form of concomitant sites. The anatomical physiognomy changes to megacolon, megaesophagus and cardiomegaly and positive serological tests (Nunes et al., 2004).

**Chagasic megaesophagus**

The understanding of Chagas' disease is important

due to its occurrence not being restricted to endemic areas (Souza et al., 1904), and since the chronic disease can cause the destruction of the enteric system in humans (Oliveira et al., 1998).

*Pathophysiology*

The Chagasic megaesophagus (CM) is one of the clinical manifestations of Chagas chronic disease. It is caused by the destruction of the myenteric plexus (plexus of Auerbach – parasympathetic) (Bellini et al., 2012; Gullo et al., 2012; Souza et al., 2013) of the esophagus that coordinates motor activity of the esophagus to the rectum, in response to local inflammation and immune mechanisms (Bellini et al., 2012; Souza et al., 2013). The destruction caused by direct parasitism of the nerve cell (action of neurotoxins, inflammatory action and autoimmune mechanism) leads to achalasia. The neurons are in varying degrees of destruction, and there is neuritis, perineuritis and ganglionitis (Adad et al., 1991) (Fig. 2).

The mesenteric ganglionitis node is due to a crusade immunoreactivity flagellar antigen caused by the parasite that mimics a protein expressed by intestinal neurons, which attracts immune cells into the lymph nodes, leading to the disappearance and its replacement by dense connective tissue, and interstitial fibrosis

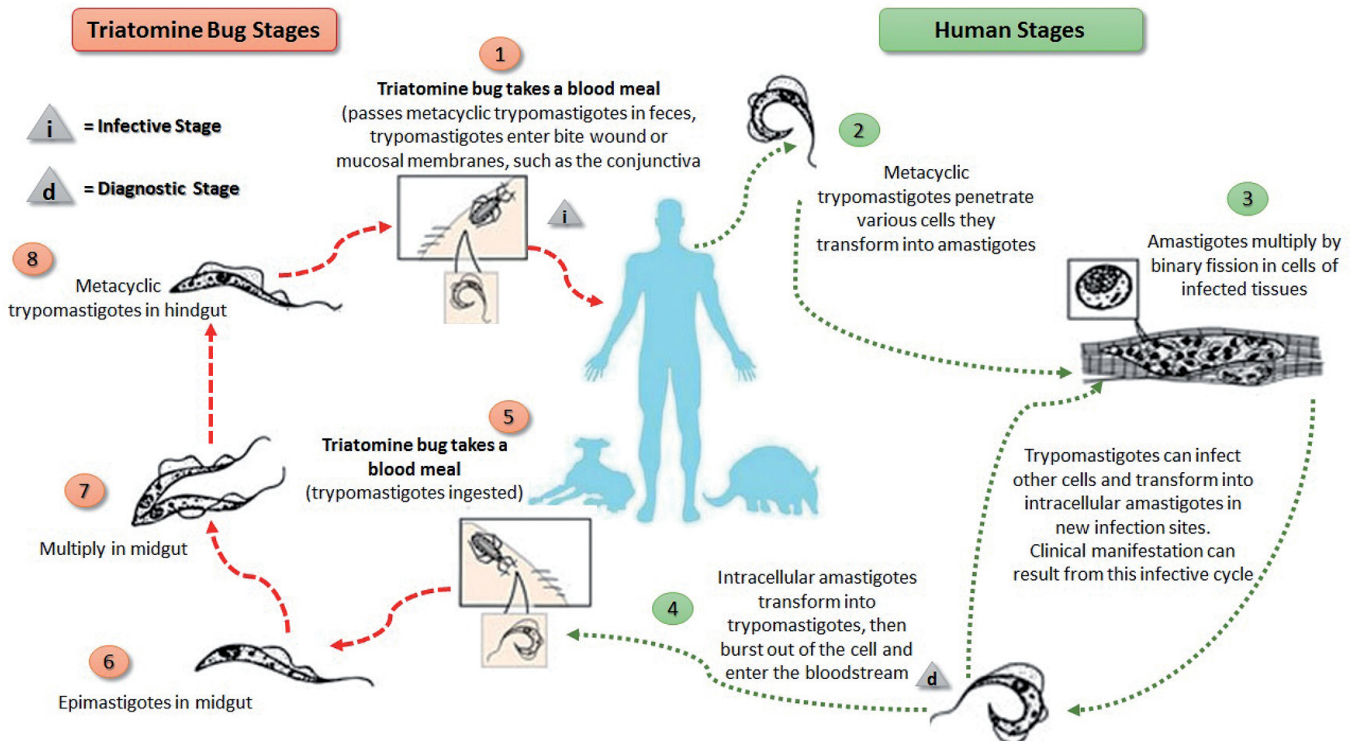


Fig. 1. Life cycle of *Trypanosoma cruzi* showing the various forms of the protozoan. Adapted from the Centers for Disease Control and Prevention (Prevention, 2015).

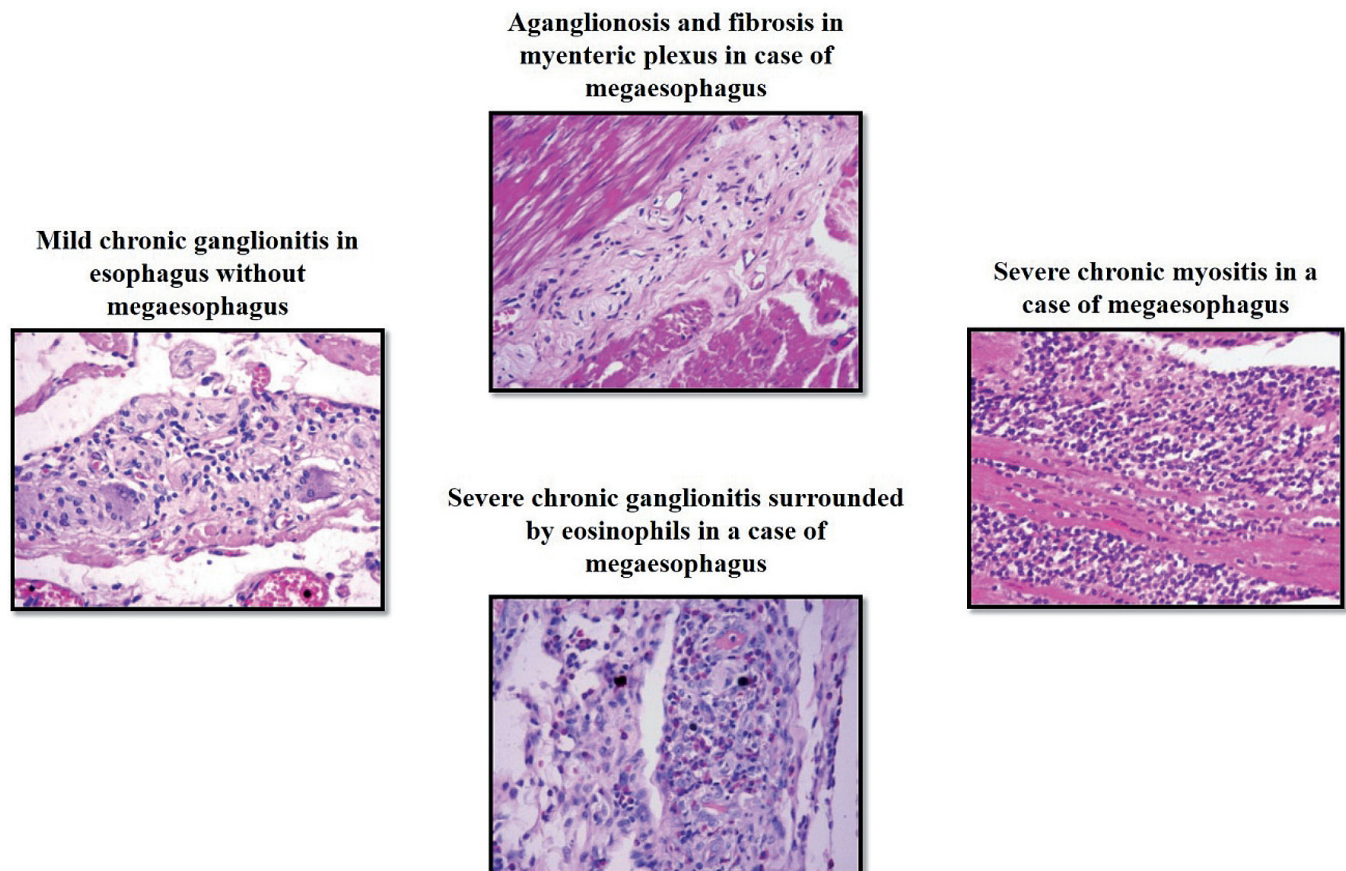
between muscle fibers (Ribeiro-dos-Santos et al., 1976; Adad et al., 1991). The enteric nervous system (ENS) is important in gastrointestinal disorders due to CD (Bellini et al., 2012; Souza et al., 2013). The mucosal hyperplasia and muscle hypertrophy caused by plexus degeneration or intrinsic parasympathetic postganglionic denervation (Bellini et al., 2012; Souza et al., 2013). The *Trypanosoma cruzi* causes tissue injury through direct action in target cells and indirectly through the induction of hypersensitivity (Hoffman and Schnitzlein, 1961; Gullo et al., 2012).

The CM occurs due to achalasia of the lower sphincter (ALS), which is the destruction of the intramural nerve plexus leading to the absence of peristalsis and no harmonic opening of the lower esophageal sphincter a due to deglutition, causing food retention, chronic esophagitis and acanthosis; these persistent conditions may lead to precancerous lesions. The individuals affected often have difficulty swallowing liquids and solids, have regurgitation and heartburn, including chest pain, coughing and weight loss, and respiratory complaints that occur less frequently (Kraichely and Farrugia, 2006; Mabvuure et al., 2014). Additionally, individuals with CM have a

wide variety of esophageal microbiota, consisting of anaerobic Gram-positive bacteria that are related to the degree of esophageal dilatation. The untreated achalasia leads to the progressive increase of the esophagus (megaesophagus) (Pajeccki et al., 2002; Gockel et al., 2006; Mabvuure et al., 2014).

#### *Chagasic megaesophagus and esophageal cancer*

Advanced megaesophagus can increase the probability of developing esophageal squamous cell carcinoma (ESCC) by 3 to 8% when compared to the general population (Adad et al., 1991). This cancer occurs due to prolonged contact with food in the esophageal mucosa, also increasing bacterial growth, chemical irritation, resulting in a chronic esophagitis (Safatle-Ribeiro et al., 2000; Brucher et al., 2001; Pajeccki et al., 2002; Gockel et al., 2006; Gullo et al., 2012; Tustumi et al., 2017). Carcinogenesis can be related to the production of nitrates and mediated by bacteria, which are present in liquid stasis and have mutagenic potential in the cellular DNA. The appearance of bacteria is another factor, which involves the appearance of epithelial dysplasia and esophageal cancer



**Fig. 2.** Photomicrographs cases of megaesophagus and esophagus without megaesophagus. Adapted from Cobo et al. (2012).

(Pajecki et al., 2003; Gullo et al., 2012).

Brandalise and cols. analyzed 140 patients with megaesophagus and found that 9.2% of them developed esophageal cancer (Brandalise et al., 1985). A study by Pinotti and cols. reported the occurrence of 12 cases of esophageal cancer (3.9%) in 308 patients with megaesophagus (Pinotti et al., 1980). The *Trypanosoma cruzi* infection is not sufficient to increase the occurrence of esophageal cancer in patients with CD without megaesophagus (Gullo et al., 2012). However, individuals with megaesophagus have the same risk of developing cancer as individuals with idiopathic achalasia, suggesting that esophageal cancer is related to achalasia and megaesophagus instead of CD (Gullo et al., 2012).

Individuals with chagasic megaesophagus with or without esophageal carcinomas, show changes in expression of p53, p16 and MIB1 (Bellini et al., 2012). Studies suggested that changes in *TP53* in achalasia epithelium could be considered a biomarker to identify individuals at higher risk of developing ESCC (Bektas et al., 2001; Lehman et al., 2001). Other studies, using immunohistochemistry, strengthened the importance of p53 expression as a biomarker for precursor lesions, showing the high frequency of p53 protein with increased expression in megaesophagus when compared to normal individuals (Bektas et al., 2001; Lehman et al., 2001; Bellini et al., 2008).

A study from our group (Lacerda et al., 2017) described for the first time mutations in *TP53* gene in patients with esophageal cancer associated with chagasic megaesophagus, and a high frequency of mutation found (13/32, 40.6%) suggested that this gene also plays an important role in carcinogenesis in this pathological condition.

In addition, another important point is that according to some studies, HPV infection in patients with esophageal cancer who present mutation of the *TP53* gene have better prognosis and response to treatment (Zhang et al., 2017). However, in relation to patients with chagasic megaesophagus with esophageal cancer, there are still no studies investigating the presence of HPV with mutation status of the *TP53* gene and response to treatments.

Cancer identification in patients with megaesophagus is difficult because the symptoms are hampered and frequently totally masked by severe dysphasia caused by the disease. The diagnosis is consequently delayed, mainly when the individual is in advanced stage leading to a poor prognosis (Bellini et al., 2010).

Few studies have addressed the genetic changes concurrent with megaesophagus; genetic alterations associated with cell cycle regulation similar to those that occur in esophageal carcinoma have been suggested consistent with a role for megaesophagus in the

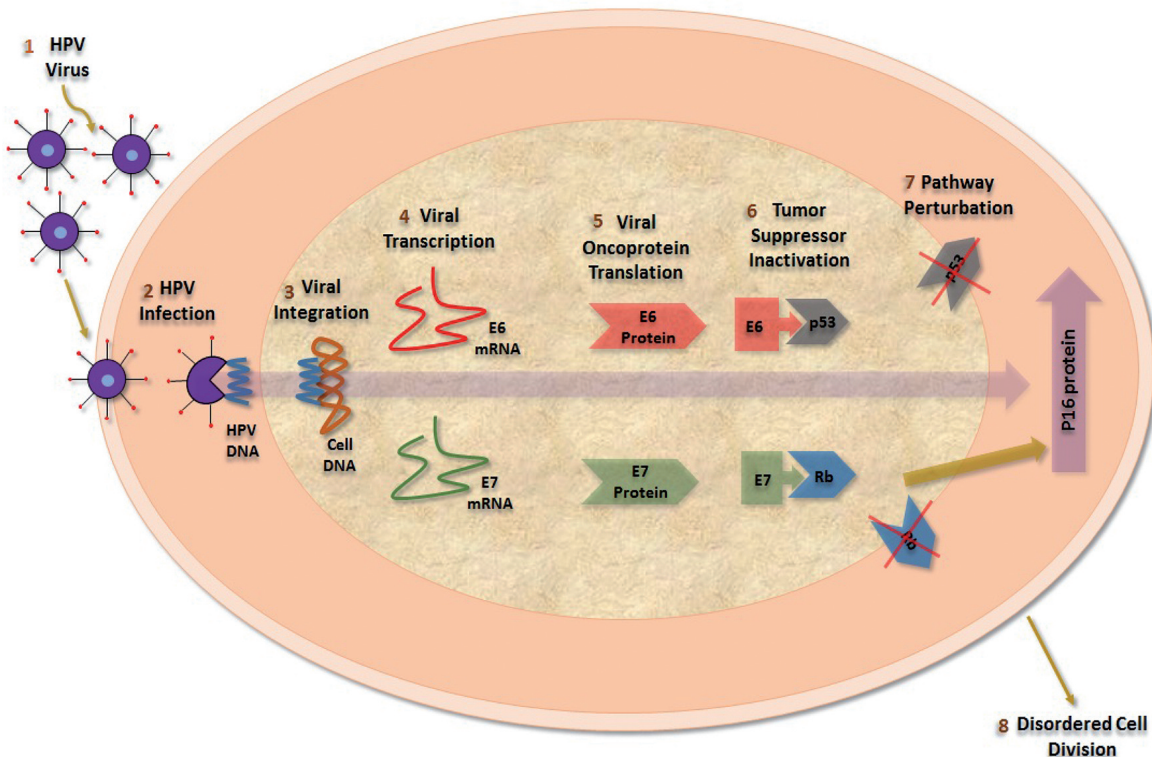


Fig. 3. Representation of HPV infection in host cell. Adapted from Westra WH (Westra, 2014).

## Esophageal cancer: Chagas' disease and HPV

development of esophageal carcinogenesis (Bellini et al., 2012).

### Esophageal cancer

#### Epidemiology

Approximately 500 thousand new cancer cases of cancers are diagnosed annually and account for more than 400 thousand deaths annually, with the vast majority of them occurring in developing countries (Globocan, 2012; Zhang et al., 2015). Esophageal cancer (EC) is reported as the eighth most common cancer in both genders and the sixth leading cause of death worldwide (Globocan, 2012). A greater incidence of esophageal cancer has been reported, since 1960, in northern Iran to north-central China, considering this region as the "esophageal cancer belt" (Mahboubi et al., 1973; Gholipour et al., 2008; Ferlay et al., 2010; Roshandel et al., 2014; Xu et al., 2015; Zhang et al., 2015) while Brazil, Chile and South African countries are also considered high risk areas (Lopes and Fagundes, 2012; Zhang et al., 2015). The prognosis and diagnosis are unprofitable because of the lack of specific symptoms early in the illness, with a global survival of less than 20% (Lopes and Fagundes, 2012; Zhang et al., 2015).

According to the Brazilian National Cancer Institute (INCA), the estimate of esophageal cancer in 2016 in Brazil is 2,860 cases in women and 7,950 in men (INCA, 2016). The prevalence of esophageal cancer among Brazilian regions is varied, the South region being 5<sup>th</sup> most frequent in men, followed by the Central West (6<sup>th</sup>) and the Southeast and Northeast in 11<sup>th</sup> (INCA, 2016). For women, the South is the 11<sup>th</sup> most common, followed by Southeast and Northeast (13<sup>th</sup>) and the Central West and North in 14<sup>th</sup> (INCA, 2016). In addition, the Rio Grande do Sul State represents a higher risk for developing ESCC due to factors such as smoking, alcohol, hot foods and hot drinks consumption (Souto Damin et al., 2006; Antunes et al., 2013).

#### Esophageal squamous cell carcinoma (ESCC)

ESCC and esophageal adenocarcinoma (EAC) are the major types of esophageal cancer; ESCC is the most predominant histologic type found in African-descendent patients and EAC in Caucasians (Lin et al., 2011; Zhang, 2013). EC patients present an overall survival of five years when exposed to treatment (90%), but this rate decreases dramatically (20%) in patients in the late stage (Dawsey et al., 1994a,b; Shimizu et al., 2002; Rice et al., 2009; Siegel et al., 2012; Edgren et al., 2013).

There are many risk factors to the ESCC development, such as food intake, hot beverages, smoking habit (most important), high ingestion of red meat, canned foods, achalasia and virus infections, as human papillomavirus (HPV) (Bosetti et al., 2000; Islami et al., 2009; Liyanage et al., 2014).

### Human papillomavirus (HPV)

#### HPV biology

HPV belongs to the *Papillomaviridae* family, which comprise a group of small viral double-stranded DNA that are sexually transmitted among individuals (Jin and Xu, 2015; Lorenzi et al., 2015).

The genome of HPV is divided into three regions (Open Reading Frames - ORF): early (E), late (L) and control region, and a non-coding region. The early region is composed by the *E1*, *E2*, *E4*, *E5*, *E6* and *E7* genes; the *L1* and *L2* genes are responsible for viral reorganization, forming the viral capsid and allowing a new infection to complete their life cycle (Zheng and Baker, 2006). The *L1* gene is responsible for remaking the capsid and the *L2* gene function is to reorganize and rebuild the viral body (Doorbar, 2005). The *E1* and *E2* genes encode important proteins for viral DNA replication and gene transcription. The *E4* protein is expressed in the later stages and is important in altering the intracellular matrix, maturation and release of new virus particles. The *E6* and *E7* proteins are involved in virus amplification. The late regions *L1* and *L2* encode viral proteins in the later stages of viral replication (Scheurer et al., 2005; Munoz et al., 2006).

The infection cycle occurs in five steps: infection, maintenance of the genome, proliferative phase, genomic amplification and synthesis and release of new viral particles (Doorbar, 2005). There are more than 200 HPV types; based on their capacity to induce cell immortalization these are divided in two groups: low-risk and high risk. (de Villiers et al., 2004b; Zheng and Baker, 2006; Van Doorslaer et al., 2013). HPV as a cause of cervical cancer was first suggested in the 70s by Harald zur Hausen. In addition, HPV infection may contribute to approximately 5% of all human cancers (de Villiers et al., 2004a,b; Zheng and Baker, 2006; Van Doorslaer et al., 2013).

#### HPV oncogenesis

Currently, over 200 HPV (<http://pave.niaid.nih.gov/>) types are described, are classified in genera  $\beta$ ,  $\gamma$ ,  $\mu$  and  $\nu$  that are involved in the appearance of papillomas and verrucas (Nguyen et al., 2014). The other genus  $\alpha$ -HPV are classified as low (6 and 11, among others) and high-risk (16, 18, 31, 33, 45, etc.) according to the capacity to induce malignant lesions (Li et al., 2014; Jin and Xu, 2015; Lorenzi et al., 2015). The role of high-risk HPV in cervical cancer development is well-defined (Castillo et al., 2011). Among the HPV types, HPV16 and HPV18 are the most frequent, accounting for around 70% of cases (Castillo et al., 2011). The integration of viral DNA in the host cells is one of the factors associated to carcinogenesis, because most cervical cancers contain integrated viral genome fragments (Durst et al., 1987).

HPV-induced cancer is a result of a complex cascade

of events that integrate different molecules that affect cell cycle regulation, among other biological phenomena. In brief, the HPV genome integration in the host cells leads to abnormal expression of E6 and E7 oncoproteins (Doorbar, 2005). The E7 oncoprotein interacts with the pRB, displaces the E2F transcription factor, inducing the cell to continue to proliferate uncontrollably (Doorbar, 2005). However, more recently, it has been shown that E2 methylation also limits E2 expression in HPV-related neoplasms (Chaiwongkot et al., 2013). The oncoprotein E6 targets p53 leading to its degradation by preventing DNA repair and apoptosis and positively regulates the expression of telomerase, causing the cells to replicate perpetually (Fig. 3) (Doorbar, 2005). Furthermore, the E5 oncoprotein indirectly contributes to the amplification of the genome by altering the environment of the host cell by regulating vascular endothelial growth factor (VEGF) through the EGFR MEK/ERK 1, 2 and PI3K, thus contributing to tumorigenesis (Doorbar et al., 2012; Liyanage et al., 2014; Al Moustafa, 2015).

However it is worth mentioning that the HPV infection model is well defined for cervical and head and neck cancers, but not for ESCC (Doorbar, 2005). In addition, a previous published review by our group addressed the issues related to the disease burden caused by cervical cancer and precursor lesions in Brazil related to HPV (Lorenzi et al., 2015).

### Esophageal cancer and HPV

The role of infectious agents has been suggested as a risk factor for the development of esophageal cancer (Syrjanen, 2002; Liyanage et al., 2013).

Moreover, recent studies suggest that carcinogenesis caused by HPV in cervical cancer is due to the "tropism" that HPV is by residual embryonic cells in the squamocolumnar junction (SCJ) (epithelial cells that book lie underneath the columnar epithelium of the endocervix). These cells and their importance for cervical HPV-induced squamous cell carcinoma (SCC) were identified further to the discovery of residual embryonic cells in the esophogastric (SCJ) (Nguyen et al., 2014; Herfs and Crum, 2015). So it should be emphasized the importance of investigating the presence of HPV in esophageal tissue, since these cells were also found in the esophagus.

Syrjänen (1982) suggested in the early 80s the association between HPV and esophagus cancer in a histological study that showed the presence of HPV in 40% of Finnish patients with ESCC (Syrjanen, 1982).

Estimates of HPV as a risk factor for esophageal cancer vary greatly among different studies (Syrjanen, 2002). This variation may be explained by different sampling methods, anatomical sites, ethnic and demographic factors and the type of methodology used for HPV detection (Li et al., 2014). Therefore the International Agency for Research on Cancer (IARC) has recognized the involvement of HPV in head and

neck tumors, but no conclusion has been announced, however, in relation to HPV and ESCC, being necessary more studies in the area (Liyanage et al., 2013).

### Techniques used for HPV detection in ESCC

Various techniques have been used in the research field to find HPV evidence in ESCC. In the 1980s the technique often used was *in situ* hybridization (ISH); however, ISH showed a low sensitivity and specificity (Syrjanen, 2002). So, tests with enhanced sensitivity and specificity began to be used as real-time PCR (qPCR) (Xu et al., 2004; Wang et al., 2010; Liu et al., 2012; Antunes et al., 2013; Liyanage et al., 2014). Another method used in HPV identification is the ELISA test (Xu et al., 2004; He et al., 2014).

Schmitt and cols. developed the multiplex HPV genotyping (MPG), which allows the simultaneous detection and genotyping of up to 100 types of HPV in a sample (Schmitt et al., 2006). Additionally, it is a sensitive technique for detection of HPV in clinical samples and is suitable for epidemiological application and diagnosis (Schmitt et al., 2006).

### Positive and negative association between HPV and ESCC

Studies in the years 1992 to 2013 reported the presence of HPV in ESCC in Asia and Europe (26.3%) and American countries (14%). Moreover, high-risk regions in China (Anyang, Xinjiang, Sichuan) showed the same correlation between the HPV and ESCC patients (Cao et al., 2014; Chen et al., 2014; He et al., 2014).

He et al. (2014) analyzed 1,435 samples of patients with ESCC Anyang in China, and showed the presence of the HPV16 E7 oncoprotein with a higher risk of developing ESCC. Chan and cols. screened the HPV types in ESCC patients from Kazakh and Xinjiang regions in China, and showed that the HPV infection accounted for 66.7% and 97.72% HPV16 and 2.27% HPV18 (Chen et al., 2014). Liyanage and cols. performed a case-control study in 99 Australian ESCC patients and 100 healthy individuals and suggested that HPV may be an additional risk factor for the ESCC development (Liyanage et al., 2014). In addition, a recent study of The Cancer Genome Atlas (TCGA) concluded that HPV cannot be considered as an etiological factor for ESCC because of the paucity of HPV mRNA transcripts detectable among ESCCs (Cancer Genome Atlas Research et al., 2017).

According to the study by Evans and cols (Evans et al., 2011), we can classify HPV in "passengers" and "drivers" Driver HPV infections are meaningful in the lesion, however passenger HPV refers to opportunistic infection that is incidental to tumorigenesis may be present in only a few cells within the tumor; suggesting that some techniques, such as PCR, may be inappropriate to associate the presence of HPV as the

**Table 1.** HPV positive in ESCC patients. Adapted from Syrjanen (2013).

Author (year and ref.)	Country	HPV types	HPV positive		Method
			n/total	%	
Xu et al., 2004	China	16 (E6, E7)	15/18; 16/18	83/89	IHC
Yao et al., 2006	China	16, 18	59/82	72	IHC
Li et al., 1991	China	16	12/24	50	SB
Chang et al., 1992	China	11, 16, 18, 30	8/20	40	SB
Chang et al., 1990	China	6, 11, 16, 18	25/51	49	HB
He et al., 1996	China	16, 18	37/103	36	SB, PCR
Brooks et al., 1987	China	6, 11, 16, 18	22/51	43	ISH
Chang et al., 1993	China	6, 11, 16, 18, 30	85/363	23	ISH
Zhu et al., 2005	China	16	86/119	72	ISH
Chang et al., 2000a,b	China	6, 11, 16, 18, 30, 53	117/700	17	ISH
Qi et al., 2006	China	16, 18	24/60	40	ISH
Yao et al., 2006	China	16, 18	23/82	28	ISH
Chang et al., 1992	China	6, 11, 16, 18	25/51	49	PCR
Chen, 1993	China	CP	24/40	60	PCR
Chen et al., 1994	China	GP	24/40	60	PCR
He et al., 1997	China	16, 18	32/152	21	PCR
Lavergne and de Villiers, 1999	China, S. Africa	CP	19/63	30	PCR
de Villiers et al., 1999	China	CP	20/117	17	PCR
Chang et al., 2000a,b	China	CP	17/101	17	PCR
Shen et al., 2002	China	CP	115/176	66	PCR
Liu et al., 2003	China	CP	28/152	18	PCR
Xu et al., 2003	China	CP	28/40	70	PCR
Zhou et al., 2003	China	CP	31/48	63	PCR
Si et al., 2003	China	CP, 16, 18	43/319	14	PCR
de Villiers, Gunst, et al., 2004	China	CP	20/30	67	PCR
Lu et al., 2004	China	SP, 16	55/104	53	PCR
Si et al., 2005	China	SP, 16	35/35	100	PCR
Liu et al., 2005	China	SP, 16	24/40	60	PCR
Cao et al., 2005	China	CP, 16, 18	207/265	78	PCR
Zhou et al., 2007	China	SP, 16	97/161	60	PCR
Liu et al., 2007	China	16, 18	61/112	50	PCR
Lu et al., 2008	China	Various	20/67	30	INNO-Lipa (PCR)
Yang et al., 2008	China	SP 16, 18	308/435	71	PCR
Zhao et al., 2009	China	CP, SP 16, 18	37/42	88	PCR
Zhang et al., 2010	China	SP 16, 18, 58	35/70	50	PCR
Wang et al., 2010	China	SP, genotyping	244/435	56	PCR
Wang et al., 2010	China	16	35/69	51	PCR
Ding et al., 2010	China	GP	8/17	47	PCR
Zhang et al., 2011	China	CP, genotyping	82/106	77	PCR
Cui et al., 2011	China	16, 18	18/18	100	PCR
Furihata et al., 1993	Japan	6, 11, 16, 18, 31, 33	24/71	34	ISH
Ono et al., 1994	Japan	16, 18	13/42	31	ISH
Takahashi et al., 1998	Japan	6, 11, 16, 18	37/123	30	ISH
Shibagaki et al., 1995	Japan	CP	15/72	21	PCR
Khurshid et al., 1998	Japan	CP, 16, 18	17/27	63	PCR
Kawaguchi et al., 2000	Japan	CP	12/75	16	PCR
Hasegawa et al., 2002	Japan	CP	20/48	42	PCR
Goto et al., 2011	Japan, Taiwan, Singapore	PC, HR-HPV	17/181	9	PCR, ISH
Castillo et al., 2011	Japan, Pakistan, Colombia	SP 16	24/166	14	PCR
Sobti et al., 2001	India	CP	25/40	63	PCR
Katiyar et al., 2005	India	SP 16, 18	27/101	27	PCR
Hussain et al., 2009	India	SP 16, 18	14/75	19	PCR
Hussain et al., 2011	India	GP	14/75	19	PCR
Gupta et al., 2012	India	CP	17/49	35	PCR
Cooper et al., 1995	S. Africa	6, 11, 18, 31, 33	25/48	52	ISH
Williamson et al., 1991	S. Africa	Various	6/14	43	PCR
Matsha et al., 2002	S. Africa	CP, SEQ, 11, 39, 52	23/50	46	PCR
Kim et al., 1991	Korea	16, 18	16/24	67	PCR
Farhadi et al., 2005	Iran	CP, 16, 18	14/38	37	PCR
Far et al., 2007	Iran	CP	33/140	24	PCR
Suzuk et al., 1996	USA	6, 16, 18	1/23	4	PCR
Kamath et al., 2000	USA	CP	1/22	5	Reverse line
Doxtader and Katzenstein, 2012	USA	HR-HPV	1/6	17	ISH
Hippelainen et al., 1993	Finland	-	11/61	18	HB, ISH
Syrjanen, 1982	Finland	-	24/60	40	HB
Benamouzig et al., 1992	France	6/11, 16/18	5/12	12	DB
Kulski et al., 1986	Australia	11, 13, 16, 18	5/10	50	FISH
Kulski et al., 1990	Australia	6, 11, 16, 18	9/39	23	HISTOFISH
Antonsson et al., 2010	Australia	CP, genotyping	8/222	4	PCR
Lambot et al., 2000	Belgium	CP	1/21	2	PCR
Acevedo-Nuno et al., 2004	Mexico	CP	15/17	88	PCR
Herrera-Goepfert et al., 2009	Mexico	CP	15/60	25	PCR
Astori et al., 2001	Italy	CP, RFLP	8/17	47	PCR
Tornesello et al., 2009	Italy	SP	10/36	28	PCR
Gabor et al., 2006	Hungary	SP 16, 18, SB	6/26	23	PCR
Bognar et al., 2008	Hungary	SP 16, 18	6/26	23	PCR

CP, consensus primers; DB, dot blot hybridization; FISH, filter in situ hybridization; GP, general primers; HB, histological biopsy; HISTOFISH, histology-filter in situ; HR, high-risk types; HRA, high-risk area; IHC, immunohistochemistry; ISH, in situ hybridization; IS-PCR, in situ-polymerase chain reaction; LR, low-risk types; PCR, polymerase chain reaction; RFLP, restriction fragment length polymorphism; SB, Southern blot hybridization; SEQ, sequencing; SP, specific primers.

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cause of the tumor (Evans et al., 2011).

The meta-analyses made by Syrjanen (2013) show some studies that associate HPV presence in the esophageal mucosa with ESCC according to region, and thus can be observed the high incidence of HPV-positive in ESCC in the regions of China, Japan and Africa, high-risk areas, corroborating what is described in the literature. Moreover, it can also be seen that the regions considered low risk such as Australia, the USA and Italy show the presence of HPV in patients with ESCC, suggesting the importance of further studies on the role

of HPV (especially mRNA expression) in ESCC (Table 1). Besides that the meta-analyses show studies that do not associate HPV presence in esophageal mucosa with and without ESCC, the great majority of regions are considered low risk for the development of ESCC (Table 2) (Syrjanen, 2013).

In Brazil, a region considered at high risk for the development of esophageal cancer (de Barros et al., 1999), few studies have investigated the presence of HPV in patients with and without ESCC, as shown in Table 3. These studies failed to establish an association

**Table 2.** HPV negative in ESCC patients. Adapted from Syrjanen (2013).

Author (Year and ref.)	Country	HPV types	HPV negative		Method
			n/total	%	
Kuwano et al., 2001	Japan	Ag	0/4	0	IHC
Akutsu et al., 1995	Japan	CP	0/31	0	PCR
Saegusa et al., 1997	Japan	CP, 16, 18	0/103	0	PCR
Loke et al., 1990	Hong Kong	6, 11, 16, 18	0/37	0	DB
Lu et al., 1995	China	16, 18	0/35	0	SB, PCR
Gao et al., 2006	China	HR	0/4	0	HCII
Ashworth et al., 1993	UK	6, 11, 16, 18, 31, 33	0/4	0	ISH
Morgan et al., 1997	UK	-	0/22	0	PCR
De Petris et al., 2005	USA	Wide spectrum	0/2	0	ISH
Kiyabu et al., 1989	USA	16/18	0/13	0	PCR
Paz et al., 1997	USA	-	0/11	0	PCR
Baines et al., 2005	USA	CP	0/22	0	PCR
Bellizzi et al., 2009	USA	HR-HPV	0/9	0	ISH
Malik et al., 2011	USA	HR-HPV	0/32	0	ISH
Landau et al., 2012	USA	HR-HPV	0/9	0	ISH
Benamouzig et al., 1995	France	6, 11, 16, 18, 31, 33	0/75	0	PCR
Benamouzig et al., 1996	France	-	0/75	0	PCR
Regragui et al., 2004	France	CP	0/1	0	PCR
Smits et al., 1995	Holland	CP	0/61	0	PCR
Kok et al., 1997	Holland	CP	0/63	0	PCR
Rugge et al., 1997	Italy	-	0/18	0	PCR
Talamini et al., 2000	Italy	CP, 16, 18	0/45	0	PCR
Poljak M et al., 1998	Slovenia	CP, 6, 16, 18	0/121	0	PCR
Lewensohn-Fuchs et al., 1994	Sweden	GP	0/10	0	PCR
Awerkiew et al., 2003	Germany	CP	0/23	0	PCR
White et al., 2005	Kenya	Various	0/29	0	INNO-Lipa (PCR)
Koh et al., 2008	Korea	16, 18, 31, 33, 35, 52, 58	0/129	0	PCR

Ag, HPV antigens; CP, consensus primers; DB, dot blot hybridization; GP, general primers; HCII, hybrid capture 2; HR, high-risk types; IHC, immunohistochemistry; ISH, in situ hybridization; PCR, polymerase chain reaction; SB, Southern blot hybridization.

**Table 3.** Brazilian studies that analyzed the presence of HPV in patients with ESCC.

Author (Year and ref.)	HPV types	HPV positive Cases		HPV positive Control		Method
		n/total	%	n/total	%	
Weston and Prolla, 2003	HR and LR	1/40	2.5	1/10	10	HCII
Souto Damini et al., 2006	CP, SEQ, 16, 18	26/165	16	0/26	0	PCR
Herbster et al., 2012	16, 18, 66	34/264	13	-	-	PCR, ISH
Antunes et al., 2013	HPV L1	0/52	0	0/122	0	PCR
Pastrez et al., 2017	Various	12/87	13.8	12/87	13.8	Luminex

CP, consensus primers; SEQ, sequencing; HCII, hybrid capture 2; HR, high-risk types; ISH, in situ hybridization; PCR, polymerase chain reaction; LR, low-risk types.



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between HPV and ESCC, being extremely important more studies to prove the presence of HPV in patients with ESCC.

### *HPV and chagasic megaesophagus*

The presence of HPV in ESCC patients has been associated especially in high risk areas (Liyanage et al., 2013a). It is known that individuals with achalasia have a high risk to develop ESCC (Tustumi et al., 2017). However, the prevalence of HPV in patients with megaesophagus has not been explored (Crema et al., 2010). As far as we know, just one Brazilian study addressed the association between megaesophagus and HPV (Crema et al., 2010). According to Crema et al. 63.3% (19/30) of patients HPV positive also presented achalasia and 16.7% (3/18) did not present megaesophagus. The predominant HPV type was HPV16 in 15/22 followed by HPV18 in 4/16 positive patients. In addition, HPV was present in most patients with grades III and IV megaesophagus (Crema et al., 2010).

### **Conclusion**

Considering what was discussed in this review, we observed that esophageal cancer is an aggressive tumor with high mortality in the world, being the squamous cell carcinoma subtype the most frequent, being the knowledge of its risk factors fundamental to aid in prevention strategies and even in the early detection of this malignancy. Among the several risk factors presented, we highlight the megaesophagus of Chagas' etiology (mainly degrees III and IV), and HPV as a possible cause, however its incidence varies greatly between studies. Thus, we have shown that more studies are essential to clarify the association of these risk factors and to better understand the development of the ESCC.

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