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8 **Exploring the complex relations between water resources and social indicators: the Biobío basin**
9 **(Chile)**

10

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26

27 **Abstract**

28 Basins are one of the bio-geo-physical areas where the ecological processes that generate the ecosystem
29 services (ES) and contribute to human well-being (HWB) are more evident. They are also the physical
30 scenario where the nature-human interaction is more intense. The explicit relationships that link
31 biodiversity, ES and HWB, and the direct and indirect causes (i.e. drivers of change) responsible for their
32 degradation, have been rarely explored.

33 We used the Driver-Pressure-State-Impact-Response (DPSIR) framework to explore the relationships
34 between the river ecosystem and the Biobío basin's social system. We selected 65 basin and regional-
35 scale indicators to analyse the existing trends and associations among the different DPSIR components.
36 The trend analysis results showed major biodiversity loss and how the regulating services and non-
37 material goods of the HWB component deteriorated, while cultural services, direct and indirect pressures
38 and institutional responses increased. The relationships among the different DPSIR components revealed
39 biodiversity loss to be positively associated with cultural services, the material goods of the HWB
40 component and pressures. Indirect drivers were negatively associated with regulating and cultural
41 services, non-material goods and pressures. Institutional responses did not correlate with any DPSIR
42 component. However, these results do not reflect the complexity of the Biobío Basin's socio-ecosystem.
43 We estimate that the DPSIR framework shows a corseted and reductionist vision of a greater complexity
44 than merely a unidirectional nature-human relationship.

45

46 **Highlights:**

47 We used Driver-Pressure-State-Impact-Response to relate river and social systems

48 A correlation analysis showed that DPSIR components are strongly related

49 Indirect drivers seem ultimately responsible for Biobío Basin degradation

50 The DPSIR does not reflect the socio-ecosystem's complexity

51

52

53 **Keywords:** DPSIR framework, ecosystem services, human well-being, change drivers, Biobío Basin.

54

55

56 **1. Introduction**

57 The importance of relationships between people and nature is increasingly recognized given evidence for
58 health and well-being benefits from the human interaction with nature (e.g. Bizikova, 2011; Martín-López
59 and Montes, 2011; Bonet-García et al., 2015; Ives et al., 2017). The ecosystem services (ES) concept,
60 defined by the Millennium Ecosystem Assessment (MEA, 2005) as the benefits that humans obtain from
61 nature, has emerged as a promising approach for making the connection between ecosystems and human
62 well-being (HWB). Indeed since the MEA proposed this new framework to explore the links between
63 ecosystems and social systems, a growing body of literature has addressed the relationship between ES and
64 HWB (e.g. Butler and Oluoch-Kosura, 2006; Liu et al., 2007; Ostrom, 2009; Martín-López et al., 2009;
65 2012). According to Liu et al. (2007), human systems and ecosystems are linked by forming socio-
66 ecological systems in which social and biogeophysical components interact on multiple spatial and
67 temporal scales. However, studies that have explored the relationships among all the socio-ecological
68 system's components (i.e. state of biodiversity and the ecosystem, and their capacity to supply ES, direct
69 and indirect causes responsible for their state, and response options) are still scarce (e.g. Santos-Martín et
70 al., 2013; Felipe-Lucia et al., 2014; Pinto et al., 2014; Vidal-Abarca et al., 2014; Hossain et al., 2017).

71 Despite criticism about the concept, and the interpretation that the ES approach has received and its
72 application (e.g. Raymond et al., 2013; Barnaud and Antona, 2014; Kull et al., 2015; Tadaki et al., 2015),
73 it is one of the most widely used conceptual frameworks to integrate both ecological and social dimensions
74 (MEA, 2005; Butler and Oluoch-Kosura, 2006; Martín-López et al., 2009; 2012). In methodological terms,
75 it is necessary to explore models that allow relationships between ecosystem and social systems to be
76 established from a more holistic perspective (Kelble et al., 2013). The DPSIR approach (Driver-Pressure-
77 State-Impact-Response), a conceptual model that derives from social sciences (Rapport and Friend, 1979),
78 has been widely applied to environment sciences (EEA, 1995; AEMA, 1999) to explain the cause-effect
79 relations among indicators, and to improve communication among policymakers, stakeholders and
80 scientists (e.g. Song and Frostell, 2012, Cook et al., 2013; Kelble et al., 2013, Bonet-García et al., 2015).

81 According to this methodological framework, demographic, economic and human activities, among others
82 (drivers), exert pressures on biodiversity and natural ecosystems, which change their state. Impacts include
83 effects on the environment and HWB, which usually induce society and/or government agencies' responses
84 to control the effect of drivers or to preserve the ecosystem's capacity to supply ES.

85 This framework has been recently adapted and used to evaluate the relationships between ES, and also
86 between DPSIR framework components (e.g. Grant et al., 2008; Santos-Martín et al., 2013; Pinto et al.,
87 2014; Vidal-Abarca et al., 2014, De Juan et al., 2015; Malekmohammadi and Jahanishakib, 2017). For
88 example, Santos-Martin et al. (2013) applied this framework to analyse the complex relationships between
89 ecosystems and human systems in Spain. Vidal-Abarca et al. (2014) applied it to explore the relationships
90 between the ecological and social components of Spanish fluvial ecosystems. In these studies, this
91 methodological framework was applied to territories occupied by human societies that are relatively
92 homogenous in cultural terms, but we do not know the validity of this methodology when applied to more
93 complex social contexts. So we applied the DPSIR framework to the Biobío River Basin, one of the
94 watersheds with the largest surface and of much economic importance in Chile, where different ethnic
95 groups persist. We selected the watershed scale because its represents appropriate units to study ES
96 (Delgado and Marín, 2016). Indeed watersheds are one of the bio-geo-physical areas where the ecological
97 processes that generate ES are more evident (e.g. Pert et al., 2010), but they are also the physical scenario
98 where the nature-human interaction becomes more intense. However for managers and the human
99 population in general, it is not always obvious which (and how) human activities can alter the structure and
100 functioning of aquatic ecosystems, and can induce loss of biodiversity to affect HWB.

101 Using the DPSIR framework, our objectives were to: firstly, evaluate the direct and indirect effects that the
102 loss of biodiversity and ES have on HWB in the Biobío River Basin; secondly, explore the validity of this
103 methodology when applied to a more complex social context. Specifically, we analysed the trends and
104 exchange rates of the different indicators that compose the Biobío River Basin's socio-ecosystem; the
105 relationships between natural and social systems by exploring the links between (direct and indirect) change
106 drivers and the biodiversity status, ES, and how they affect HWB; the responses to preserve the water
107 resources in the Biobío River Basin. Finally, we discussed the suitability of the DPSIR model to visualise
108 the complexity of the Biobío River Basin's socio-ecosystem.

109

110 **2. Study area**

111 The Biobío River Basin extends between 36° and 39° S. It covers an area of 24,260 km² which is one of
112 the basins with the largest surface and flow in Chile. The Biobío River is born in the Galletué Lake at 1,160
113 m asl and runs 380 km in a SE-NW direction. Its hydrological regime is pluvio-nival, with a mean monthly
114 maximum flow of approximately 2,200 m³/s (Valdovinos and Parra, 2006). Roughly 53.7% of the basin

115 area is occupied by forest formations. Native forests concentrate in the middle and upper parts of the
116 Andean Cordillera and cover 317,500 ha (13% of the total basin area). A large portion (100,334 ha) of the
117 Biobío River Basin belongs to the State National System of Protected Wild Areas (SNASPE). The Biobío
118 Basin provides water to 1.2 million people. The Biobío Basin's social system is complex because more
119 than 5% (80,870 people) of the human population are indigenous as they belong mainly to the Mapuche
120 ethnic group (Ministerio de Desarrollo Social, 2017). On a national scale, this basin is an important centre
121 of economic development. Its productive sectors are related to forestry, agriculture, industry (pulp and
122 paper, metallurgic, chemical and oil refinery industries) and the hydroelectric sector, and it is the main
123 source of energy supply in this country (Parra et al., 2013). The Biobío River also has an exceptional mosaic
124 of habitats and biological diversity, which are sustained by the geographical and environmental
125 characteristics provided by all the rivers that are tributaries of its channel (Mittermeier et al., 2004; Figueroa
126 et al., 2013).

127

128 **3. Methodology**

129 According to Santos-Martin et al. (2013), we adapted the DPSIR framework to analyse the links among
130 biodiversity loss, ES, HWB and society's responses to conserve and/or restore the ES flow. So **drivers** are
131 the factors (i.e. demographic, economic, social-political and cultural) that trigger environmental change
132 (Nelson et al., 2006), and they coincide with the indirect drivers of change that are conceptualised in the
133 MEA (2005). These drivers promote the **pressures** that affect the integrity of ecosystems, which are
134 recognised by the MEA (2005) as direct drivers of change. We considered four direct drivers of change:
135 change in land use, climate change, pollution and overexploitation. Although the MEA (2005) also includes
136 invasive species, we found that no indicators met this requirement. Pressures alter the **state** of ecosystems
137 and their biodiversity by affecting the ES that provide society. So **impacts** can be understood as changes
138 in the supply of both ES and HWB. We considered 14 ES (5 provisioning services, 4 regulating services
139 and 5 cultural services) and four HWB dimensions (access to goods, health, freedom of choice and security).
140 We separately analysed the material and non-material HWB dimensions to indicate the differences between
141 well-being (access to goods) and quality of life (health, freedom of choice and security) (e.g. Russell et al.,
142 2013). Finally, depending on the social perception of well-being, institutions or groups as politicians,
143 managers and consensus groups, perform actions (i.e. **responses**) to conserve ecosystems and/or to
144 counteract the effect of change factors.

145 **3.1. Data source**

146 To apply the DPSIR to the Biobío Basin's river ecosystems, 65 indicators on regional and basin levels were
147 selected. These indicators provided relevant information about spatial and temporal scales for each DPSIR
148 framework component. Information was selected from diverse official publicly available governmental and
149 scientific sources and private sources, and covered an approximate 35-year period (1980-2015). The
150 selection criteria for these indicators were those proposed by Layke et al. (2012). Of the 65 selected
151 indicators, six were related with drivers (indirect drivers of change), 11 with pressures (direct drivers of
152 change), one with biodiversity, 30 with ES (8 provisioning, 14 regulating and 8 cultural), 11 with HWB,
153 and six were indicators of responses.

154 To select these indicators, we had to compromise between complying with the criteria proposed by Layke
155 et al. (2012) and data availability. Despite our efforts to find indicators to assess all the DPSIR components
156 on the basin scale, it was not always possible because the government agency responsible for water
157 management does not use the hydrographic watershed as a management unit, and many official available
158 data are generated only on a regional scale. Although our objective was to assess an approximate 35-year
159 time series, we found very few indicators that covered it.

160 When searching and selecting the indicators to evaluate ES and HWB, it was not always possible to find
161 the most appropriate ones. Thus for some ES, detecting their positive contributions was more difficult than
162 the negative consequence of having lost those services (Layke et al., 2012). This was especially true for
163 regulating services as it was easier to find physico-chemical parameters to detect water quality degradation
164 than to quantify the river's capacity to regulate water quality. Therefore in order to evaluate some ES, we
165 used indicators whose metrics showed the consequences of loss of services.

166 Finally, we employed some indicators as proxies to evaluate certain DPSIR components. In order to assess
167 the human health dimension in the HWB DPSIR component, indicators related to diseases of the digestive
168 system were used. Obviously, many digestive diseases derive from food, but the Report of Population
169 Records for Cancer in Chile (Ministerio de Salud, 2012) points out that some chemical components of water
170 may be responsible for some diseases detected in the human population.

171 The selection, justification and interpretation of the indicators employed for each DPSIR component are
172 presented in the Appendix. They include data sources, measuring units, the analysed period according to
173 availability of databases, their justification, and the graphic evolution of the indicator trend.

174

175 3.2. Data analyses

176 To analyse the relationship among all the DSPIR framework components, every indicator was standardised
177 by subtracting the mean and dividing it by the standard deviation (Santos-Martín et al., 2013). This
178 standardisation allows different data sets to be compared. The direction of each indicator was chosen by
179 considering the component to be assessed (Floridi et al., 2011). The trend following each indicator was
180 considered according to the basis of the slope of the linear regression for the time series of each indicator
181 (see Table 1). This trend was classified into five classes: 1) *considerable improvement* ($\uparrow\uparrow$), when slope
182 was >0.08 ; 2) *improvement* (\uparrow), when slope was between 0.08 and 0.04; 3) *stable* (\leftrightarrow), when slope was
183 between 0.04 and -0.04; 4) *decrease* (\downarrow), when slope was between -0.04 and -0.08; 5) *considerable decrease*
184 ($\downarrow\downarrow$) when the slope was < -0.08 (Santos-Martín et al., 2013; Vidal-Abarca et al., 2014).

185 To obtain the aggregate indices of each DPSIR framework component, indicators were grouped by using
186 the arithmetic mean because it is a useful method to compensate very disparate low and high values (Floridi
187 et al., 2011). Whenever possible, we used several indicators to evaluate each service or dimension of the
188 different DPSIR components (e.g. we utilised four indicators to assess pressure by pollution). The objective
189 was to reinforce aggregate indices when the selected indicators presented short time series. Thus nine
190 aggregate indices were obtained: biodiversity, ES (provisioning, regulating and cultural), HWB (material
191 and non-material), pressures, drivers and responses. Data variability was represented by \pm standard
192 deviation (see the shadow behind the aggregate indices in Fig. 1) and can be interpreted as the
193 unpredictability range for all the aggregated indices and the level of uncertainty to predict future trends.

194 Cronbach's alpha index (1947) was calculated to test the internal consistency of the aggregate indices. This
195 index computes the average intercorrelation among all the indicators on a scale. A high Cronbach's alpha
196 value indicates good internal data consistency (George and Mallery, 2003), but does not denote that each
197 devised index is unidimensional. To achieve this, the different dimensions of the associations, trade-offs
198 and synergies among the indicators for all the indices were identified by a factor analysis. Both analyses
199 were run for all the indices, except for biodiversity because it is composed of only one indicator. Finally,
200 to explored relationships among the DPSIR components (i.e. biodiversity, ES, human well-being, drivers
201 of change and responses) we used Spearman correlations. The SPSS software (2013) was used to perform
202 all the statistical analyses.

203

204 4. Results

205 **4.1. Trend indicators**

206 **4.1.1. Biodiversity**

207 Loss of biodiversity was represented by the number of species that fell in a conservation category according
208 to the RSC (Regulation of Species Classification; MMA, 2014). From 2006 to the present-day, nine
209 evaluation processes have evidenced the deterioration of national diversity, and the number of conserved
210 species has considerably increased (Appendix A) as has, in parallel, the number of species in danger of
211 extinction. To date, 109 species have been catalogued, of which only fish represent the diversity of aquatic
212 ecosystems, and many are located in the biodiversity hotspot in the Biobío Region (Figuerola et al., 2013).

213

214 **4.1.2. Ecosystem services**

215 Thirty indicators were used to assess the ES provided by the Biobío Basin. Of these, 53% showed increasing
216 trends associated mainly with direct uses of resources (Table 1). In relation to provisioning services, fish
217 harvesting from both artisanal fishing and aquaculture centres and extracting raw materials (gravel and
218 sand) have increased (Table 1, Appendix B). Likewise, hydroelectric power generation has strongly
219 increased in recent decades (Appendix B). For regulating services, both the river's self-purification capacity
220 and water regulation have diminished. Although some water quality indicators have improved (e.g. O₂,
221 BOD₅; Appendix B), increasing nitrate concentrations have revealed diffuse pollution problems (Table 1,
222 Appendix B). The deterioration of the Biobío Basin's morphosedimentary regulation capacity is shown by
223 the opposite trend between the native forest surface (decreases) and forest plantations (increase) (Table 1,
224 Appendix B).

225 In general, cultural services have improved in recent years, with populations showing more preference for
226 and/or appreciation of ecosystems (Table 1, Appendix B). However, the cultural services related to cultural
227 identity and local ecological knowledge have deteriorated.

228

229 **4.1.3. Human well-being**

230 Eleven indicators were selected to assess four of the five HWB dimensions (health, freedom of choice and
231 action, security and material well-being) proposed by the MEA (2005). The fifth HWB dimension (i.e.
232 social relationships) was not assessed as adequate indicators were lacking. The indicators of most
233 dimensions showed increasing trends (Table 1, Appendix C). The number of digestive diseases (e.g.
234 stomach tumours, digestive diseases) in relation to health indicators has increased in recent years. The

235 opposite can be stated of freedom of choice and action, which have declined as the construction works of
236 hydroelectric power stations have displaced people (Table 1). Loss of security shows an increasing trend,
237 which is associated with more naturally-occurring hazards. In particular, very uncertain flood events have
238 taken place (Table 1). Finally, the material dimension measured by the population's access to drinking
239 water and agricultural production has contributed to the well-being of the basin's population (Table 1).

240

241 **4.1.4. Pressures (direct drivers of change)**

242 All the indicators used to assess the pressures to which the Biobío Basin is subjected generally showed
243 intensity and an increasing tendency. Declining rainfall and a rise in temperatures were proxy indicators of
244 the pressure exerted by climate change. Land use change (increased sown surface) and pollution produced
245 using pesticides (Appendix D) came over as the most important pressures on the Biobío Basin.

246

247 **4.1.5. Drivers (indirect drivers of change)**

248 Demographic indicators revealed an increased population density at the Biobío Basin. In parallel, there was
249 a cultural ageing process with more people aged over 60 years (Table 1, Appendix E). Rural populations
250 have undergone depopulation processes or have displaced to urban areas in search of better opportunities.
251 Economic indicators revealed the efforts made by public administrations in water conservation terms
252 because the indicators showed growing public investments in water (Table 1, Appendix E), or more
253 investments in wastewater treatment being maintained. Nonetheless, demand was virtually covered (99.9%)
254 in all urbanised places, but remained unsolved in rural and remote locations. The socio-political indicators
255 indicated that more females occupied public positions. However, we were unable to evaluate this influence
256 on the management of the Biobío Basin's aquatic resources as no more specific data were available.

257

258 **4.1.6. Responses**

259 Most of the indicators used to assess responses have increased in recent years (Table 1, Appendix F).
260 Chilean Governmental Institutions have made huge efforts to improve both water quality (e.g. treatment
261 plants) and human access to drinking water (e.g. water coverage). Regarding water governance issues, the
262 creation of the Environmental Courts of Law in Chile (Act 20.600/2012) has generated instances that favour
263 the development of protection actions and environmental responsibility through environmental complaints.
264 Their solutions in compliance plans can redirect the investments made, ranging from environmental fines

265 to objective solutions (e.g. environmental complaints; Table 1). However, there is still much to be done
266 with social responses to promote environmental market initiatives, such as organic agriculture (Table 1,
267 Appendix F), which uses less water and phytosanitary products.

268

269 **4.2. Consistency of aggregate indices and associations among indicators**

270 In most of the aggregate indices constructed for the DPSIR model, Cronbach's alpha indicated good internal
271 consistency because most were above > 0.5 , except for provisioning services (α : 0.49) (Fig. 1). For all the
272 aggregated indices, the eigenvalues of the first two factor analysis were higher than 1.0 (except for the
273 second factor in the non-basic material of HWB). This demonstrated that all the indicators contributed to
274 explain the aggregated indices through a bi-dimensional structure. Hence the first two factors in all the
275 indices explained more than 50% of variance (Appendix G). Finally, we calculated Cronbach's alpha for
276 all the indicators to evidence the association of the set of indicators. The high value found (α : 0.653)
277 indicated that the global DPSIR analysis was most consistent.

278

279 **4.3. Tendency of aggregate indices**

280 Loss of biodiversity, represented by the number of species in some conservation categories, has increased
281 since 2010 (Fig. 1a). No complete freshwater biodiversity evolution record (save some fish species) exists
282 for the Biobío Basin.

283 Despite aggregate index fluctuations, provisioning services have shown a slightly increasing trend with
284 time (Fig. 1b). On the contrary, the aggregate index for regulating services has shown a declining trend.
285 The sharp peak in 1997 corresponded to the forest fires that devastated the region, with the consequent loss
286 of capacity to regulate climate control and morphosedimentary services (Fig. 1c). The aggregate index of
287 cultural services displayed an increasing tendency (Fig. 1d).

288 With the aggregate indices for HWB, we detected an opposite trend when comparing the material and non-
289 material dimensions (Fig. 1e and f). Here access to water or increased agricultural production contributed
290 to the well-being of the population living near the basin (Fig. 1e). However, the deterioration of other
291 services (e.g. water quality) had a negative impact, as shown by the aggregate index of the non-material
292 dimension (Fig. 1f). The larger number of digestive diseases, possibly linked to water, and violated freedom
293 of action, particularly in 2013 from forced eviction actions applied to rural populations to construct

294 engineering works (e.g. hydroelectric stations), have made the quality of life of the population living near
295 the Biobío Basin worse.

296 Although aggregate index fluctuations due to pressures (direct drivers of change) have accounted for the
297 continuous impacts that have deteriorated river systems, a drop in pressure has been detected in the last 10
298 years (Fig. 1g). On the contrary, the trend of drivers (indirect drivers of change) presented a steadily
299 increasing slope (Fig. 1h). The sharp peak detected in 2010 for the aggregate index of drivers corresponded
300 to the year of the earthquake (27 February), when important economic resources were diverted to mitigate
301 its effects. Despite some institutional responses having been associated with certain environmental topics
302 (positive trend) (Fig. 1i), they have not been constant with time.

303 The shaded area of each DPSIR aggregate index (Fig. 1) responds to the variability of the used data, and
304 can be interpreted as the degree of uncertainty of the indicators employed herein.

305

306 **4.4. Exploring the relationships among DPSIR model components**

307 According to the Spearman correlations, significant correlations between some DPSIR model components
308 were observed (Fig. 1, Appendix H). Biodiversity loss was positively associated with pressures, cultural
309 services and the basic material HWB dimension. These associations suggest that not only pressures, but
310 acquisition of material goods, influenced the state of the Biobío Basin rivers, as measured by biodiversity
311 loss. The positive correlations between cultural services with both biodiversity loss and pressures were
312 probably due to most indicators evidencing the urban population's use of, and preference for, enjoying
313 landscapes with water mirrors, regardless of their ecological quality (e.g. artificial lagoons, dams).

314 The positive correlation found between provisioning services and cultural services and basic HWB
315 materials suggested that an increase in the former would not imply better quality of life, but a higher
316 standard of living. The negative relation noted between the non-material HWB dimension and drivers (Fig.
317 1) revealed how the deterioration of the Biobío Basin's river ecosystems could affect HWB in quality of
318 life terms. The regulating services associated with drivers indicated how indirect drivers of change
319 negatively affected regulation services.

320 The negative correlations between drivers with both cultural services and pressures (Fig. 1) indicated that
321 direct drivers (pressures) affect the supply of ES, and how other indirect factors (e.g. demographic, ageing
322 rural population, economic) could also affect those that basically sustain most of the population's well-
323 being. It is noteworthy that responses (e.g., solutions provided by public administrations to mitigate impacts

324 on the Biobío Basin) were not related to any DPSIR framework component. This suggests that the public
325 administrations' efforts have not managed to stop loss of diversity or to maintain the ES and HWB at the
326 Biobío Basin.

327

328 **5. Discussion**

329 **5.1. What can the DPSIR framework explain?**

330 The DPSIR model has been proposed as a useful framework to explore and explain the complex
331 relationships among the indicators that describe how human impacts alter the state of ecosystems, and their
332 capacity to provide ES to society. According to Santos-Martín et al. (2013), the ability of the DPSIR model
333 to explain these relations depends firstly on the quality of the used indicators. Smith et al. (2013) indicate
334 that many objective and subjective variables are not always available in official databases, but are no less
335 important if they show relevant trends and associations. In our study, 65 indicators were selected according
336 to the availability and adequacy of the data for the study area. Santos-Martín et al., (2013) used 53 high
337 quality indicators to establish relationships between HWB and ecosystems in Spain, and obtained many
338 highly significant correlations for all DPSIR components. Vidal-Abarca et al. (2014) selected 58 indicators
339 to analyse these relationships between river ecosystems in Spain and social indicators, and obtained fewer
340 significant correlations. Although our study obtained a few very significant correlations for DPSIR
341 components (Fig. 1, Appendix H), we used them as a purely exploratory analysis. Moreover, the
342 relationships detected among all the DPSIR components were not always linear paths. Yet despite both the
343 indicators and aggregated indices used in this study having their limitations (see Section 3.1), we detected
344 good internal consistency for them all. This study is the first step to understand the complex relationship
345 between the ecological and social systems applied to a fluvial basin: the Biobío River Basin.

346 It is well accepted worldwide that aquatic ecosystems are the most damaged and impacted ecosystems (e.g.
347 Naiman and Dudgeon, 2011), with the consequent loss of biodiversity and the ability to supply ES to society
348 (e.g. Cardinale et al., 2012). The Biobío Basin's aquatic biodiversity status has significantly worsened, as
349 indicated by the growing number of threatened species encountered in recent years (See Fig. 1a). The
350 current plans and programmes developed for biodiversity conservation in Chile (National Strategy for
351 Biological Diversity) focus on the priority conservation of terrestrial areas. This fact, together with
352 economic resources being scarce (Bovarnick et al., 2010; CEPAL/OCDE, 2016) and many institutions

353 being responsible for conservation issues, make public administrations' responses to preserve aquatic
354 biodiversity difficult and inefficient.

355 Our results show that most provisioning and regulating services have deteriorated in recent years (see Fig.
356 1b and c), as described in other studies (e.g., MEA, 2005, UK-NEA, 2011, S-NEA, 2014). In all cases, it is
357 stressed that the responses offered by public administrations attempt to resolve the pressures (i.e. direct
358 drivers of change) that alter the state of ecosystems and biodiversity loss, but not other underlying causes,
359 which probably favour their effects (i.e. indirect drivers of change). However, the DPSIR framework does
360 not incorporate any other actions and/or relationships to explain the deterioration of ecosystems: e.g., power
361 relations between stakeholders, who provide the service and are beneficiaries of it; their interpretation of
362 ES use (e.g. paying for environmental services); scale issues associated with ES management, where not
363 all ES can be supplied at the same time (Barnaud and Antona, 2014). Despite us detecting reduced pressures
364 (i.e. direct drivers) at the Biobío Basin in the last 10 years, regulating services will not stop their diminishing
365 trend. In fact quite the opposite can be stated: indirect drivers show an increasing trend which has been
366 broken only by the 2010 earthquake (Fig. 1h). This situation seems to indicate that indirect drivers are
367 responsible for loss of regulatory services. Indeed the Biobío Basin has undergone significant demographic
368 and socio-political changes in the last 45 years. Since the 1970s, a change in the economic and production
369 system has taken place in Chile for it to join globalisation (Rodríguez and González, 2006). These changes
370 involve the regionalisation of both the industry that concentrates near metropolitan areas and private
371 investments in the primary sector (e.g. mining, forestry, agriculture and fisheries) (Rojas, 2015). At the
372 Biobío Basin, these changes have implied major migration from rural areas to Concepción (the capital of
373 the Biobío Region), boosted by forest industry development (Frêne and Nuñez, 2010). This migration has
374 led to the productive activity of native Biobío Basin forests being abandoned, and the timber industry has
375 expanded; between 1975 and 2000, native forest has reduced by 67% (Echeverria et al., 2006). One effect
376 has been the basin's reduced natural mechanisms to regulate water flows (e.g. Lara et al., 2009, Little et al.,
377 2009). Consequently, some ES have deteriorated (e.g. water regulation, erosion control and natural
378 disturbances like floods), but also the survival of the native populations, who co-exist in harmony and
379 cooperation with their environment, has also been jeopardised (according to Diaz et al., 2015).

380 We detected a strong increase in cultural services (Fig. 1d), probably due to the bias of the used indicators
381 which were related more to urban populations (e.g. recreation activities, scientific knowledge) than to rural
382 ones (e.g. cultural identity, local ecological knowledge).

383 Our study results also revealed a close positive link between the basic materials provided by the Biobío
384 Basin and biodiversity loss, and a negative relationship between drivers and non-material HWB (Fig. 1).
385 These relationships highlight the links between social and ecological systems, and allowed us to recognise
386 the hydrological basin as a socio-ecosystem according to Ostrom (2009) (McGinnis and Ostrom, 2014).
387 Moreover, the gap that we found between the material and non-material HWB dimensions implies worse
388 quality of life for the Biobío Basin's human population. HWB firstly depends on provisioning services (e.g.
389 water, food, etc.), but also on regulating services (e.g. air quality, water quality, etc.), cultural services (e.g.
390 beautiful landscapes, recreation activities, etc.), and the activities of the different stakeholders that co-exist
391 in the basin, which the model did not detect. There are many examples of the extent of the reciprocal
392 relationship between humans and ecosystems beyond the ES framework's current conceptualisation that
393 involve HWB. (e.g. Shepard and Ramírez 2011, Infante and Infante 2013, Valdés et al. 2014, Comberti et
394 al., 2015). For example in Chile, the "Ingenious World Agricultural Heritage Systems" (SIPAM) are
395 territories proposed for their preservation (e.g. Alto Biobío, Chiloé Archipelago) for their culture and wide-
396 ranging ancestral social practices, beliefs and mythology, many of which are still in use (MMA 2014).
397 The institutional and legislative framework on water resources management in Chile is widely criticised
398 (e.g. Castro, 2016) because, among other reasons, it has allowed water to be privatised. In fact Chile is the
399 only country in Latin America where water resources management is based on market criteria, which has
400 particularly favoured the hydroelectric sector at the Biobío Basin. This situation reinforces some of the
401 DPSIR model results, which show how indirect drivers of change (economic in our case) are ultimately
402 responsible for the degradation of ES, but also for lost quality of life for humans.

403 In Chile, the biophysical boundaries where the water cycle is generated (i.e. hydrological basin), the
404 political-administrative jurisdiction that manages aquatic resources (privatisation of water), and the social
405 system that uses and consumes it, all mismatch (e.g. Fisher & Eastwood 2016). This leads to increasing
406 social inequalities and poverty, and also to diminished cooperation among the beneficiaries of water
407 resources. HWB also depends critically on the institutions that govern the relationships between individuals
408 and human groups, and these with ES (Sarkki, 2017). Therefore, efforts to achieve sustainable basin
409 management should focus on: the pressures of direct drivers, which have been incorporated into global
410 development agendas; indirect pressures (Bennett et al., 2015); commitment to society, local ecosystem
411 dynamics, and the plurality of the stakeholders involved in river basin activities. As Sarkki (2017) points
412 out, it is not useful to evaluate only the results of environmental governance on HWB. Likewise, assessing

413 HWB as a simple result of the flow of services from nature to people would provide an incomplete view of
414 the complex relationships linking governance, ES and HWB.

415

416 **5.2. Beyond unidirectional nature-human relationships**

417 Although the DPSIR analysis applied herein allowed us to visualise part of the relationships established
418 between the Biobío Basin's social and ecological systems, other complex links are hidden for several
419 reasons. Thus applying this model may be useful in places where the human population's cultural identity
420 is relatively homogeneous (Fisher & Eastwood 2016), but does not incorporate the world view held by
421 indigenous groups and traditional rural communities (i.e. human communities form part of the ecosystem)
422 (Comberty et al., 2015). At the Biobío Basin, different cultural identities co-exist with distinct world views.
423 For example, water for the Mapuche people is not only a vital element for human use, for animals or for
424 irrigating plants (i.e. ES), but is a producer and giver of resources and/or a generator of other productive
425 services and energetics. For the Mapuche world, water is the sap of the earth ("Through water we exist all
426 living beings that are part of it") (Rumián, n.d.). This different world view has led to many social clashes
427 (e.g. Azócar et al. 2002) that continue today, which today's current governance models fail to solve.
428 Therefore, a new institutional framework that is more flexible and receptive to different social realities
429 needs to be developed (Raymond et al., 2013).

430 We estimate that the DPSIR framework shows a corseted and reductionist vision of greater complexity than
431 the merely unidirectional nature-human relationship (Polanco 2006). We believe that the DPSIR model has
432 three major drawbacks for its generalised application: 1) it excludes the diversity of the human societies
433 involved in the use and value of ES with their different world views and beliefs; 2) many complex
434 relationships are hidden between the pressures suffered by ecosystems and HWB; 3) the reciprocal human
435 and ecosystem relationship recognised by many authors (e.g. Comberty et al., 2015) is ignored.

436 However, the strength of the DPSIR method lies in its ability to visualise the interdependencies between
437 the stakeholders that did not previously know they were interdependent. This is a necessary step towards
438 collective learning, intercultural respect and coordinated action (Barnaud and Antona, 2014). To introduce
439 other modalities of action into this methodological framework (i.e. responses) based on the market or the
440 intervention of state institutions, and on local capacities to encourage co-production of services (Fisher and
441 Eastwood, 2016), its sustainable management and collective action would help obtain a more realistic vision
442 of the socio-ecosystems' complexity. It is necessary to continue exploring new methodological approaches

443 to allow different socio-ecological dimensions of ecosystems to be included in the sustainable equitable
444 management of natural resources.

445

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452

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674 **Legends**

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676 **Table 1:** Trends of the indicators used to analyse the supply of ecosystem services, human well-being,
 677 pressures and drivers, and the response indicators developed by institutions for water resource conservation
 678 at the Biobío Basin.
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SERVICE//CLASS	SUBTYPE	INDICATOR	Slope	TREND	
ECOSYSTEM SERVICES					
PROVISIONING SERVICES					
Food	Artisanal fisheries	Harvesting of fish, shellfish and algae by artisanal fishing	0.21	↑↑	
	Aquaculture	Harvesting of fish, seafood and algae in aquaculture centers	0.05	↑	
Freshwater	Water supply	Water for human consumption	0.004	↔	
		Water for industry consumption	-0.29	↓↓	
Mineral raw materials	Gravel/sand	Sand and gravel companies	-0.05	↓	
		Sand and gravel production	0.08	↑↑	
Renewable energy	Hydropower	Total production of hydropower	0.06	↑	
Genetic resources		Species in “danger” category	0.16	↑↑	
REGULATING SERVICES					
Climate regulation/ Air quality	Carbon storage	Loss of CO ₂ from forest fires	0.02	↔	
		Forest area burned by fire	0.03	↔	
Water regulation	Water regulation	Volume of reservoirs	-0.05	↓	
		Flow	-0.02	↔	
	Self-purification	Volumes of wastewater generated	0.25	↑↑	
		Volumes of treated wastewater	0.80	↑↑	
	Water quality		Nitrite concentration in the river	-0.03	↔
			Nitrate concentration in the river	0.20	↑↑
			Phosphorous concentration in the river	0.02	↔
			BOD ₅	-0.07	↓
Regulation of disturbances Morphosedimentary regulation		O ₂	0.09	↑↑	
		Number of people affected by flood events	-0.02	↔	
		Native forests	-0.21	↓↓	
		Forest plantations	0.20	↑↑	
CULTURAL SERVICES					
Landscape aesthetic service		Number of National Reserves	0.21	↑↑	
Recreation and ecotourism		Visitors to National Reserves	0.19	↑↑	
		Visitors to National Parks	0.21	↑↑	
Cultural identity		Evolution Urban population	0.22	↑↑	
		Evolution Rural population	-0.18	↓↓	
Scientific knowledge		Number of PhD Theses on water	-0.01	↔	
		Number of Scientific Projects on water	0.08	↑	
Local ecological knowledge		Rural v/s Urban	-0.20	↓↓	
HUMAN WELL-BEING					
BASIC MATERIALS					
Access to goods		Water consumption	0.14	↑↑	
		Agricultural production	0.08	↑	
NON-BASIC MATERIALS					
Health	Diseases related to water	Food outbreak reports	0.27	↑↑	
		Number of food diseases	-0.41	↓↓	
		Nutritional diseases	0.13	↑↑	
		Stomach tumor	0.11	↑↑	
		Colon tumor	0.22	↑↑	
		Other digestive diseases	0.22	↑↑	
Freedom of choice and action	Forced actions	Families displaced by dam construction	0.11	↑↑	
		People displaced by hydroelectric stations	0.10	↑↑	

Security	Natural hazards	Floods victims	0.07	↑
PRESSURES (DIRECT DRIVERS OF CHANGE)				
Climate change		Precipitation	-0.04	↓
		Temperature	0.04	↑
		N° Floods	0.13	↑↑
Land use change		Sown surface	0.25	↑↑
		Irrigated surface	-0.19	↓↓
Overexploitation		Ground water use	-0.38	↓↓
		Reservoir volume	-0.03	↔
		Class 4 water quality	-0.28	↓↓
Pollution		Wastewater generated	0.29	↑↑
		Sludge production	0.74	↑↑
		Use of pesticides	0.19	↑↑
DRIVERS (INDIRECT DRIVERS OF CHANGE)				
Demographic		Population of the basin	0.14	↑↑
		Investment in potable water	0.21	↑↑
Economic		Investment in sewerage	0.04	↑
		Investment in wastewater treated	0.03	↔
Socio-political		Number of women in public positions	0.60	↑↑
Cultural		Population >60 years of age	0.19	↑↑
RESPONSES				
Biological conservation	Biodiversity conservation	Number of projects on aquatic ecosystems	0.04	↑
		Investment in projects on aquatic ecosystems	-0.08	↓
Water conservation	Water quality	Water coverage	0.27	↑↑
		Treatment plants	0.34	↑↑
Water governance		Environmental complaints	0.95	↑↑
Marketing initiatives	Agricultural production	Organic agriculture	-0.08	↓

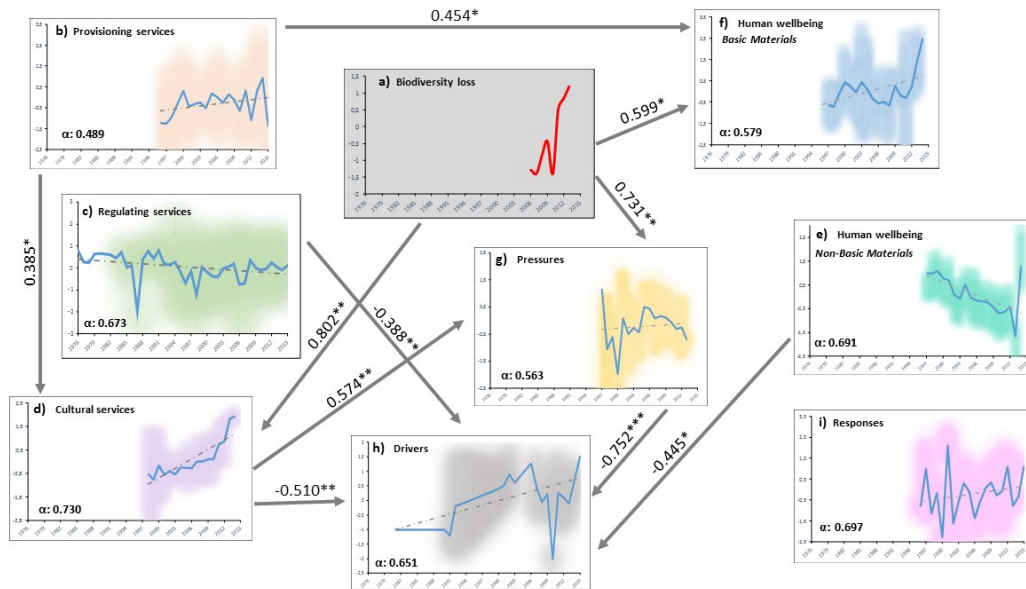
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684 **Figure 1:** Aggregate indices of all the DPSIR model components. The Y-axis represents the arithmetic
685 mean of the temporal series of all the standardised indicators. The colour shade behind the graph
686 corresponds to the variability and level of uncertainty of trends. Arrows represent Spearman correlations
687 and significance ($p < 0.1$ (*); $p < 0.05$ (**)) and $p < 0.01$ (***)) among the different DPSIR framework
688 components. For each component, a Cronbach's alpha value is indicated, which corresponds to the
689 consistency level of the indicators for each dimension.
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